



City of Sunnyvale

Notice and Agenda City Council

Tuesday, March 30, 2021

4:00 PM

Telepresence Meeting: City Web Stream |
AT&T Channel 99 | Comcast Channel 15

**Special Meeting: Closed Session - 4 PM | Special Meeting: Study Session - 5 PM |
Regular Meeting - 7 PM**

Meeting online link: <https://sunnyvale-ca-gov.zoom.us/j/96111580540>

Because of the COVID-19 emergency and the “shelter in place” orders issued by Santa Clara County and the State of California, this meeting of the Sunnyvale City Council will take place by teleconference, as allowed by Governor Gavin Newsom’s Executive Order N-29-20.

- *Watch the City Council meeting on television over Comcast Channel 15, AT&T Channel 99, at <http://youtube.com/SunnyvaleMeetings> or <https://sunnyvaleca.legistar.com/calendar.aspx>*
- *Submit written comments to the City Council up to 4 hours prior to the meeting to council@sunnyvale.ca.gov or by mail to City Clerk, 603 All America Way, Sunnyvale, CA 94086.*
- *Teleconference participation: You may provide audio public comment by connecting to the teleconference meeting online or by telephone. Use the Raise Hand feature to request to speak (*9 on a telephone):*

Meeting online link: <https://sunnyvale-ca-gov.zoom.us/j/96111580540>

Meeting call-in telephone number: 833-548-0276 | Meeting ID: 961 1158 0540

Pursuant to the Americans with Disabilities Act (ADA) and Executive Order N-29-20, if you need special assistance to provide public comment, contact the City at least 2 hours prior to the meeting in order for the City to make reasonable alternative arrangements for you to communicate your comments. For other special assistance, please contact the City at least 48 hours prior to the meeting to enable the City to make reasonable arrangements to ensure accessibility to this meeting. The Office of the City Clerk may be reached at (408) 730-7483 or

cityclerk@sunnyvale.ca.gov (28 CFR 35.160 (b) (1)).

4 P.M. SPECIAL COUNCIL MEETING (Closed Session)

Call to Order

Call to Order via teleconference.

Roll Call

Public Comment

The public may provide comments regarding the Closed Session item(s). If you wish to address the Council, please refer to the notice at the beginning of this agenda. Closed Sessions are not open to the public.

Convene to Closed Session

- A** [21-0287](#) Closed Session held pursuant to California Government Code Section 54956.9: LEGAL COUNSEL-THREAT OF LITIGATION
Significant exposure to litigation pursuant to paragraph (2) of subdivision (d) of Section 54956.9.

Adjourn Special Meeting

5 P.M. SPECIAL COUNCIL MEETING (Study Session)

Call to Order

Call to Order via teleconference.

Roll Call

Study Session

The public may provide comments regarding the Study Session item(s). If you wish to address the Council, please refer to the notice at the beginning of this agenda.

- B** [21-0362](#) Moffett Park Specific Plan Land Use Concepts

Adjourn Special Meeting

7 P.M. COUNCIL MEETING

Pursuant to Council Policy, City Council will not begin consideration of any agenda item after 11:30 p.m. without a vote. Any item on the agenda which must be continued due to the late hour shall be continued to a date certain. Information provided herein is subject to change from date of printing of the agenda to the date of the meeting.

CALL TO ORDER

Call to Order via teleconference.

ROLL CALL**CLOSED SESSION REPORT****SPECIAL ORDER OF THE DAY**

- C [21-0386](#) Fair Housing Month
- D [21-0345](#) Library Week
- E [21-0448](#) Poetry Month

ORAL COMMUNICATIONS

This category provides an opportunity for members of the public to address the City Council on items not listed on the agenda and is limited to 15 minutes (may be extended or continued after the public hearings/general business section of the agenda at the discretion of the Mayor) with a maximum of up to three minutes per speaker. Please note the Brown Act (Open Meeting Law) does not allow the Council to take action on an item not listed on the agenda. If you wish to address the Council, please refer to the notice at the beginning of this agenda. Individuals are limited to one appearance during this section.

CONSENT CALENDAR

All matters listed on the consent calendar are considered to be routine and will be acted upon by one motion. There will be no separate discussion of these items. If a member of the public would like a consent calendar item pulled and discussed separately, please refer to the notice at the beginning of this agenda.

- 1.A [21-0063](#) Approve City Council Meeting Minutes of March 16, 2021

Recommendation: Approve the City Council Meeting Minutes of March 16, 2021 as submitted.

- 1.B [21-0238](#) Approve the List(s) of Claims and Bills Approved for Payment by the City Manager

Recommendation: Approve the list(s) of claims and bills.

- 1.C [21-0273](#) Receive and File the City of Sunnyvale Investment Report - Fourth Quarter 2020

Recommendation: Receive and file the City of Sunnyvale - Fourth Quarter 2020 Investment Report.

- 1.D [21-0105](#) Approve Budget Modification No. 13 to Appropriate \$791,950 in FY 2020/21 Transportation Fund for Clean Air County Program Manager Funds for the Retiming of Traffic Signals and Authorize the City Manager to Execute All Grant-Related Documents

Recommendation: Approve Budget Modification No. 13 to Appropriate \$791,950 in FY 2020/21 Transportation Fund for Clean Air County Program Manager Funds for the Retiming of Traffic Signals and Authorize the City Manager to Execute All Grant-Related Documents

- 1.E [21-0412](#) Adopt a Resolution Amending the City of Sunnyvale Local Conflict of Interest Code

Recommendation: Adopt a Resolution amending the City of Sunnyvale Local Conflict of Interest Code.

- 1.F [21-0319](#) Adopt a Resolution Extending the City's Declaration of Local Emergency for COVID-19

Recommendation: Adopt a Resolution Extending the City Manager/Director of Emergency Services' Proclamation of Existence of a Local Emergency (COVID-19).

PUBLIC HEARINGS/GENERAL BUSINESS

If you wish to speak to a public hearing/general business item, please refer to notice at the beginning of this agenda. Each speaker is limited to a maximum of three minutes. For land-use items, applicants are limited to a maximum of 10 minutes for opening comments and 5 minutes for closing comments.

- 2 [21-0364](#) Adopt a Resolution Denouncing Anti-Asian Hate

Recommendation: Alternative 1: Adopt a Resolution denouncing anti-Asian hate.

- 3 [21-0347](#) Introduce an Ordinance Establishing a Public Process for Redistricting

Recommendation: Alternative 1: Introduce the Ordinance Establishing a Public Process for Redistricting as shown in Attachment 1 to the report.

- 4 [21-0381](#) Approval of Third Substantial Amendment to the 2019 HUD Annual Action Plan to Award CDBG-CV Funding

Recommendation: Alternative 1: Approve the Third Substantial Amendment to the 2019 HUD Action Plan as shown in Attachment 3 of the staff report.

- 5 [21-0184](#) Introduce an Ordinance Repealing Ordinance No. 2898-09 and Designating Certain Streets in the Area of the Caltrain Station as Preferential Parking Zones

Recommendation: Alternative 1: Introduce an Ordinance repealing Ordinance No. 2898-09 and designating certain streets in the area of the Caltrain Station as Preferential Parking Zones.

- 6 [21-0002](#) Appoint Applicants to the Board of Library Trustees and the Heritage Preservation Commission

Recommendation: Staff makes no recommendation.

COUNCILMEMBERS REPORTS ON ACTIVITIES FROM INTERGOVERNMENTAL COMMITTEE ASSIGNMENTS

NON-AGENDA ITEMS & COMMENTS

-Council

-City Manager

INFORMATION ONLY REPORTS/ITEMS

Visit <http://Sunnyvale.ca.gov/TCMAC> to view the Tentative Council Meeting Agenda Calendar (TCMAC) online. The TCMAC is updated each Thursday afternoon.

- [21-0366](#) Tentative Council Meeting Agenda Calendar
- [21-0367](#) Board/Commission Meeting Minutes
- [21-0368](#) Information/Action Items
- [21-0379](#) Notice of Public Works Director's Decision on Final Maps
(Information Only)

ADJOURNMENT

NOTICE TO THE PUBLIC

The agenda reports to council (RTCs) may be viewed on the City's website at sunnyvale.ca.gov after 7 p.m. on Thursdays or in the Office of the City Clerk located at 603 All America Way, prior to Tuesday City Council meetings. Any agenda related writings or documents distributed to members of the City of Sunnyvale City Council regarding any open session item on this agenda will be made available for public inspection in the Office of the City Clerk located at 603 All America Way, during normal business hours and in the Council Chamber on the evening of the Council Meeting, pursuant to Government Code §54957.5. Please contact the Office of the City Clerk at (408) 730-7483 to access City Hall to view these materials and for specific questions regarding the agenda.

PLEASE TAKE NOTICE that if you file a lawsuit challenging any final decision on any public hearing item listed in this agenda, the issues in the lawsuit may be limited to the issues which were raised at the public hearing or presented in writing to the Office of the City Clerk at or before the public hearing. PLEASE TAKE FURTHER NOTICE that Code of Civil Procedure section 1094.6 imposes a 90-day deadline for the filing of any lawsuit challenging final action on an agenda item which is subject to Code of Civil Procedure 1094.5.

Planning a presentation for a City Council meeting?

To help you prepare and deliver your public comments, please review the "Making Public Comments During City Council or Planning Commission Meetings" available at <http://Sunnyvale.ca.gov/PublicComments>

Planning to provide materials to Council?

If you wish to provide the City Council with copies of your presentation materials, please provide 12 copies of the materials to the Office of the City Clerk. The City

Clerk will distribute your items to the Council following the meeting.

Upcoming Meetings

Visit <https://sunnyvaleca.legistar.com> for upcoming Council, board and commission meeting information.



City of Sunnyvale

Agenda Item

21-0287

Agenda Date: 3/30/2021

Closed Session held pursuant to California Government Code Section 54956.9: LEGAL COUNSEL-
THREAT OF LITIGATION

Significant exposure to litigation pursuant to paragraph (2) of subdivision (d) of Section 54956.9.



City of Sunnyvale

Agenda Item

21-0362

Agenda Date: 3/30/2021

Moffett Park Specific Plan Land Use Concepts



City of Sunnyvale

Agenda Item

21-0386

Agenda Date: 3/30/2021

Fair Housing Month



City of Sunnyvale

Agenda Item

21-0345

Agenda Date: 3/30/2021

Library Week



City of Sunnyvale

Agenda Item

21-0448

Agenda Date: 3/30/2021

Poetry Month



City of Sunnyvale

Agenda Item

21-0063

Agenda Date: 3/30/2021

SUBJECT

Approve City Council Meeting Minutes of March 16, 2021

RECOMMENDATION

Approve the City Council Meeting Minutes of March 16, 2021 as submitted.



City of Sunnyvale

Meeting Minutes - Draft

City Council

Tuesday, March 16, 2021

5:30 PM

Telepresence Meeting: City Web Stream |
AT&T Channel 99 | Comcast Channel 15

**Special Meeting: Board and Commission Interviews - 5:30 PM | Special Meeting: Closed
Session - 6 PM | Regular Meeting - 7 PM**

5:30 P.M. SPECIAL COUNCIL MEETING (Study Session)

Call to Order

Pursuant to Section 3 of Executive Order N-29-20, issued by Governor Newsom on March 17, 2020, the meeting was conducted telephonically.

Mayor Klein called the meeting to order at 5:31 p.m. via teleconference.

Roll Call

Present: 7 - Mayor Larry Klein
Vice Mayor Glenn Hendricks
Councilmember Gustav Larsson
Councilmember Russ Melton
Councilmember Mason Fong
Councilmember Alysia Cisneros
Councilmember Omar Din

Mayor Klein, Vice Mayor Hendricks and all Councilmembers attended via teleconference.

Study Session

A [21-0001](#) Board and Commission Interviews

Public Comment opened at 5:33 p.m.

No speakers.

Public comment closed at 5:33 p.m.

Council interviewed the following applicants for vacancies on boards and commissions:

Andrew Ma – Board of Library Trustees

Jenny Bratton – Board of Library Trustees and Heritage Preservation Commission

Adjourn Special Meeting

Mayor Klein adjourned the meeting at 6:00 p.m.

6 P.M. SPECIAL COUNCIL MEETING (Closed Session)

Call to Order

Pursuant to Section 3 of Executive Order N-29-20, issued by Governor Newsom on March 17, 2020, the meeting was conducted telephonically.

Mayor Klein called the meeting to order at 6:03 p.m. via teleconference.

Roll Call

Present: 7 - Mayor Larry Klein
Vice Mayor Glenn Hendricks
Councilmember Gustav Larsson
Councilmember Russ Melton
Councilmember Mason Fong
Councilmember Alysa Cisneros
Councilmember Omar Din

Mayor Klein, Vice Mayor Hendricks and all Councilmembers attended via teleconference.

Public Comment

Public Comment opened at 6:03 p.m.

No speakers.

Public Comment closed at 6:03 p.m.

Convene to Closed Session

B [21-0407](#) Closed Session held pursuant to California Government Code Section 54956.8:
CONFERENCE WITH REAL PROPERTY NEGOTIATORS
Property: Acquisition of 970 W Evelyn Ave, Sunnyvale, CA -

APN 165-20-017

Agency negotiator: Kent Steffens, City Manager; Chip Taylor, Director of Public Works; and Sherine Nafie, City Property Administrator

Negotiating parties: Edward Woodhall, Owner

Under negotiation: Price and terms

- C** [21-0404](#) CONFERENCE WITH LEGAL COUNSEL-INITIATION OF LITIGATION
Closed Session held pursuant to California Government Code Section 54956.9(d)(4)
Number of Potential Cases: One

Adjourn Special Meeting

Mayor Klein adjourned the meeting at 7:09 p.m.

7 P.M. COUNCIL MEETING

CALL TO ORDER

Pursuant to Section 3 of Executive Order N-29-20, issued by Governor Newsom on March 17, 2020, the meeting was conducted telephonically.

Mayor Klein called the meeting to order at 7:12 p.m. via teleconference.

ROLL CALL

Present: 7 - Mayor Larry Klein
 Vice Mayor Glenn Hendricks
 Councilmember Gustav Larsson
 Councilmember Russ Melton
 Councilmember Mason Fong
 Councilmember Alysia Cisneros
 Councilmember Omar Din

Mayor Klein, Vice Mayor Hendricks and all Councilmembers attended via teleconference.

CLOSED SESSION REPORT

Vice Mayor Hendricks reported that Council met in Closed Session held pursuant to California Government Code Section 54956.8: CONFERENCE WITH REAL

PROPERTY NEGOTIATORS Property: Acquisition of 970 W Evelyn Ave, Sunnyvale, CA APN 165 20 017 Agency negotiator: Kent Steffens, City Manager; Chip Taylor, Director of Public Works; and Sherine Nafie, City Property Administrator Negotiating parties: Edward Woodhall, Owner Under negotiation: Price and terms; nothing to report.

Vice Mayor Hendricks reported that Council met in Closed Session held pursuant to California Government Code Section 54956.9(d)(4): CONFERENCE WITH LEGAL COUNSEL INITIATION OF LITIGATION Number of Potential Cases: One; nothing to report.

SPECIAL ORDER OF THE DAY

D [21-0342](#) American Red Cross Month

Mayor Klein read a proclamation in honor of American Red Cross Month.

Dr. Liz Dietz and Mary Ann Reilly, Silicon Valley Chapter - American Red Cross spoke toward the proclamation.

ORAL COMMUNICATIONS

Councilmember Din announced details of the upcoming Decarbonizing Transportation: From Policy to Progress Webinar and that schools are resuming on campus learning and urged the public to slow down and remain alert when driving.

Richard Mehlinger spoke towards the closure of the Sunnyvale Fry's Electronics store and shared concerns regarding redeveloping the site with high density office. He urged the City to begin considering the future of the site via the upcoming review of the Housing Element.

Rose Gregorio communicated concerns with the closure of the warming center during the evening/night hours.

Alec Vandenberg shared concerns regarding the lack of a sanctioned encampment for the unhoused in the Sunnyvale community and services being provided to the unhoused population at the hotel including food quality and accommodations for pets. He voiced support for transitioning individuals into permanent housing.

Tracy Hern, President, Public Safety Officers Association shared statistics related to Public Safety staff morale. He spoke towards the Association's efforts to address the morale issue with management and proposal of a joint labor management

committee.

Alysa voiced support for activating a warming center due to inclement weather for the unhoused population during the evening/night.

Marian spoke towards the Moffett Park Specific Plan and establishing an open space ecological area similar to the Ulistac Natural Area in Santa Clara City.

Helen Gettinger shared support for transitioning the unhoused population into permanent housing, increasing case management hours and services, providing proper nutrition and activating a warming center overnight.

Member of the public communicated support for developing a shelter at the vacant Fry's Electronics site and possible services that may be offered at the shelter. They shared support for establishing a sanctioned encampment for the unhoused in Sunnyvale.

City Manager Kent Steffens provided an update on the transition plan for the unhoused population currently at a hotel, including efforts to increase case management hours at the site and identify long-term housing.

CONSENT CALENDAR

MOTION: Vice Mayor Hendricks moved and Councilmember Larsson seconded the motion to approve agenda items 1.A through 1.E.

The motion carried with the following vote:

Yes: 7 - Mayor Klein
Vice Mayor Hendricks
Councilmember Larsson
Councilmember Melton
Councilmember Fong
Councilmember Cisneros
Councilmember Din

No: 0

1.A [21-0289](#) Approve City Council Meeting Minutes of February 23, 2021
Approve the City Council Meeting Minutes of February 23, 2021 as submitted.

1.B [21-0307](#) Approve City Council Meeting Minutes of February 25, 2021

(Study Issues and Budget Issues Workshop)

Approve the City Council Meeting Minutes of February 25, 2021 as submitted.

- 1.C** [21-0397](#) Approve City Council Meeting Minutes of March 2, 2021
(Moffett Park Specific Plan Study Session)

Approve the City Council Meeting Minutes of March 2, 2021 as submitted.

- 1.D** [21-0369](#) Approve Application for Local Area Subsequent Designation
for NOVA Workforce Development Area and Local Board
Recertification for NOVA Workforce Board

Approve application for local area subsequent designation for the NOVA workforce development area and Local Board recertification for the NOVA Workforce Board.

- 1.E** [21-0387](#) Adopt Uncodified Ordinance No. 3173-21 Awarding an
Exclusive Franchise to Bay Counties Waste Services, dba
Specialty, Inc., for Recycling, Organics, and Solid Waste
Collection Services

Adopt uncodified Ordinance No. 3173 21 awarding an exclusive franchise to Bay Counties Waste Services, dba Specialty, Inc., for recycling, organics and solid waste collection services.

PUBLIC HEARINGS/GENERAL BUSINESS

- 2** [21-0274](#) Authorize the City Manager to Execute three Site Agreements
with EVgo Services LLC allowing the installation of four
Electric Vehicle (EV) Fast-Charging Stations at each of the
following sites: the Community Center, Downtown Parking Lot
at the southwest corner of W. Evelyn Avenue and S.
Sunnyvale Avenue and Downtown Parking Lot at the
southeast corner of S. Frances Street and W. Evelyn Avenue,
in Sunnyvale and find that the Project is Exempt from CEQA

Councilmember Fong stated he is a Tesla shareholder, recused himself, and left the teleconference meeting at 7:43 p.m.

Assistant Director of Public Works Jennifer Ng provided the staff report and presentation.

Public Hearing opened at 7:58 p.m.

Robbie Gleichman inquired on the charging speed of the stations being installed and voiced support for the greater kilowatt power stations and 24-hour availability of the stations.

Public Hearing closed at 8:00 p.m.

MOTION: Vice Mayor Hendricks moved and Councilmember Din seconded the motion to approve Alternative 1: Authorize the City Manager to execute three site agreements in substantially the same form as Attachment 1 to the report, with EVgo Services LLC allowing the installation of four electric vehicle (EV) fast-charging stations at each of the following sites: the Community Center, Downtown Parking Lot at the southwest corner of W. Evelyn Avenue and S. Sunnyvale Avenue and Downtown Parking Lot at the southeast corner of S. Frances Street and W. Evelyn Avenue, in Sunnyvale and find that the project is exempt from the California Environmental Quality Act (CEQA) pursuant to CEQA Guidelines Section 15301(b).

The motion carried with the following vote:

Yes: 6 - Mayor Klein
Vice Mayor Hendricks
Councilmember Larsson
Councilmember Melton
Councilmember Cisneros
Councilmember Din

No: 0

Recused: 1 - Councilmember Fong

3 [21-0285](#) Discussion and Direction on Ordinance Establishing a Public Process for Redistricting

Deputy City Manager Jaqui Guzmán and Assistant City Attorney Melissa Tronquet provided the staff report.

Public Hearing opened at 8:14 p.m.

Elaine Manley, Co-President, League of Women Voters of Cupertino-Sunnyvale (LWV) and Sunnyvale Fair Redistricting member communicated recommended modifications to the draft ordinance and draft application including: select six alternatives instead of three, appoint alternates permanently instead of on a

meeting-by-meeting basis and standardize the time requirements to four-years and add analytical skills as a subset of desired qualifications.

Mike Serrone shared support for the LWV's recommended modifications specifically to standardize the time requirements to four-years and appoint alternates permanently.

Richard Mehlinger voiced support for standardizing the time requirements for four-years and appointing alternates permanently.

Cal Cornwell spoke towards the recommendation to standardize time requirements to four-years throughout the draft ordinance.

Public Hearing closed at 8:23 p.m.

MOTION: Councilmember Fong moved to amend Ordinance section 2.31.020(c)(1) to require a majority vote of commissioners present instead of four commissioners for an affirmative vote selecting proposed district maps and the redistricting commission's preferred map. Motion failed due to lack of a second.

MOTION: Vice Mayor Hendricks moved and Councilmember Din seconded the motion to add to Section 2.31.010 that the Redistricting Commission may draft its own maps, accept maps from the public or draft maps in consultation with the demographer for discussion.

FRIENDLY AMENDMENT: Councilmember Larsson offered a friendly amendment that the language be added to Section 2.31.020. Vice Mayor Hendricks restated his motion that staff use their discretion in deciding the placement of the language within the ordinance.

The motion carried with the following vote:

Yes: 7 - Mayor Klein
Vice Mayor Hendricks
Councilmember Larsson
Councilmember Melton
Councilmember Fong
Councilmember Cisneros
Councilmember Din

No: 0

MOTION: Vice Mayor Hendricks moved and Councilmember Din seconded the

motion to accept Section 2.31.030 (a) and (b) as presented and amend the section related to qualifications to standardize the time requirements to four years.

The motion carried with the following vote:

Yes: 6 - Mayor Klein
Vice Mayor Hendricks
Councilmember Larsson
Councilmember Fong
Councilmember Cisneros
Councilmember Din

No: 1 - Councilmember Melton

MOTION: Councilmember Melton moved and Councilmember Fong seconded the motion to direct staff to reinstate the prohibition that a former Councilmember be ineligible to serve on the Redistricting Commission when the Councilmember was elected or appointed within the preceding eight years.

The motion failed with the following vote:

Yes: 1 - Councilmember Melton

No: 6 - Mayor Klein
Vice Mayor Hendricks
Councilmember Larsson
Councilmember Fong
Councilmember Cisneros
Councilmember Din

Staff requested clarification regarding Section 2.31.030(5) and inquired if the prior motion and vote to standardize time requirements to four years applied to Subsection(5). Vice Mayor Hendricks clarified that was the intent of the motion.

MOTION: Councilmember Fong moved and Mayor Klein seconded the motion to incorporate the recommendations presented by the League of Women Voters in a letter dated March 13, 2021 to add analytical skills as a subset of desired qualifications to the draft application in two sections:

1. Role of the Redistricting Commission, "Commissioners should be open-minded, analytical, impartial, and community-minded"; and
2. Question on page three, "Describe your approach to analytical decision making when faced with competing priorities and values."

The motion carried with the following vote:

Yes: 7 - Mayor Klein
Vice Mayor Hendricks
Councilmember Larsson
Councilmember Melton
Councilmember Fong
Councilmember Cisneros
Councilmember Din

No: 0

4 [21-0191](#) Approve the 2020 Housing Element Annual Progress Report

Housing Officer Jenny Carloni provided the staff report and presentation.

Public Hearing opened at 9:27 p.m.

Mike Serrone communicated support for approval of the report and offered a comparison between projects that create jobs and projects that create housing.

Public Hearing closed at 9:30 p.m.

MOTION: Vice Mayor Hendricks moved and Councilmember Larsson seconded the motion to approve Alternative 1: Approve the 2020 Housing Element Annual Progress Report (Attachment 1 to the report) and direct staff to submit it to the Governor's Office of Planning and Research and the California Department of Housing and Community Development with the correction discussed for 365 South Mathilda Avenue in Table A2.

The motion carried with the following vote:

Yes: 7 - Mayor Klein
Vice Mayor Hendricks
Councilmember Larsson
Councilmember Melton
Councilmember Fong
Councilmember Cisneros
Councilmember Din

No: 0

5 [21-0383](#) CONTINUED FROM FEBRUARY 23, 2021:
Annual Review and Approval of City's Code of Ethics and
Conduct for Elected and Appointed Officials

City Clerk David Carnahan provided the staff report.

Public Hearing opened at 9:37 p.m.

Richard Mehlinger voiced support for approving the Code of Ethics and Conduct for Elected and Appointed Officials (Code) and creation of a subcommittee consisting of Councilmembers along with Board and Commission Members to review the Code.

Member of the public spoke towards the Code addressing inappropriate behavior by Councilmembers, Board and Commission members and staff. They inquired where inappropriate behavior by the City Manager is addressed.

Maria shared support for the Code and spoke towards the importance of Section B.3(a). In Public Meetings.

Public Hearing closed at 9:44 p.m.

MOTION: Councilmember Larsson moved to approve Alternative 2: Refer the Planning Commission's request to the Council Subcommittee on Board and Commission Bylaws for discussion and possible action.

FRIENDLY AMENDMENT: Vice Mayor Hendricks offered a friendly amendment to approve Alternatives 1 and 2. Councilmember Larsson accepted the friendly amendment.

Vice Mayor Hendricks seconded the motion to approve Alternatives 1 and 2:

1. Review and approve the 2021 Code of Ethics and Conduct for Elected and Appointed Officials with non-substantive changes from the 2020 Code as set forth in Attachment 1 to the report; and
2. Refer the Planning Commission's request to the Council Subcommittee on Boards and Commissions for discussion and possible action.

The motion carried with the following vote:

Yes: 6 - Mayor Klein
Vice Mayor Hendricks
Councilmember Larsson
Councilmember Fong
Councilmember Cisneros
Councilmember Din

No: 1 - Councilmember Melton

**COUNCILMEMBERS REPORTS ON ACTIVITIES FROM INTERGOVERNMENTAL
COMMITTEE ASSIGNMENTS**

Councilmember Fong shared his attendance at the Valley Transportation Authority (VTA) Policy Advisory Committee meeting including the Committee's approval of Measure B's 10-year Program and Biennial Budget Principles, Project Readiness and Prioritization Criteria.

NON-AGENDA ITEMS & COMMENTS

-Council

MOTION: Councilmember Fong moved and Councilmember Din seconded the motion to schedule a Study Session for no later than April 6, 2021, date and time to be identified between the Mayor and City Manager, to allow 15 minutes for a presentation by the Sunnyvale Public Safety Officers Association (PSOA) regarding morale survey and request for a new committee to find resolution to the issues raised in the survey.

City Manager Kent Steffens shared concerns regarding a Study Session on a topic that is under consideration between a labor union and the Chief of Public Safety. He shared that staff is proposing a communications consultant work with the Chief and PSOA to help resolve the issues.

The motion failed with the following vote:

Yes: 1 - Councilmember Fong

No: 6 - Mayor Klein
Vice Mayor Hendricks
Councilmember Larsson
Councilmember Melton
Councilmember Cisneros
Councilmember Din

Councilmember Melton spoke towards the American Rescue Plan and preliminary funding estimates the City of Sunnyvale will be receiving and noted that Council Policy stipulates one-time funds be placed into a capital reserve fund. He voiced support for the Mayor or City Manager to agendize a discussion topic regarding use of rescue plan funds including the topic of waiving the Council Policy in this instance.

City Manager Kent Steffens announced the City of Sunnyvale will be receiving funds in two installments. Mr. Steffens spoke towards using the funds to backfill revenue and allocating the funds to the General Fund. A discussion is anticipated during the budget workshop on May 20, 2021.

-City Manager

City Manager Kent Steffens shared details of Information Only Item 21-0280 Presentation Dates for Recommended Studies in 2021.

INFORMATION ONLY REPORTS/ITEMS

- | | |
|--------------------------------|---|
| <u>21-0107</u> | Tentative Council Meeting Agenda Calendar |
| <u>21-0102</u> | Board/Commission Meeting Minutes |
| <u>21-0237</u> | Information/Action Items |
| <u>21-0280</u> | Study Issue Presentation Dates for Recommended Studies in 2021 (Information Only) |

ADJOURNMENT

Mayor Klein adjourned the meeting at 10:08 p.m.



City of Sunnyvale

Agenda Item

21-0238

Agenda Date: 3/30/2021

REPORT TO COUNCIL

SUBJECT

Approve the List(s) of Claims and Bills Approved for Payment by the City Manager

BACKGROUND

Pursuant to Sunnyvale Charter Section 802(6), the City Manager has approved for payment claims and bills on the following list(s); and checks have been issued.

<u>List No.</u>	<u>Date</u>	<u>Total Disbursements</u>
062	02-07-21 through 02-13-21	\$1,177,448.37
063	02-14-21 through 02-20-21	\$5,199,377.88
064	02-21-21 through 02-27-21	\$1,638,354.70
065	02-28-21 through 03-06-21	\$5,834,727.87
066	03-07-21 through 03-13-21	\$4,442,913.50

Payments made by the City are controlled in a variety of ways. In general, payments are reviewed by the appropriate City staff for compliance with the goods or services provided. Any discrepancies are resolved and re-submitted for payment. Different levels of dollar amounts for payments require varying levels of approval within the organization. Ultimately payments are reviewed and processed by the Finance Department. Budgetary control is set by Council through the budget adoption resolution.

ENVIRONMENTAL REVIEW

The action being considered does not constitute a "project" within the meaning of the California Environmental Quality Act ("CEQA") pursuant to CEQA Guidelines section 15378(b)(4) in that it is a fiscal activity that does not involve any commitment to any specific project which may result in a potential significant impact on the environment.

PUBLIC CONTACT

Public contact was made by posting the Council agenda on the City's official-notice bulletin board outside City Hall, Sunnyvale Public Library and Department of Public Safety. In addition, the agenda and report are available at the Office of the City Clerk and on the City's website.

RECOMMENDATION

Approve the list(s) of claims and bills.

Prepared by: Tim Kirby, Director of Finance

Reviewed by: Jaqui Guzmán, Deputy City Manager

Approved by: Kent Steffens, City Manager

ATTACHMENTS

1. List(s) of Claims and Bills Approved for Payment

City of Sunnyvale

LIST # 062

**List of All Claims and Bills Approved for Payment
For Payments Dated 02/07/2021 through 02/13/2021**

Sorted by Payment Type, Payment Number and Invoice Number

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
CHECK	XXXXX0060	02/09/2021	Pacific Gas & Electric Co	16,665.63	0314283663-8 0121	1444 Borregas Ave	16,665.63	0.00	\$39,567.71
				15,126.98	6022590556-5 1220	H2O Supply	15,126.98	0.00	
				7,775.10	9147590356-2 1220	Golf Courses	7,775.10	0.00	
	XXXXX0061	02/09/2021	State Water Resources Control Board	1,736.00	WD-0183678	Index 434125 7/1/20-6/30/21	1,736.00	0.00	\$5,208.00
				1,736.00	WD-0184371	Index 434818 7/1/20-6/30/21	1,736.00	0.00	
				1,736.00	WD-0184552	Index 434999 7/1/20-6/30/21	1,736.00	0.00	
	XXXXX0062	02/11/2021	LA County Sheriffs Department	970.00	211139SG	J Pine & M Sutterfield SWAT Course Reg Fees	970.00	0.00	\$970.00
	XXXXX0063	02/11/2021	NOVAworks Foundation	81.92	PR202106	PR202106 NOVA Dues	81.92	0.00	\$81.92
	XXXXX0064	02/11/2021	Sunnyvale Public Safety Officers Assn	19,710.00	PR202106	PR202106 Assoc Dues	19,710.00	0.00	\$19,710.00
	XXXXX0065	02/11/2021	Pacific Gas & Electric Co	3,413.64	0395847945-7 0121	121 W Evelyn Ave-Multimodal	3,413.64	0.00	\$51,402.85
				581.82	0607513452-5 1220	Traffic Signals	581.82	0.00	
				47,199.34	8100862765-5 1220	City Owned St & Hwy Lighting	47,199.34	0.00	
				93.88	9129031168-	1382 Kifer Rd/Kifer	93.88	0.00	

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
					6 0121	Lift Station			
				114.17	9732283098-1 0121	Landfill & Recycle Center	114.17	0.00	
	XXXXX0066	02/11/2021	Redgwick Construction Co	50,649.30	MAUDESTSC PE#R	TR-15/03-17	50,649.30	0.00	\$50,649.30
	XXXXX0067	02/11/2021	Santa Clara Valley Transportation Authority	293,614.00	1800029382	Congestion Mgmt Prog FY 20-21 Mbr Agency Fees	293,614.00	0.00	\$293,614.00
	XXXXX0068	02/11/2021	Shums Coda Assoc	12,448.75	5962	Nov 2020 Inspection Svc	12,448.75	0.00	\$28,462.50
				16,013.75	6020	Dec 2020 Inspection Svc	16,013.75	0.00	
	XXXXX0069	02/11/2021	United Way Bay Area	149.15	PR202106		149.15	0.00	\$149.15
	XXXXX0070	02/11/2021	Sunnyvale Public Safety Officers Assn	39,060.00	DENTAL0221		39,060.00	0.00	\$39,060.00
	XXXXX0071	02/11/2021	Amazon Capital Services Inc	26.69	1D61-446Q-36LX		26.69	0.00	\$26.69
	XXXXX0072	02/11/2021	Banner Bank	23,605.00	WSHNGTNP OOL#12	PR-15-02	23,605.00	0.00	\$23,605.00
	XXXXX0073	02/11/2021	C Overaa & Co	459,781.84	PRMRYTRT MT2#41a	UY-16/01-20	459,781.84	0.00	\$459,781.84
	XXXXX0074	02/11/2021	F&M Bank	66,596.13	PRMRYTRT MT2#40	UY-16/01-20	66,596.13	0.00	\$91,321.49
				24,725.36	PRMRYTRT MT2#41	UY-16/01-20	24,725.36	0.00	
	XXXXX0075	02/11/2021	Heritage Bank of Commerce	22,238.58	FOAOHBRID GE#05	TR-13/01-16	22,238.58	0.00	\$22,238.58
	XXXXX0076	02/11/2021	Midwest Tape	4,695.40	99966985	Digital Media Content Month Ending 1/31/21	4,695.40	0.00	\$4,695.40
	XXXXX0077	02/11/2021	Omni Construction Services Inc	25,548.86	SNKNGRDN S17#R	PR-17-06	25,548.86	0.00	\$25,548.86

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
	XXXXXX0078	02/11/2021	P&A Adminstrative Services Inc	5,000.47	594044	Health & Dep Care Reimb 1/10-1/16-21	5,000.47	0.00	\$21,355.08
				12,249.31	595813	Health & Dep Care Reimb 1/17-1/23/21	12,249.31	0.00	
				4,105.30	597541	Health & Dep Care Reimb 1/24-1/30/21	4,105.30	0.00	
Grand Total				1,177,448.37			1,177,448.37	0.00	\$1,177,448.37

City of Sunnyvale

LIST # 063

**List of All Claims and Bills Approved for Payment
For Payments Dated 02/14/2021 through 02/20/2021**

Sorted by Payment Type, Payment Number and Invoice Number

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
CHECK	XXXXX0079	02/16/2021	United States Postal Service	10,537.79	Permit 190-021121	Permit 190 Horizon Postage	10,537.79	0.00	\$10,537.79
	XXXXX0080	02/16/2021	Baker & Taylor	65.16	5016366134		65.16	0.00	\$65.16
	XXXXX0081	02/16/2021	CSG Consultants Inc	11,540.00	32234	July 2020 Project Management Assistant	11,540.00	0.00	\$15,720.00
				2,750.00	32901	August 2020 Project Management Assistance	2,750.00	0.00	
				1,430.00	33730	October 2020 Project Management Assistance	1,430.00	0.00	
	XXXXX0082	02/16/2021	Interstate Sales	524.03	6713		524.03	0.00	\$524.03
	XXXXX0083	02/16/2021	Kramer Workplace Investigations	3,245.00	450		3,245.00	0.00	\$3,245.00
	XXXXX0084	02/16/2021	Kutzmann and Associates Inc	495.00	SV-20229	Feb 2020 Consulting Svc	495.00	0.00	\$495.00
	XXXXX0085	02/16/2021	Law Office of Terry Roemer	12,256.00	1-2021		12,256.00	0.00	\$12,256.00
	XXXXX0086	02/16/2021	LeadWell Consulting	1,250.00	1016	1/26/21 Webinar	1,250.00	0.00	\$1,250.00
	XXXXX0087	02/16/2021	Liebert Cassidy Whitmore	72.00	1513011	Svc Thru 12/31/2020	72.00	0.00	\$3,420.00
				3,060.00	1513012	Svc Thru 12/31/2020	3,060.00	0.00	
				288.00	1513013	Svc Thru 12/31/2020	288.00	0.00	

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
	XXXXXX0088	02/16/2021	Mallory Safety & Supply LLC	966.28	5017839		966.28	0.00	\$966.28
	XXXXXX0089	02/16/2021	Midwest Tape	153.56	99780126		153.56	0.00	\$170.70
				17.14	99804676		17.14	0.00	
	XXXXXX0090	02/16/2021	Mission Linen Service	70.26	513966427		70.26	0.00	\$291.64
				70.26	514014709		70.26	0.00	
				80.86	514057081		80.86	0.00	
				70.26	514097536		70.26	0.00	
	XXXXXX0091	02/16/2021	Mountain View Garden Center	203.01	105375		203.01	0.00	\$203.01
	XXXXXX0092	02/16/2021	Municipal Plan Check Services Inc	22,275.00	1164	December 2020	22,275.00	0.00	\$22,275.00
	XXXXXX0093	02/16/2021	Omega Engraving	15.00	6253		15.00	0.00	\$15.00
	XXXXXX0094	02/16/2021	Pacific Gas & Electric Co	3,722.47	0522589865-8 1220	850 Russet Drive/Tennis	3,722.47	0.00	\$112,692.01
				101,980.72	1105922118-1 1220	City Buildings	101,980.72	0.00	
				6,310.61	4314259418-3 1220	Swimming Pools	6,310.61	0.00	
				667.05	5689257244-9 1220	LandscapeH2O	667.05	0.00	
				11.16	8980516791-6 0121	N/S El Camino & E Remington	11.16	0.00	
	XXXXXX0095	02/16/2021	Pine Cone Lumber Co Inc	20.79	84104		20.79	0.00	\$20.79
	XXXXXX0096	02/16/2021	R & B Co	1,476.95	S1985224.00 1		1,476.95	0.00	\$2,863.89
				1,185.92	S1988034.00 1		1,185.92	0.00	
				201.02	S1990434.00 1		201.02	0.00	
	XXXXXX0097	02/16/2021	Richards Watson &	234.50	230048	Svc Thru 12/31/2020	234.50	0.00	\$234.50

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
			Gershon						
	XXXXX0098	02/16/2021	Safeway Inc	183.98	808700-121520		183.98	0.00	\$183.98
	XXXXX0099	02/16/2021	SHI International Corp	117.36	B12526226		117.36	0.00	\$195.60
				78.24	B12526865		78.24	0.00	
	XXXXX0100	02/16/2021	Shums Coda Assoc	8,820.00	5961	Plan Review Svc Nov 2020	8,820.00	0.00	\$8,820.00
	XXXXX0101	02/16/2021	Sloan Sakai Yeung & Wong LLP	3,037.50	45104	2020 PSOA Negotiations	3,037.50	0.00	\$4,414.50
				1,377.00	45105		1,377.00	0.00	
	XXXXX0102	02/16/2021	Stewart Training Services	1,320.00	89612	Virtual Classes 9/29-12/15/20	1,320.00	0.00	\$1,320.00
	XXXXX0103	02/16/2021	Studio eM Graphic Design	1,108.53	18085		1,108.53	0.00	\$1,108.53
	XXXXX0104	02/16/2021	Unity Courier Service Inc	878.00	464955	C30508 Jan 2021	878.00	0.00	\$878.00
	XXXXX0105	02/16/2021	Verizon Wireless	51.04	9870876768	642069813-00001 12/10/20-1/9/21	51.04	0.00	\$51.04
	XXXXX0106	02/16/2021	Weco Industries LLC	899.96	0046898-IN		899.96	0.00	\$899.96
	XXXXX0107	02/16/2021	Zayo Group LLC	32,604.33	20210200248 65	Feb 021	32,604.33	0.00	\$32,604.33
	XXXXX0108	02/16/2021	Zep Sales & Service	8,313.65	9005850377		8,313.65	0.00	\$8,313.65
	XXXXX0109	02/16/2021	Hensel Phelps Construction Co	4,218,570.00	CivicCenter#0 2	PR-19-06	4,218,570.00	0.00	\$4,218,570.00
	XXXXX0110	02/16/2021	First Foundation Bank	222,030.00	CivicCenter#0 2	PR-19-06	222,030.00	0.00	\$222,030.00
	XXXXX0111	02/18/2021	State of CA - Dept of Forestry & Fire	888.00	CF-NRS10273	CFSTES Rescue Systems Fees	888.00	0.00	\$888.00
	XXXXX0112	02/18/2021	State of CA - Dept of Forestry & Fire	268.00	FS - I3000207	FS-I3000207 Fees	268.00	0.00	\$268.00
	XXXXX0113	02/18/2021	3T Equipment Co Inc	1,145.43	69521		1,145.43	0.00	\$1,145.43

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
	XXXXX0114	02/18/2021	4Leaf Inc	12,880.00	J3567V		12,880.00	0.00	\$12,880.00
	XXXXX0115	02/18/2021	Carollo Engineers	212,186.19	0192056	Project 09265A.00 - September 2020	212,186.19	0.00	\$228,238.47
				7,702.34	0192836	Project #09265A.00 - October 2020	7,702.34	0.00	
				6,111.00	0193683	Project #09265A.00 - November 2020	6,111.00	0.00	
				2,238.94	0194660		2,238.94	0.00	
	XXXXX0116	02/18/2021	PRISM	13,276.56	21401248	EAP Jan - March 2021	13,276.56	0.00	\$13,276.56
	XXXXX0117	02/18/2021	Delta Diablo	2,713.45	4390401	Western Recycled Water Coalition 2021	2,713.45	0.00	\$2,713.45
	XXXXX0118	02/18/2021	Global Access Inc	236.00	17854	January 2021	236.00	0.00	\$236.00
	XXXXX0119	02/18/2021	Mountain View Garden Center	215.77	105420 Redo		215.77	0.00	\$408.43
				192.66	105539		192.66	0.00	
	XXXXX0120	02/18/2021	Municipal Maintenance Equipment Inc	492.75	0143620-IN		492.75	0.00	\$492.75
	XXXXX0121	02/18/2021	P&A Administrative Services Inc	6,958.12	592376	Health & Dependent Care Reimb 1/3-1/9/21	6,958.12	0.00	\$20,344.41
				7,780.28	599199	Health & Dep Care Reimb 1/31-2/6/21	7,780.28	0.00	
				5,606.01	600823	Health & Dep Care Reimb 2/7-13/21	5,606.01	0.00	
	XXXXX0122	02/18/2021	Pinnacle Vend Systems	3,765.00	2752		3,765.00	0.00	\$3,765.00
	XXXXX0123	02/18/2021	R & B Co	6,153.62	S1989132001	Redo invoice. Dept fixed PO	6,153.62	0.00	\$6,153.62
	XXXXX0124	02/18/2021	Reed & Graham Inc	2,345.55	993161.		2,469.00	123.45	\$2,345.55

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
	XXXXX0125	02/18/2021	Roadway Steel & Fabrication Inc	948.30	5762		948.30	0.00	\$948.30
	XXXXX0126	02/18/2021	First Foundation Bank	156,980.00	CivicCenter#01a	PR-19-06	156,980.00	0.00	\$156,980.00
	XXXXX0127	02/18/2021	Benefit Coordinators Corporation	45,029.33	8794	February 2021	45,029.33	0.00	\$45,029.33
	XXXXX0128	02/18/2021	City of Sacramento	160.00	POLPMC00795	Registration fees for dispatch training	160.00	0.00	\$160.00
	XXXXX0129	02/18/2021	Welcoming America	1,500.00	WA1798	2021 Membership Dues	1,500.00	0.00	\$1,500.00
	XXXXX0130	02/18/2021	Pacific Gas & Electric Co	10,167.19	3564259466-3 1220	Traffic Signals	10,167.19	0.00	\$10,167.19
	XXXXX0131	02/18/2021	Sunnyvale Public Safety Officers Assn	4,802.00	DISABILITY0221	Long Term Disability Feb 2021	4,802.00	0.00	\$4,802.00
Grand Total				5,199,377.88			5,199,501.33	123.45	\$5,199,377.88

City of Sunnyvale

LIST # 064

**List of All Claims and Bills Approved for Payment
For Payments Dated 02/21/2021 through 02/27/2021**

Sorted by Payment Type, Payment Number and Invoice Number

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
CHECK	XXXXX0132	02/23/2021	State of CA - Dept of Forestry & Fire	228.00	FS - CSRA0737	FSTE Confined Space Awareness Fees	228.00	0.00	\$228.00
	XXXXX0133	02/23/2021	State of CA - Dept of Forestry & Fire	208.00	FS-VE0416	FSTEP Vehicle Extraction	208.00	0.00	\$208.00
	XXXXX0134	02/23/2021	State of CA - Dept of Forestry & Fire	825.00	FS - S1300218	FSTEP 130 Fire Fighter Fees	825.00	0.00	\$825.00
	XXXXX0135	02/23/2021	Advanced Chemical Transport Inc	6,502.14	305585		6,502.14	0.00	\$6,502.14
	XXXXX0136	02/23/2021	Amazon Capital Services Inc	10.87	1NDH-PLL3-1F1Y		10.87	0.00	\$10.87
	XXXXX0137	02/23/2021	Ascent Environmental	26,334.00	18010029.02 - 18	Lawrence Station Area Plan - 8/1/20-12/31/20 Services	26,334.00	0.00	\$26,334.00
	XXXXX0138	02/23/2021	Inhouse Commercial Recyclers LLC	600.00	201021T682R		600.00	0.00	\$1,275.00
				675.00	20111T71S1		675.00	0.00	
	XXXXX0139	02/23/2021	Judicial Council of California	1,700.00	March 2021	March 2021 - Superior Courthouse Parking Lot Lease	1,700.00	0.00	\$1,700.00
	XXXXX0140	02/23/2021	National Construction Rentals Inc	385.40	5945851.		385.40	0.00	\$2,130.60
				130.90	5945852.		130.90	0.00	
				407.20	5975652.		407.20	0.00	
				130.90	5975653		130.90	0.00	
				407.20	6003185		407.20	0.00	
				130.90	6003186		130.90	0.00	

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
				407.20	6031749		407.20	0.00	
				130.90	6031750		130.90	0.00	
	XXXXX0141	02/23/2021	Pacific Eco-Risk	3,063.00	17186a		3,063.00	0.00	\$3,063.00
	XXXXX0142	02/23/2021	Pacific Gas & Electric Co	3,632.10	0522589865-8 0121	850 Russet Drive/Tennis	3,632.10	0.00	\$18,824.63
				560.63	0607513452-5 0121	Traffic Signals	560.63	0.00	
				6,062.20	4314259418-3 0121	Swimming Pools	6,062.20	0.00	
				642.31	5689257244-9 0121	Landscape H2O	642.31	0.00	
				7,927.39	9147590356-2 0121	Golf Courses	7,927.39	0.00	
	XXXXX0143	02/23/2021	Reed & Graham Inc	105.97	993004		105.97	0.00	\$105.97
	XXXXX0144	02/23/2021	TechnoFlo Systems	338.56	29673		338.56	0.00	\$338.56
	XXXXX0145	02/23/2021	United Language Group LLC	686.29	144534	January 2021	686.29	0.00	\$686.29
	XXXXX0146	02/25/2021	Bay Area Air Quality Management District	6,250.00	2021-Q2	WPCP Compliance - March 2021	6,250.00	0.00	\$6,250.00
	XXXXX0147	02/25/2021	NOVAworks Foundation	81.92	PR202108	Payroll 202108 Dues	81.92	0.00	\$81.92
	XXXXX0148	02/25/2021	State of CA - Dept of Forestry & Fire	825.00	FS-S1900250	FSTEP: S-190 Fees	825.00	0.00	\$825.00
	XXXXX0149	02/25/2021	Sunnyvale Public Safety Officers Assn	19,620.00	PR202108	Payroll 202108 Assoc Dues	19,620.00	0.00	\$19,620.00
	XXXXX0150	02/25/2021	Associated Infrastructure Mgmt Services	5,130.84	2021-001	Services: 1/4/21 - 1/31/21	5,130.84	0.00	\$5,130.84
	XXXXX0151	02/25/2021	AT&T	1,484.09	816-20D-9921-314	9572010-Fiar Oaks Widening Project -	1,484.09	0.00	\$1,484.09

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
						November 2020 - Placement of 2 intercept tunnel vaults			
	XXXXX0152	02/25/2021	Bay Counties SMaRT	45,147.84	031842.	December 2020	45,147.84	0.00	\$45,147.84
	XXXXX0153	02/25/2021	Hagensen Pacific Construction Inc	573,777.00	WSHNGTNP OOL#13	PR-15-02	573,777.00	0.00	\$573,777.00
	XXXXX0154	02/25/2021	Hargis & Assoc	11,350.00	December 2020	Development, administration and scoring of a promotional exam for the position of Public Safety Captain	11,350.00	0.00	\$11,350.00
	XXXXX0155	02/25/2021	Keller Supply Company	6,219.81	S 014684757.0 03		6,219.81	0.00	\$6,219.81
	XXXXX0156	02/25/2021	Lombardo Diamond Core Drilling Co Inc	585.00	25225		585.00	0.00	\$585.00
	XXXXX0157	02/25/2021	Mahan and Sons Inc	1,060.00	1807	Nov 2020	1,060.00	0.00	\$1,060.00
	XXXXX0158	02/25/2021	Maze & Assoc	5,864.00	39071		5,864.00	0.00	\$5,864.00
	XXXXX0159	02/25/2021	P&A Adminstrative Services Inc	36.17	602331	Health & Dep Care Reimb 2/14-20/21	36.17	0.00	\$36.17
	XXXXX0160	02/25/2021	Pacific Crane Inspections	2,600.00	2096	Field PO DPW92320	2,600.00	0.00	\$2,600.00
	XXXXX0161	02/25/2021	Pacific Gas & Electric Co	31,422.13	6022590556-5 0121	H2O Supply	31,422.13	0.00	\$31,422.13
	XXXXX0162	02/25/2021	Pine Cone Lumber Co Inc	261.93	82711.		261.93	0.00	\$261.93
	XXXXX0163	02/25/2021	Regional Government Services Authority	5,330.00	11660		5,330.00	0.00	\$5,330.00
	XXXXX0164	02/25/2021	RootX	2,890.06	62445		2,890.06	0.00	\$2,890.06

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
	XXXXX0165	02/25/2021	San Jose Conservation Corps	8,750.00	7473.	Dec 2020 Glass Collection Svc	8,750.00	0.00	\$8,750.00
	XXXXX0166	02/25/2021	Shred-It USA LLC	82.17	8181275621	17104641 1/6/21 Svc	82.17	0.00	\$247.27
				165.10	8181467920	17104641 1/21/21 Svc	165.10	0.00	
	XXXXX0167	02/25/2021	Silicon Valley Leadership	1,500.00	FY20/21 QTR 2	SVL FY 20/21 Qtr 2	1,500.00	0.00	\$1,500.00
	XXXXX0168	02/25/2021	Smiths Gopher Trapping Service	1,032.00	77004		1,032.00	0.00	\$2,064.00
				1,032.00	77005		1,032.00	0.00	
	XXXXX0169	02/25/2021	Statcomm Inc	12,573.86	W12550		12,573.86	0.00	\$12,573.86
	XXXXX0170	02/25/2021	Suburban Propane	1,047.32	122611	AC#1644-000448	1,047.32	0.00	\$1,047.32
	XXXXX0171	02/25/2021	Target Specialty Products Inc	3,557.76	INVP5003579 82		3,557.76	0.00	\$3,557.76
	XXXXX0172	02/25/2021	Thomas Plumbing Inc	260.00	5984		260.00	0.00	\$260.00
	XXXXX0173	02/25/2021	Togo's Sunnyvale	213.64	272		213.64	0.00	\$213.64
	XXXXX0174	02/25/2021	TRISTAR Risk Management	2,999.71	112058	Workers Comp Jan 2021	2,999.71	0.00	\$2,999.71
	XXXXX0175	02/25/2021	United Way Bay Area	149.15	PR202108	Payroll 202108 Contributions	149.15	0.00	\$149.15
	XXXXX0176	02/25/2021	V & A Consulting Engineers	4,884.50	19962		4,884.50	0.00	\$4,884.50
	XXXXX0177	02/25/2021	Valley Water	9,865.32	GM102623	Dec 2020 Ground Water Extraction	9,865.32	0.00	\$18,136.80
				8,271.48	GM102672	Jan 2021 Groundwater Extraction	8,271.48	0.00	
	XXXXX0178	02/25/2021	Verizon Wireless	51.04	9872988232	642069813-00001	51.04	0.00	\$51.04

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
	XXXXX0179	02/25/2021	Kirby Canyon Recycling and Disposal Facility	722,326.82	Jan2021	9,745.37 Tons@\$74.12/Ton	722,326.82	0.00	\$722,326.82
	XXXXX0180	02/25/2021	Watersavers Irrigation Inc	480.70	2380502-00		480.70	0.00	\$480.70
	XXXXX0181	02/25/2021	Anthony Pineda	2,433.06	March 2021	Medical Reimbursement March 2021	2,433.06	0.00	\$2,433.06
	XXXXX0182	02/25/2021	LISA G ROSENBLUM	22.39	March 2021	Medical Reimbursement March 2021	22.39	0.00	\$22.39
	XXXXX0183	02/25/2021	Michael Spath	2,074.83	March 2021	Medical Reimbursement March 2021	2,074.83	0.00	\$2,074.83
	XXXXX0184	02/25/2021	Derek Swanger	427.76	013021-013121	Adv Disability Pension 1/30-1/31/21	427.76	0.00	\$427.76
	XXXXX0185	02/25/2021	Rick Pisano Roofing	12,882.00	2021-1	Roof Rehab, Nancy Pedigo 690 Persian Dr #89	12,882.00	0.00	\$12,882.00
	XXXXX0186	02/25/2021	Mills Lab Inc	4,364.36	81022		4,364.36	0.00	\$4,364.36
	XXXXX0187	02/25/2021	Ann Durkes	40.70	March 2021	Medical Reimbursement March 2021	40.70	0.00	\$40.70
	XXXXX0188	02/25/2021	Charles Eaneff	708.56	March 2021	Medical Reimbursement March 2021	708.56	0.00	\$708.56
	XXXXX0189	02/25/2021	Lynne Kilpatrick	40.02	March 2021	Medical Reimbursement March 2021	40.02	0.00	\$40.02
	XXXXX0190	02/25/2021	Mark Rogge	327.31	March 2021	Medical Reimbursement March 2021	327.31	0.00	\$327.31
	XXXXX0191	02/25/2021	Dean Russell	2,074.83	March 2021	Medical	2,074.83	0.00	\$2,074.83

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
						Reimbursement March 2021			
	XXXXX0192	02/25/2021	Albert Scott	54.62	March 2021	Medical Reimbursement March 2021	54.62	0.00	\$54.62
	XXXXX0193	02/25/2021	Robert Van Heusen	891.22	March 2021	Medical Reimbursement March 2021	891.22	0.00	\$891.22
	XXXXX0194	02/25/2021	Glenn Fortin	471.62	March 2021	Medical Reimbursement March 2021	471.62	0.00	\$471.62
	XXXXX0195	02/25/2021	Nancy Steward	708.56	March 2021	Medical Reimbursement March 2021	708.56	0.00	\$708.56
EFT	XXXXX0149	02/25/2021	JOHN S WITTHAUS	1,648.34	March 2021	Medical Reimbursement March 2021	1,648.34	0.00	\$1,648.34
	XXXXX0150	02/25/2021	KAREN WOBLESKY	1,622.00	March 2021	Medical Reimbursement March 2021	1,622.00	0.00	\$1,622.00
	XXXXX0151	02/25/2021	KATHLEEN FRANCO SIMMONS	414.72	March 2021	Medical Reimbursement March 2021	414.72	0.00	\$414.72
	XXXXX0152	02/25/2021	KELLY FITZGERALD	531.62	March 2021	Medical Reimbursement March 2021	531.62	0.00	\$531.62
	XXXXX0153	02/25/2021	KELLY MENEHAN	327.31	March 2021	Medical Reimbursement March 2021	327.31	0.00	\$327.31
	XXXXX0154	02/25/2021	KLAUS DAEHNE	629.27	March 2021	Medical Reimbursement March 2021	629.27	0.00	\$629.27
	XXXXX0155	02/25/2021	MARK G PETERSEN	2,398.81	March 2021	Medical Reimbursement	2,398.81	0.00	\$2,398.81

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
						March 2021			
	XXXXX0156	02/25/2021	MARK STIVERS	54.62	March 2021	Medical Reimbursement March 2021	54.62	0.00	\$54.62
	XXXXX0157	02/25/2021	MIKE ECCLES	169.76	March 2021	Medical Reimbursement March 2021	169.76	0.00	\$169.76
	XXXXX0158	02/25/2021	PETE GONDA	2,074.83	March 2021	Medical Reimbursement March 2021	2,074.83	0.00	\$2,074.83
	XXXXX0159	02/25/2021	ROBERT WALKER	1,485.37	March 2021	Medical Reimbursement March 2021	1,485.37	0.00	\$1,485.37
	XXXXX0160	02/25/2021	RONALD DALBA	882.62	March 2021	Medical Reimbursement March 2021	882.62	0.00	\$882.62
	XXXXX0161	02/25/2021	SCOTT MORTON	659.90	March 2021	Medical Reimbursement March 2021	659.90	0.00	\$659.90
	XXXXX0162	02/25/2021	SILVIA MARTINS	421.95	March 2021	Medical Reimbursement March 2021	421.95	0.00	\$421.95
	XXXXX0163	02/25/2021	SIMON C LEMUS	1,622.00	March 2021	Medical Reimbursement March 2021	1,622.00	0.00	\$1,622.00
	XXXXX0164	02/25/2021	STEPHEN QUICK	1,465.80	March 2021	Medical Reimbursement March 2021	1,465.80	0.00	\$1,465.80
	XXXXX0165	02/25/2021	Victoria Grace Ketell	59.99	EXP0011606 178	Misc Norton 021121	59.99	0.00	\$59.99
	XXXXX0166	02/25/2021	Dzanh K Le	54.00	EXP0011519 147	Fuel reimbursement	54.00	0.00	\$54.00
	XXXXX0167	02/25/2021	ABEL A VARGAS	170.74	March 2021	Medical Reimbursement	170.74	0.00	\$170.74

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
						March 2021			
	XXXXX0168	02/25/2021	AIMEE FOSBENNER	202.70	March 2021	Medical Reimbursement March 2021	202.70	0.00	\$202.70
	XXXXX0169	02/25/2021	ALI FATAPOUR	1,648.34	March 2021	Medical Reimbursement March 2021	1,648.34	0.00	\$1,648.34
	XXXXX0170	02/25/2021	ANNABEL YURUTUCU	708.56	March 2021	Medical Reimbursement March 2021	708.56	0.00	\$708.56
	XXXXX0171	02/25/2021	BRICE MCQUEEN	1,648.34	March 2021	Medical Reimbursement March 2021	1,648.34	0.00	\$1,648.34
	XXXXX0172	02/25/2021	BYRON K PIPKIN	945.62	March 2021	Medical Reimbursement March 2021	945.62	0.00	\$945.62
	XXXXX0173	02/25/2021	CARL RUSHMEYER	1,148.08	March 2021	Medical Reimbursement March 2021	1,148.08	0.00	\$1,148.08
	XXXXX0174	02/25/2021	CATHY HAYNES	904.30	March 2021	Medical Reimbursement March 2021	904.30	0.00	\$904.30
	XXXXX0175	02/25/2021	CHRIS CARRION	1,048.78	March 2021	Medical Reimbursement March 2021	1,048.78	0.00	\$1,048.78
	XXXXX0176	02/25/2021	CHRISTINE MENDOZA	708.56	March 2021	Medical Reimbursement March 2021	708.56	0.00	\$708.56
	XXXXX0177	02/25/2021	CORYN CAMPBELL	421.95	March 2021	Medical Reimbursement March 2021	421.95	0.00	\$421.95
	XXXXX0178	02/25/2021	Cynthia Howells	142.76	March 2021	Medical Reimbursement March 2021	142.76	0.00	\$142.76

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
	XXXXX0179	02/25/2021	DAN HAMMONS	1,372.78	March 2021	Medical Reimbursement March 2021	1,372.78	0.00	\$1,372.78
	XXXXX0180	02/25/2021	DAVID KAHN	1,009.06	March 2021	Medical Reimbursement March 2021	1,009.06	0.00	\$1,009.06
	XXXXX0181	02/25/2021	DAVID L VERBRUGGE	2,074.83	March 2021	Medical Reimbursement March 2021	2,074.83	0.00	\$2,074.83
	XXXXX0182	02/25/2021	DAYTON W K PANG	2,433.06	March 2021	Medical Reimbursement March 2021	2,433.06	0.00	\$2,433.06
	XXXXX0183	02/25/2021	DEAN CHU	1,145.09	March 2021	Medical Reimbursement March 2021	1,145.09	0.00	\$1,145.09
	XXXXX0184	02/25/2021	DON JOHNSON	552.96	March 2021	Medical Reimbursement March 2021	552.96	0.00	\$552.96
	XXXXX0185	02/25/2021	DOUGLAS MORETTO	1,148.08	March 2021	Medical Reimbursement March 2021	1,148.08	0.00	\$1,148.08
	XXXXX0186	02/25/2021	ENCARNACION HERNANDEZ	142.76	March 2021	Medical Reimbursement March 2021	142.76	0.00	\$142.76
	XXXXX0187	02/25/2021	ESTRELLA KAWCZYNSKI	181.48	March 2021	Medical Reimbursement March 2021	181.48	0.00	\$181.48
	XXXXX0188	02/25/2021	EUGENE J WADDELL	529.55	March 2021	Medical Reimbursement March 2021	529.55	0.00	\$529.55
	XXXXX0189	02/25/2021	GAIL SWEGLES	101.14	March 2021	Medical Reimbursement March 2021	101.14	0.00	\$101.14
	XXXXX0190	02/25/2021	GARY LUEBBERS	141.40	March 2021	Medical	141.40	0.00	\$141.40

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
						Reimbursement March 2021			
	XXXXX0191	02/25/2021	GREGORY E KEVIN	757.62	March 2021	Medical Reimbursement March 2021	757.62	0.00	\$757.62
	XXXXX0192	02/25/2021	JAMES BOUZIANE	1,048.78	March 2021	Medical Reimbursement March 2021	1,048.78	0.00	\$1,048.78
	XXXXX0193	02/25/2021	JANICE BROUSSARD	659.90	March 2021	Medical Reimbursement March 2021	659.90	0.00	\$659.90
	XXXXX0194	02/25/2021	Jeanette Langdell	1,447.70	March 2021	Medical Reimbursement March 2021	1,447.70	0.00	\$1,447.70
	XXXXX0195	02/25/2021	JEFFREY PLECQUE	1,231.62	March 2021	Medical Reimbursement March 2021	1,231.62	0.00	\$1,231.62
	XXXXX0196	02/25/2021	JEROME P AMMERMAN	659.90	March 2021	Medical Reimbursement March 2021	659.90	0.00	\$659.90
	XXXXX0197	02/25/2021	STEVEN D PIGOTT	571.93	March 2021	Medical Reimbursement March 2021	571.93	0.00	\$571.93
	XXXXX0198	02/25/2021	TAMMY PARKHURST	421.95	March 2021	Medical Reimbursement March 2021	421.95	0.00	\$421.95
	XXXXX0199	02/25/2021	THERESE BALBO	1,198.96	March 2021	Medical Reimbursement March 2021	1,198.96	0.00	\$1,198.96
	XXXXX0200	02/25/2021	TIM CARLYLE	757.62	March 2021	Medical Reimbursement March 2021	757.62	0.00	\$757.62
	XXXXX0201	02/25/2021	TIM JOHNSON	757.62	March 2021	Medical Reimbursement	757.62	0.00	\$757.62

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
						March 2021			
	XXXXX0202	02/25/2021	VINCENT CHETCUTI	2,433.06	March 2021	Medical Reimbursement March 2021	2,433.06	0.00	\$2,433.06
	XXXXX0203	02/25/2021	WILLIAM BIELINSKI	421.95	March 2021	Medical Reimbursement March 2021	421.95	0.00	\$421.95
Grand Total				1,638,354.70			1,638,354.70	0.00	\$1,638,354.70

City of Sunnyvale

LIST # 065

**List of All Claims and Bills Approved for Payment
For Payments Dated 02/28/2021 through 03/06/2021**

Sorted by Payment Type, Payment Number and Invoice Number

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
CHECK	XXXXX0196	03/02/2021	State Water Resources Control Board	120.00	B Boardman G1 Exam Appl	Brandon Boardman G1 Exam Application Fee	120.00	0.00	\$120.00
	XXXXX0197	03/02/2021	Shred-It USA LLC	66.70	8181265506	13178606 1/5/21 Svc	66.70	0.00	\$138.07
				71.37	8181458104	13178606 2/2/01 Svc	71.37	0.00	
	XXXXX0198	03/02/2021	Tyler Technologies	1,093.75	025-319291		1,093.75	0.00	\$3,281.25
				875.00	025-319567		875.00	0.00	
				262.50	025-320744		262.50	0.00	
				1,050.00	025-322448		1,050.00	0.00	
	XXXXX0199	03/02/2021	Valbridge Property Advisors	2,310.00	30711		2,310.00	0.00	\$2,310.00
	XXXXX0200	03/02/2021	Derek Swanger	6,505.53	020121-022821	Adv Disability Pension 2/1-2/28/21	6,505.53	0.00	\$6,505.53
	XXXXX0201	03/02/2021	Girlstart	2,120.00	101820	December 2020 Virtual Camp	2,120.00	0.00	\$2,120.00
	XXXXX0202	03/02/2021	Sunnyvale Public Safety Officers Assn	4,949.00	Disability0321	Long Term Disability March 2021	4,949.00	0.00	\$4,949.00
	XXXXX0203	03/02/2021	Sunnyvale Public Safety Officers Assn	38,340.00	Dental0321	COA and PSOA Dental Reimb March 2021	38,340.00	0.00	\$38,340.00
	XXXXX0204	03/02/2021	Timothy Fairfield	17,966.52	146071-12734	Utility Refund-Overpayment	17,966.52	0.00	\$17,966.52
	XXXXX0205	03/02/2021	Aaron's Industrial Pumping	395.00	12/28/20		395.00	0.00	\$395.00
	XXXXX0206	03/02/2021	Abtech Technologies	18,626.82	2011010-IN		18,626.82	0.00	\$18,626.82

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
			Inc						
	XXXXX0207	03/02/2021	Acme Boiler & Water Heating Co	485.00	7244		485.00	0.00	\$485.00
	XXXXX0208	03/02/2021	Advanced Chemical Transport Inc	373.50	300652		373.50	0.00	\$10,266.30
				5,098.41	300923		5,098.41	0.00	
				4,794.39	305844		4,794.39	0.00	
	XXXXX0209	03/02/2021	Airgas USA LLC	745.36	9107269401.		745.36	0.00	\$3,382.67
				189.33	9108675697		189.33	0.00	
				578.62	9108960057		578.62	0.00	
				693.24	9109941873		693.24	0.00	
				192.71	9975140378		192.71	0.00	
				278.21	9975185720.		278.21	0.00	
				192.71	9976619031		192.71	0.00	
				258.21	9976670445		258.21	0.00	
				254.28	9977404448		254.28	0.00	
	XXXXX0210	03/02/2021	Amazon Capital Services Inc	103.30	1PWC-TW1Y-6GYC		103.30	0.00	\$194.90
				37.12	1VHY-HM6X-G36R		37.12	0.00	
				54.48	1VLN-XM3D-7KV3		54.48	0.00	
	XXXXX0211	03/02/2021	Arne Sign & Decal Co Inc	555.90	21-12449		555.90	0.00	\$555.90
	XXXXX0212	03/02/2021	Ascent Environmental	26,334.00	18010029.02-18		26,334.00	0.00	\$26,334.00
	XXXXX0213	03/02/2021	Baker & Taylor	92.18	2035686795		92.18	0.00	\$2,474.92
				44.63	2035690252		44.63	0.00	
				394.67	5016684630		394.67	0.00	
				683.45	5016696602		683.45	0.00	
				14.71	5016697087		14.71	0.00	
				83.04	5016697089		83.04	0.00	
				14.39	5016697201		14.39	0.00	
				49.99	5016698079		49.99	0.00	

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
				43.75	5016698081		43.75	0.00	
				7.04	5016698083		7.04	0.00	
				137.72	5016698085		137.72	0.00	
				61.21	5016703044		61.21	0.00	
				30.93	5016703068		30.93	0.00	
				34.30	5016703216		34.30	0.00	
				116.24	5016703218		116.24	0.00	
				450.35	5016714427		450.35	0.00	
				11.60	H53957300		11.60	0.00	
				30.91	H53977860		30.91	0.00	
				23.21	H54014970		23.21	0.00	
				30.95	H54015080		30.95	0.00	
				63.59	H54016760		63.59	0.00	
				19.33	H54018420		19.33	0.00	
				13.52	H54033720		13.52	0.00	
				23.21	H54047750		23.21	0.00	
	XXXXX0214	03/02/2021	Banner Bank	29,672.47	WASHNGTN POOL#13	PR-15-02	29,672.47	0.00	\$29,672.47
	XXXXX0215	03/02/2021	Bartel Assoc LLC	5,800.00	21-066	January 2021	5,800.00	0.00	\$8,000.00
				2,200.00	21-067	January 2021	2,200.00	0.00	
	XXXXX0216	03/02/2021	Bay Area Air Quality Management District	413.00	T123281	Customer #B201430F200847 - Renewal Term 4/2021-4/2022	413.00	0.00	\$413.00
	XXXXX0217	03/02/2021	Best Best & Krieger LLP	2,268.00	895828	Solid Waste Services - December 2020	2,268.00	0.00	\$3,370.50
				1,102.50	895829	Smart Station Services December 2020	1,102.50	0.00	
	XXXXX0218	03/02/2021	Buckles-Smith Electric Co	6,163.21	3223498 -00		6,163.21	0.00	\$6,163.21
	XXXXX0219	03/02/2021	CENGAGE Learning Inc/Gale	27.03	72721683		27.03	0.00	\$1,730.17
				817.75	72729661		817.75	0.00	

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
				79.31	72746708		79.31	0.00	
				34.00	72787241		34.00	0.00	
				229.28	72832531		229.28	0.00	
				32.25	72845735		32.25	0.00	
				15.70	72953938		15.70	0.00	
				66.26	73134485		66.26	0.00	
				26.15	73202260		26.15	0.00	
				62.76	73202475		62.76	0.00	
				62.76	73404374		62.76	0.00	
				276.92	73568151		276.92	0.00	
	XXXXX0220	03/02/2021	Colantuono Highsmith & Whatley PC	712.50	46308	January 2021	712.50	0.00	\$712.50
	XXXXX0221	03/02/2021	CyberSource Corp	75.00	235934457611	December 2020	75.00	0.00	\$75.00
	XXXXX0222	03/02/2021	Downey Brand LLP	18,040.00	557550	January 2021 - San Francisco Baykeeper	18,040.00	0.00	\$18,040.00
	XXXXX0223	03/02/2021	Environmental Resource Assoc	484.85	956015	BL009980 / DP121483	484.85	0.00	\$484.85
	XXXXX0224	03/02/2021	EOA Inc	2,467.35	SUN001-1220	December 2020 - Environmentally Related Forensic Services	2,467.35	0.00	\$2,467.35
	XXXXX0225	03/02/2021	Haute Cuisine Inc	1,044.00	268-2021		1,044.00	0.00	\$3,592.00
				2,548.00	269-2021		2,548.00	0.00	
	XXXXX0226	03/02/2021	LTI Electric Inc	10,840.00	4220		10,840.00	0.00	\$10,840.00
	XXXXX0227	03/02/2021	MGT of America LLC	4,350.00	39013	50% SB 90 Claiming	4,350.00	0.00	\$4,350.00
	XXXXX0228	03/02/2021	Mountain View Garden Center	163.34	104500		163.34	0.00	\$163.34
	XXXXX0229	03/02/2021	Municipal Maintenance Equipment Inc	30.44	0142367-IN		30.44	0.00	\$16,252.16
				360.61	0144343-IN		360.61	0.00	
				831.84	0145567-IN		831.84	0.00	

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
				1,285.38	0146064-IN		1,285.38	0.00	
				313.35	0146221-IN		313.35	0.00	
				1,319.40	0146303-IN		1,319.40	0.00	
				1,418.72	0146541-IN		1,418.72	0.00	
				1,450.00	0146583-IN		1,450.00	0.00	
				3,830.30	0147430-IN		3,830.30	0.00	
				316.74	0148853-IN		316.74	0.00	
				1,599.54	0148858-IN		1,599.54	0.00	
				907.56	0149214-IN		907.56	0.00	
				142.34	0151252-IN		142.34	0.00	
				116.61	0151526-IN		116.61	0.00	
				252.47	0151649-IN		252.47	0.00	
				127.55	0151657-IN		127.55	0.00	
				141.19	0151673-IN		141.19	0.00	
				1,808.12	0152172-IN		1,808.12	0.00	
	XXXXX0230	03/02/2021	Municipal Plan Check Services Inc	19,125.00	1167	January 2021	19,125.00	0.00	\$19,125.00
	XXXXX0231	03/02/2021	Mythics Inc	490.31	155673	Oracle Pass & Iaas Universal Credits 12/15-12/31/20	490.31	0.00	\$1,450.29
				959.98	156542	Oracle Pass & Iaas Universal Credits 1/1-1/31/2021	959.98	0.00	
	XXXXX0232	03/02/2021	NBS	1,752.50	1210000113	Public Facilities Impact Fee Study Svc Thru 1/31/21	1,752.50	0.00	\$1,752.50
	XXXXX0233	03/02/2021	Nearmap US Inc	2,294.01	INV00286074	Nearmap Vertical 500 MB 12/30/20-11/29/21	2,294.01	0.00	\$2,294.01
	XXXXX0234	03/02/2021	Netfile Inc	4,162.50	6959	10/15/20-1/15/21	4,162.50	0.00	\$8,325.00
				4,162.50	7103	1/15/21-4/15/21	4,162.50	0.00	
	XXXXX0235	03/02/2021	Nutrien AG Solutions Inc	1,883.35	43904113		1,883.35	0.00	\$1,883.35

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
	XXXXX0236	03/02/2021	Olympus And Associates Inc	14,300.00	OLY2020-40		14,300.00	0.00	\$14,300.00
	XXXXX0237	03/02/2021	Oracle America Inc	5,982.23	44808890	Software Lic & Support 10/1/20-12/31/20	5,982.23	0.00	\$5,982.23
	XXXXX0238	03/02/2021	Pacific Gas & Electric Co	5,336.15	0007980582-6	1444 Borregas Ave/WPCP Departing Load	5,336.15	0.00	\$20,471.41
				4,892.12	0007986796-6	1444 Borregas Ave/WPCP Departing Load	4,892.12	0.00	
				10,243.14	3564259466-3 0121	Traffic Signals	10,243.14	0.00	
	XXXXX0239	03/02/2021	Payment Vision	2,098.66	202075		2,098.66	0.00	\$3,503.88
				1,405.22	202927		1,405.22	0.00	
	XXXXX0240	03/02/2021	Reed & Graham Inc	946.74	991725.		946.74	0.00	\$4,407.38
				3,460.64	991885		3,460.64	0.00	
	XXXXX0241	03/02/2021	SCS Engineers	2,070.00	0396109	LF Non-Routine & Repair Svc	2,070.00	0.00	\$2,070.00
	XXXXX0242	03/02/2021	SHI International Corp	93.89	B12896620		93.89	0.00	\$93.89
	XXXXX0245	03/04/2021	Alpine Awards Inc	125.84	5544297		125.84	0.00	\$125.84
	XXXXX0246	03/04/2021	Amazon Capital Services Inc	685.80	11CC-LWD3-7QP9		685.80	0.00	\$4,333.19
				685.80	11N1-7RXJ-NMYJ		685.80	0.00	
				685.80	17K7-XP9G-96XW		685.80	0.00	
				37.78	1D4R-HLHW-MJ6T		37.78	0.00	
				106.71	1F7W-119F-C143		106.71	0.00	

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
				28.57	1FKM-F7JN-9Q3J		28.57	0.00	
				1,371.60	1H4K-63KY-VJ3G		1,371.60	0.00	
				19.28	1HRJ-9KW9-9YGL		19.28	0.00	
				26.05	1HRQ-D3PM-FL4G		26.05	0.00	
				685.80	1NR3-VNMR-QYWD		685.80	0.00	
	XXXXX0247	03/04/2021	Bickmore Actuarial	6,500.00	28252		6,500.00	0.00	\$6,500.00
	XXXXX0248	03/04/2021	Califa Group	2,746.02	4138	RFID Media tags used to process library materials	2,746.02	0.00	\$2,746.02
	XXXXX0249	03/04/2021	California Department of Justice	545.00	491009	DOJ Livescan	545.00	0.00	\$545.00
	XXXXX0250	03/04/2021	CDM Smith	344,039.65	90116642	Services 11/29/20 - 1/2/21	344,039.65	0.00	\$344,039.65
	XXXXX0251	03/04/2021	Century Graphics	1,294.38	53832	Past Due Invoice - no record of invoice previously being received	1,294.38	0.00	\$1,294.38
	XXXXX0252	03/04/2021	Columbia Electric Inc	176,649.94	HNDY&SNNY 17#05	TR-17-02	176,649.94	0.00	\$176,649.94
	XXXXX0253	03/04/2021	Foundation for California Community	23,984.50	NOVA-2113	Contract #CP-085-15 10/1/15-6/30/21	23,984.50	0.00	\$23,984.50
	XXXXX0254	03/04/2021	Gardenland Power Equipment	152.84	824458		152.84	0.00	\$152.84
	XXXXX0255	03/04/2021	Grainger	86.79	9735559289	Fuses for Pump at Serra Park	86.79	0.00	\$563.59
				50.48	9757533055		50.48	0.00	
				118.25	9780873056	Batteries	118.25	0.00	

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
				156.31	9786638842	Rain Jacket & Pants	156.31	0.00	
				151.76	9792712334	Pumps	151.76	0.00	
	XXXXX0256	03/04/2021	International Contact Inc	150.00	I-05402		150.00	0.00	\$150.00
	XXXXX0257	03/04/2021	JJR Construction Inc	190,004.02	CRBSSDWLK 19#07	ST-19-02	190,004.02	0.00	\$190,004.02
	XXXXX0258	03/04/2021	Keenan & Associates	3,460.00	252986.	7/1/20-7/1/21 Risk Mgmt Fees	3,460.00	0.00	\$3,460.00
	XXXXX0259	03/04/2021	KME Fire Apparatus	63.98	ca553817		63.98	0.00	\$7,707.76
				630.22	ca553822		630.22	0.00	
				1,168.13	ca553851		1,168.13	0.00	
				326.08	ca553955		326.08	0.00	
				120.22	ca553981		120.22	0.00	
				787.18	ca554124		787.18	0.00	
				1,192.98	ca554243		1,192.98	0.00	
				21.04	ca554284		21.04	0.00	
				161.82	ca554286		161.82	0.00	
				81.27	ca554384		81.27	0.00	
				120.50	ca554421		120.50	0.00	
				179.37	ca554426		179.37	0.00	
				501.92	ca554486		501.92	0.00	
				388.75	ca554496		388.75	0.00	
				516.37	ca554504		516.37	0.00	
				542.68	ca554505		542.68	0.00	
				438.87	ca554562		438.87	0.00	
				130.67	ca554630		130.67	0.00	
				69.84	ca554650		69.84	0.00	
				70.92	ca554682		70.92	0.00	
				194.95	ca554795		194.95	0.00	
	XXXXX0260	03/04/2021	Liebert Cassidy Whitmore	72.00	1514757		72.00	0.00	\$684.00
				576.00	1514758		576.00	0.00	
				36.00	1514759		36.00	0.00	
	XXXXX0261	03/04/2021	LTI Electric Inc	3,587.00	4248	Field PO	3,587.00	0.00	\$3,587.00

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
						DPW121020			
	XXXXX0262	03/04/2021	Mallory Safety & Supply LLC	334.74	4964847		334.74	0.00	\$2,078.74
				1,744.00	4985872		1,744.00	0.00	
	XXXXX0263	03/04/2021	Multiple Pump Service Inc	93,946.21	7091		93,946.21	0.00	\$184,238.76
				90,292.55	7153		90,292.55	0.00	
	XXXXX0264	03/04/2021	Municipal Maintenance Equipment Inc	3,501.13	0149985-IN		3,501.13	0.00	\$3,501.13
	XXXXX0265	03/04/2021	P&A Adminstrative Services Inc	7,436.02	603883	Health & Dep Care Reimb 2/21-2/27/21	7,436.02	0.00	\$7,436.02
	XXXXX0266	03/04/2021	Pacific Gas & Electric Co	1,328.35	0007983180-6	February 2021	1,328.35	0.00	\$1,328.35
	XXXXX0267	03/04/2021	Payment Vision	1,651.84	195903	May 2020	1,651.84	0.00	\$1,651.84
	XXXXX0268	03/04/2021	Preferred Benefit Insurance Admin Inc	67,961.40	EIA36201	Feb 2021 Delta Dental PPO and VSP Vision Premiums	67,961.40	0.00	\$67,961.40
	XXXXX0269	03/04/2021	R&R Industries Inc	483.16	593037		483.16	0.00	\$483.16
	XXXXX0270	03/04/2021	Rays Electric	4,750.00	ADVDLMAZO NE#02	TR-17-05	4,750.00	0.00	\$4,750.00
	XXXXX0271	03/04/2021	S & L Fence Co	10,985.29	05038		10,985.29	0.00	\$10,985.29
	XXXXX0272	03/04/2021	County of Santa Clara	262,201.00	NOV20-027	Final Billing - Nov. 3, 2020 Presidential General Election	262,201.00	0.00	\$262,201.00
	XXXXX0273	03/04/2021	California Newspapers Partnership	2,706.00	Stmt 0001276224	AC#2080321 11/1-30/2020	2,706.00	0.00	\$6,314.00
				2,255.00	Stmt 0001279643	AC#2080321 12/1-12/30/2020	2,255.00	0.00	
				451.00	Stmt 0001282715	AC#2080321 1/1-1/31/2021	451.00	0.00	
				451.00	Stmt0001276	AC#2080321 11/1-	451.00	0.00	

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
					224	11/30/2020			
				451.00	Stmt0001282 715	AC#2080321 1/1-1/31/2021	451.00	0.00	
	XXXXX0274	03/04/2021	TRISTAR Risk Management	8,264.60	112149	Prefund Req Walter Lee	8,264.60	0.00	\$8,264.60
	XXXXX0275	03/04/2021	United Rentals	1,673.26	165469049-026		1,673.26	0.00	\$10,081.80
				1,673.26	165469049-027		1,673.26	0.00	
				3,367.64	172295268-019		3,367.64	0.00	
				3,367.64	172295268-020		3,367.64	0.00	
	XXXXX0276	03/04/2021	West Valley Staffing Group	789.48	287801.	Margaret Netto W/E 12/27/20	789.48	0.00	\$15,000.12
				526.32	288162	Margaret Netto W/E 1/3/2021	526.32	0.00	
				2,105.28	288528	Margaret Netto W/E 1/10/21	2,105.28	0.00	
				2,105.28	288891	Margaret Netto W/E 1/17/21	2,105.28	0.00	
				2,368.44	289269	Margaret Netto W/E 1/24/21	2,368.44	0.00	
				2,368.44	289657	Margaret Netto W/E 1/31/21	2,368.44	0.00	
				2,368.44	290016	Margaret Netto W/E 2/7/21	2,368.44	0.00	
				2,368.44	290383	Margaret Netto W/E 2/14/21	2,368.44	0.00	
	XXXXX0277	03/04/2021	WESTAF	2,500.00	21-088	Public Art Collection Database 1/28/21-1/27/22	2,500.00	0.00	\$2,500.00
	XXXXX0278	03/04/2021	Allan Nathan LLC	1,600.00	21001	Tech Drawings &	1,600.00	0.00	\$1,600.00

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
						Maps of theatre			
	XXXXX0279	03/04/2021	Sierra Installations Inc	1,560.00	210034	"Sun" Banners	1,560.00	0.00	\$1,560.00
	XXXXX0280	03/04/2021	Yamaha Motor Finance Corporation, U.S.A.	221.08	725415.		221.08	0.00	\$12,167.48
				5,973.20	727602.		5,973.20	0.00	
				5,973.20	729791.		5,973.20	0.00	
	XXXXX0281	03/04/2021	Bay Area Air Quality Management District	16,948.00	4JT20 Permit	Permit App Fee for Secondary Treatment/Dewatering Project	16,948.00	0.00	\$16,948.00
	XXXXX0282	03/04/2021	Macias Gini and OConnell LLP	37,986.40	283766 284706 285620		37,986.40	0.00	\$37,986.40
	XXXXX0283	03/04/2021	Priority Dispatch Corp	365.00	SIN255625	Tiffany Roberts Emergency Medical Dispatch Course 3/16-18/20	365.00	0.00	\$365.00
EFT	XXXXX0254	03/02/2021	Alan J Harnett	309.73	EXP0011592 948	Harnett Travel Del Mar 020221	309.73	0.00	\$309.73
	XXXXX0255	03/02/2021	William K Rapoza	750.00	EXP0012172 773	TUITION REIMBURSEMENT CLAIM FY2020/2021	750.00	0.00	\$750.00
	XXXXX0256	03/02/2021	Barron N Renzi	799.50	EXP0011672 475	Renzi Travel Monterey 013121	799.50	0.00	\$799.50
	XXXXX0257	03/02/2021	Norma V O'Connell	217.00	EXP0011985 570	O'Connell N Travel San Francisco 020121	217.00	0.00	\$217.00
	XXXXX0258	03/02/2021	John Preston Edington	219.00	EXP0012098 059	Certification Exam	219.00	0.00	\$219.00
	XXXXX0259	03/02/2021	Casey Alan Bishop	200.00	EXP0011672 608	Misc Bishop Boot Reimbursement 020221	200.00	0.00	\$200.00
	XXXXX0260	03/02/2021	Nicholas A Kakis	744.00	EXP0011696 992	Kakis Travel Monterey 013121	744.00	0.00	\$744.00

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
	XXXXX0261	03/02/2021	Priscilla E Luckey	16.88	EXP0012064 087	Misc, FedEx 02.18.21	16.88	0.00	\$16.88
	XXXXX0262	03/02/2021	Scott B Isaacs	220.00	EXP0011672 623	Misc Isaacs Boot Reimbursement 013121	220.00	0.00	\$220.00
	XXXXX0263	03/02/2021	Michael B Mendoza	283.00	EXP0011593 013	Mendoza Travel Anaheim 011921	283.00	0.00	\$324.61
				41.61	EXP0011986 239	Mendoza Travel Garden Grove 011921	41.61	0.00	
	XXXXX0264	03/02/2021	Margaret Grace Apolinar	285.00	EXP0011675 948	Tuition reimbursement claim FY2020/21	285.00	0.00	\$690.00
				405.00	EXP0011676 019	Tution Reimbursment Claim FY 2020/21	405.00	0.00	
	XXXXX0265	03/02/2021	David S Lafaver	130.01	EXP0011672 660	Misc LaFaver Boot Reimbursement 012921	130.01	0.00	\$130.01
	XXXXX0266	03/02/2021	Kristopher K Toy	200.00	EXP0011672 594	Misc Toy Boot Reimbursement 020221	200.00	0.00	\$200.00
WIRE	XXXXX0315	03/03/2021	California Dept of Tax & Fee Admin	431.82	0017400918	January 31, 2021	431.82	0.00	\$431.82
	XXXXX0316	03/03/2021	First American Title	50,000.00	328 Alviso Terrace	2/28/21 - File #3819-5104672305 328 Alviso Terrace First Time Homebuyer Loan for BMR home	50,000.00	0.00	\$50,000.00
	XXXXX0317	03/03/2021	Keenan & Associates	32,860.93	2021-0131	Trust Reimb 1/16/21-1/31/21 Wire Date 2/3/21	32,860.93	0.00	\$32,860.93
	XXXXX0318	03/03/2021	Valley Water	717,292.62	TI002456	Treated Water Usage Jan 2021 Wire Date 2/22/21	717,292.62	0.00	\$717,292.62

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
	XXXXX0319	03/03/2021	Public Employees Retirement System	1,784,178.34	10000001630 5008	2/5/21 Feb 2021 - Medical Premium	1,784,178.34	0.00	\$1,784,178.34
	XXXXX0320	03/03/2021	San Francisco Public Utilities Commission	1,488,750.70	Jan 2021	Purchased Water from SFPUC Jan 2021 Payment Date 2/17/2021	1,488,750.70	0.00	\$1,488,750.70
	XXXXX0321	03/03/2021	Public Employees Retirement System	30.54	10000001630 0581	2/10/21 2021 Replacement Benefit Changes	30.54	0.00	\$30.54
Grand Total				5,834,727.87			5,834,727.87	0.00	\$5,834,727.87

City of Sunnyvale

LIST # 066

**List of All Claims and Bills Approved for Payment
For Payments Dated 03/07/2021 through 03/13/2021**

Sorted by Payment Type, Payment Number and Invoice Number

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
CHECK	XXXXX0284	03/09/2021	State Water Resources Control Board	155.00	K Markariian G2 Exam	Kyle Markarian SWRCB G2 Exam Application	155.00	0.00	\$155.00
	XXXXX0285	03/09/2021	HdL Coren & Cone	5,154.60	SIN002679		5,154.60	0.00	\$10,309.20
				5,154.60	SIN004539		5,154.60	0.00	
	XXXXX0286	03/09/2021	Kanopy Inc	1,568.00	234241-PPU		1,568.00	0.00	\$2,898.00
				1,330.00	238540-PPU		1,330.00	0.00	
	XXXXX0287	03/09/2021	Keller Supply Company	87.30	S015085408.001		87.30	0.00	\$87.30
	XXXXX0288	03/09/2021	KME Fire Apparatus	162.58	ca553850		162.58	0.00	\$162.58
	XXXXX0289	03/09/2021	Kompan Inc	112.00	INV103545		112.00	0.00	\$112.00
	XXXXX0290	03/09/2021	Lawson Products Inc	686.44	9308129582		686.44	0.00	\$686.44
	XXXXX0291	03/09/2021	Lehr Auto Electric	136.29	SI53732		136.29	0.00	\$288.45
				152.16	SI56660		152.16	0.00	
	XXXXX0292	03/09/2021	Leslies Pool Supplies Inc	131.07	00015-02-028905		131.07	0.00	\$131.07
	XXXXX0293	03/09/2021	Linko Technology Inc	2,585.00	7832.	Linko SW Mtnce 1/1/21-12/31/21	2,585.00	0.00	\$2,585.00
	XXXXX0294	03/09/2021	Mahan and Sons Inc	1,060.00	1817	January 2021	1,060.00	0.00	\$1,060.00
	XXXXX0295	03/09/2021	McMaster Carr Supply Co	139.64	38885096		139.64	0.00	\$3,134.01
				970.34	42946480		970.34	0.00	

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
				111.57	50778504		111.57	0.00	
				284.19	50825494		284.19	0.00	
				166.12	52303944.		166.12	0.00	
				839.62	52310805.		839.62	0.00	
				622.53	52627011		622.53	0.00	
	XXXXX0296	03/09/2021	Metal Werx	44.57	0004486		44.57	0.00	\$174.12
				129.55	0004525		129.55	0.00	
	XXXXX0297	03/09/2021	Mission Linen Service	64.48	513958229		64.48	0.00	\$1,234.60
				62.69	513958254		62.69	0.00	
				85.84	513966422.		85.84	0.00	
				52.48	513966428		52.48	0.00	
				52.87	513966429		52.87	0.00	
				61.83	514006177		61.83	0.00	
				85.84	514014704		85.84	0.00	
				49.83	514014710		49.83	0.00	
				52.87	514014711		52.87	0.00	
				69.78	514047394		69.78	0.00	
				65.34	514047419		65.34	0.00	
				93.79	514057076		93.79	0.00	
				60.43	514057082		60.43	0.00	
				58.17	514057083		58.17	0.00	
				64.48	514089441		64.48	0.00	
				65.34	514089466		65.34	0.00	
				83.19	514097531		83.19	0.00	
				52.48	514097537		52.48	0.00	
				52.87	514097538		52.87	0.00	
	XXXXX0298	03/09/2021	Mission Valley Ford Truck Sales Inc	171.37	752332		171.37	0.00	\$171.37
	XXXXX0299	03/09/2021	Nor Cal Signal Supply	3,533.76	1042-1		3,533.76	0.00	\$3,533.76
	XXXXX0300	03/09/2021	Nutrien AG Solutions Inc	1,220.80	43501960		1,220.80	0.00	\$4,701.17
				348.80	44270476		348.80	0.00	
				3,131.57	44305560		3,131.57	0.00	

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
	XXXXX0301	03/09/2021	OverDrive Inc	457.15	00910CO204 59650		457.15	0.00	\$8,921.84
				2,568.62	00910CO204 59707		2,568.62	0.00	
				595.45	00910CO210 01906		595.45	0.00	
				1,553.75	00910CO210 24515		1,553.75	0.00	
				27.95	00910DA204 56945		27.95	0.00	
				304.38	00910DA204 60307		304.38	0.00	
				1,332.91	00910DA210 03702		1,332.91	0.00	
				501.65	00910DA210 11853		501.65	0.00	
				29.99	00910DA210 15466		29.99	0.00	
				163.46	00910DA210 20185		163.46	0.00	
				27.50	00910DA210 22174		27.50	0.00	
				65.00	00910DA210 28843		65.00	0.00	
				375.11	00910DA210 29400		375.11	0.00	
				918.92	00910DA210 40681		918.92	0.00	
	XXXXX0302	03/09/2021	Pacific Gas & Electric Co	1,328.35	0007990374- 6	COO for Sp Fac@1444 Borregas WPCP Pwr Gen Facilities	1,328.35	0.00	\$137,630.43
				39,043.37	0314283663- 8 0221	1444 Borregas Ave	39,043.37	0.00	

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
				97,258.71	1105922118-1 0121	City Buildings	97,258.71	0.00	
	XXXXX0303	03/09/2021	Psomas	251,213.20	169561	WPCP Construction Mgmt Svc 11/20-12/31/20	251,213.20	0.00	\$251,213.20
	XXXXX0304	03/09/2021	QOVO Solutions Inc	243.00	26-3424	Feb 2021	243.00	0.00	\$486.00
				243.00	26-3477	March 2021	243.00	0.00	
	XXXXX0305	03/09/2021	Reed & Graham Inc	1,711.68	991959		1,711.68	0.00	\$13,999.49
				1,106.09	992041		1,106.09	0.00	
				2,841.12	992436		2,841.12	0.00	
				980.56	992523		980.56	0.00	
				1,722.52	992614		1,722.52	0.00	
				2,478.37	992747		2,478.37	0.00	
				1,052.10	992807		1,052.10	0.00	
				1,314.55	993080		1,314.55	0.00	
				792.50	993666		792.50	0.00	
	XXXXX0306	03/09/2021	Steelhead Engineers Inc	2,800.00	2012131	Dec 2020 Svc	2,800.00	0.00	\$2,800.00
	XXXXX0307	03/09/2021	TMC Shooting Range Specialist Inc	6,545.00	1742		6,545.00	0.00	\$6,545.00
	XXXXX0308	03/09/2021	United Site Services of California Inc	783.95	114-11501465		783.95	0.00	\$783.95
	XXXXX0309	03/09/2021	Univar Solutions USA Inc	3,439.53	48899819		3,439.53	0.00	\$41,585.86
				3,871.22	48903329		3,871.22	0.00	
				3,354.04	48910933		3,354.04	0.00	
				3,432.14	48918454		3,432.14	0.00	
				3,143.00	48922838		3,143.00	0.00	
				3,802.92	48934427		3,802.92	0.00	
				3,285.18	48944422		3,285.18	0.00	
				3,519.96	48948971.		3,519.96	0.00	
				3,145.81	48955399		3,145.81	0.00	
				3,656.56	48962789		3,656.56	0.00	
				3,459.45	48968282		3,459.45	0.00	

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				3,476.05	48978825		3,476.05	0.00	
	XXXXX0310	03/09/2021	USA Bluebook	468.06	466096		468.06	0.00	\$468.06
	XXXXX0311	03/09/2021	Vincent Electric Motor Co	405.16	0910165		405.16	0.00	\$405.16
	XXXXX0312	03/09/2021	VWR International LLC	266.45	8803391938		266.45	0.00	\$616.32
				91.10	8803401655		91.10	0.00	
				174.29	8803479166		174.29	0.00	
				84.48	8803483486		84.48	0.00	
	XXXXX0313	03/09/2021	Water One Industries Inc	1,300.00	142664	Jan 2021 Water Treatment Svc	1,300.00	0.00	\$1,300.00
	XXXXX0314	03/09/2021	Weck Laboratories Inc	350.19	W0K1048		350.19	0.00	\$350.19
	XXXXX0315	03/09/2021	SCBA Safety Check Inc	462.44	10565		462.44	0.00	\$462.44
	XXXXX0316	03/09/2021	Benefit Coordinators Corporation	49,437.78	8624	January 2021	49,437.78	0.00	\$49,437.78
	XXXXX0317	03/09/2021	Liberty Polygraph	475.00	1		475.00	0.00	\$475.00
	XXXXX0318	03/09/2021	Matthew J Hutchison	159.35	EXP0012161 218	Hutchison Travel Seattle 022221	159.35	0.00	\$159.35
	XXXXX0319	03/09/2021	3E Co Environmental Ecological Eng	2,012.50	3EU0102269.	SDS Program 1/1/21-12/31/21	2,012.50	0.00	\$2,012.50
	XXXXX0320	03/09/2021	Alameda County Information Tech Dept	1,728.29	112-2012055	December 2020	1,728.29	0.00	\$1,728.29
	XXXXX0321	03/09/2021	Allstar Fire Equipment Inc	24,605.77	229908		24,605.77	0.00	\$24,605.77
	XXXXX0322	03/09/2021	Amazon Capital Services Inc	37.06	13LG-FRK3-7Q3V		37.06	0.00	\$845.10
				25.06	14NY-43Y9-D4FY		25.06	0.00	
				43.14	17R3-NV4V-FFVH		43.14	0.00	

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
				25.93	1FKT-6NTF-HQMC		25.93	0.00	
				46.77	1G1T-M6MK-7739		46.77	0.00	
				76.33	1HRQ-D3PM-JHCX		76.33	0.00	
				34.19	1JHY-1J4Q-MLPD		34.19	0.00	
				129.54	1LYW-JT6M-W3RQ		129.54	0.00	
				113.30	1PVV-4TLF-TD1J		113.30	0.00	
				37.06	1QR1-PDCM-7QW7		37.06	0.00	
				7.60	1TQ9-1JXM-MGFG		7.60	0.00	
				14.38	1VVQ-DDJC-GY11		14.38	0.00	
				195.94	1YQG-PR49-3QG7		195.94	0.00	
				58.80	1YTG-64C6-PNQN		58.80	0.00	
	XXXXX0323	03/09/2021	AT&T	1,519.02	000015994309		1,519.02	0.00	\$1,519.02
	XXXXX0324	03/09/2021	C Overaa & Co	618,035.26	PRMRYTRT MT2#42	UY-16/01-20	618,035.26	0.00	\$618,035.26
	XXXXX0325	03/09/2021	Cintas Loc #38K	205.18	4063234346		205.18	0.00	\$9,748.54
				319.56	4063234368		319.56	0.00	
				152.09	4063234574		152.09	0.00	
				354.32	4063234819		354.32	0.00	
				319.56	4063850255		319.56	0.00	
				223.57	4063850274		223.57	0.00	
				152.09	4063850542		152.09	0.00	

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
				354.32	4063850897		354.32	0.00	
				205.18	4064489630		205.18	0.00	
				722.94	4064489717		722.94	0.00	
				152.09	4064489911		152.09	0.00	
				354.32	4064490306		354.32	0.00	
				205.18	4065150760		205.18	0.00	
				319.56	4065150827		319.56	0.00	
				152.09	4065150924		152.09	0.00	
				354.32	4065151313		354.32	0.00	
				319.56	4065868039		319.56	0.00	
				206.25	4065868041		206.25	0.00	
				152.09	4065868060		152.09	0.00	
				354.32	4065868198		354.32	0.00	
				206.25	4066474933		206.25	0.00	
				319.56	4066474964		319.56	0.00	
				319.56	4067115945		319.56	0.00	
				206.25	4067116012		206.25	0.00	
				319.56	4068329737		319.56	0.00	
				206.25	4069140395		206.25	0.00	
				319.56	4069140453		319.56	0.00	
				719.99	4069768399		719.99	0.00	
				319.56	4069768486		319.56	0.00	
				319.56	4070450053		319.56	0.00	
				320.45	4071049377		320.45	0.00	
				205.72	4071688189		205.72	0.00	
				387.68	4071688260		387.68	0.00	
	XXXXX0326	03/09/2021	Delta Dental Insurance Co	1,265.80	BE004329291	March 2021	1,265.80	0.00	\$1,265.80
	XXXXX0327	03/09/2021	F&M Bank	31,475.54	PRMRYTRT MT2#42	UY-16/01-20	31,475.54	0.00	\$31,475.54
	XXXXX0328	03/09/2021	G2 Solutions Inc	15.75	DOJINV-0006488	Records transmitted to DOJ via G2 Solutions	15.75	0.00	\$15.75

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	XXXXX0329	03/09/2021	Gardenland Power Equipment	1,955.58	776051		1,955.58	0.00	\$1,955.58
	XXXXX0330	03/09/2021	Goldfarb & Lipman	416.00	139041	Fees through 1/31/21	416.00	0.00	\$11,472.00
				64.00	139042	Fees through 1/31/21 (Housing)	64.00	0.00	
				864.00	139043	Fees through 1/31/21 - Town Center	864.00	0.00	
				10,128.00	139044	Fees through 1/31/21 - 2nd DOF Litigation	10,128.00	0.00	
	XXXXX0331	03/11/2021	NOVAworks Foundation	81.92	PR202110	Payroll 202110 Dues	81.92	0.00	\$81.92
	XXXXX0332	03/11/2021	Sunnyvale Public Safety Officers Assn	19,440.00	PR202110	Payroll 202110 Assoc Dues	19,440.00	0.00	\$19,440.00
	XXXXX0333	03/11/2021	Shivvale LLC	55,420.00	100	Fair Oaks Unhoused Motel Proj 2/26-3/31/21 Lodging	55,420.00	0.00	\$55,420.00
	XXXXX0334	03/11/2021	Shivvale LLC	30,000.00	101	Fair Oaks Unhoused Motel Proj Deposit	30,000.00	0.00	\$30,000.00
	XXXXX0335	03/11/2021	Winsupply of Silicon Valley	1,035.50	020922 01		1,035.50	0.00	\$1,113.46
				18.01	021317 01		18.01	0.00	
				59.95	022012 01		59.95	0.00	
	XXXXX0336	03/11/2021	Yorke Engineering LLC	4,799.25	24288	Oct-Dec 2020	4,799.25	0.00	\$4,799.25
	XXXXX0337	03/11/2021	Zumar Industries Inc	481.43	91781		481.43	0.00	\$481.43
	XXXXX0338	03/11/2021	Paris Extreme Builders Inc	4,741.73	21100	Field PO#DPW121620	4,741.73	0.00	\$4,741.73
	XXXXX0339	03/11/2021	State Water Resources Control Board	105.00	Op#45852 D4 Renewal	Albino Martinez D4 Cert Renewal	105.00	0.00	\$105.00
	XXXXX0340	03/11/2021	Pacific West Security Inc	176.00	44188	Golf Shop Feb 2021	176.00	0.00	\$557.00
				205.00	44298	CNC Feb 2021	205.00	0.00	
				176.00	44925	Golf Shop March	176.00	0.00	

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						2021			
	XXXXX0341	03/11/2021	Pan Asian Publications Inc	1,559.77	U-16652		1,559.77	0.00	\$1,559.77
	XXXXX0342	03/11/2021	Peterson	14,538.58	R3224437		14,538.58	0.00	\$25,707.42
				-5,969.44	R32244371	Ref Inv#R3224437	-5,969.44	0.00	
				8,569.14	R3224440		8,569.14	0.00	
				8,569.14	R3224441		8,569.14	0.00	
	XXXXX0343	03/11/2021	PTS Communications Inc	75.00	2060202	Feb 2021	75.00	0.00	\$150.00
				75.00	2061902	March 2021	75.00	0.00	
	XXXXX0344	03/11/2021	Quality Assurance Solutions LLC	1,040.00	CA-2021-002		1,040.00	0.00	\$1,040.00
	XXXXX0345	03/11/2021	R & B Co	3,453.12	S1989132.00 2		3,453.12	0.00	\$6,333.06
				142.57	S1991496.00 1		142.57	0.00	
				2,737.37	S1991504.00 1		2,737.37	0.00	
	XXXXX0346	03/11/2021	Regional Government Services Authority	4,290.00	11753	Jan 2021	4,290.00	0.00	\$4,290.00
	XXXXX0347	03/11/2021	Safeway Inc	69.60	00808093-020421		69.60	0.00	\$69.60
	XXXXX0348	03/11/2021	San Jose Conservation Corps	8,750.00	7479	Jan 2020	8,750.00	0.00	\$8,750.00
	XXXXX0349	03/11/2021	Santa Clara Adult Education	1,350.00	13484	19-08-201-07 Rhatisha Brooks	1,350.00	0.00	\$2,786.40
				1,436.40	13486		1,436.40	0.00	
	XXXXX0350	03/11/2021	Santa Clara Lighting Inc	239.80	20150		239.80	0.00	\$504.67
				212.55	20242		212.55	0.00	
				52.32	20300		52.32	0.00	
	XXXXX0351	03/11/2021	SCS Engineers	8,750.00	0396893	LF & Smart Stn Compliance Activities Jan 2021	8,750.00	0.00	\$8,750.00
	XXXXX0352	03/11/2021	Sector Security &	248.75	WO-1557		248.75	0.00	\$248.75

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			Communications						
	XXXXX0353	03/11/2021	SHI International Corp	93.89	B12934536		93.89	0.00	\$93.89
	XXXXX0354	03/11/2021	Shred-It USA LLC	77.32	8181160077	13180534 12/7/20 Svc	77.32	0.00	\$160.04
				82.72	8181540830	13180534 2/1/21 Svc	82.72	0.00	
	XXXXX0355	03/11/2021	Shums Coda Assoc	9,922.50	6019	Review Svc Dec 2020	9,922.50	0.00	\$9,922.50
	XXXXX0356	03/11/2021	Sierra Pacific Turf Supply Inc	886.17	0589255-IN		886.17	0.00	\$5,815.44
				716.97	0589533-IN		716.97	0.00	
				1,942.76	0590721-IN		1,942.76	0.00	
				2,269.54	0591336-IN		2,269.54	0.00	
	XXXXX0357	03/11/2021	Silicon Valley Polytechnic Institute	150.00	012820201-731	19-11-1170-22 Yoko Suzuki	150.00	0.00	\$8,250.00
				2,700.00	01282021-732	19-11-1170-23 Lila Aminian	2,700.00	0.00	
				2,700.00	01282021-733	19-11-1170-24 Steven Buhler	2,700.00	0.00	
				2,700.00	01282021-734	19-11-1170-21 Marc Cohen	2,700.00	0.00	
	XXXXX0358	03/11/2021	Sloan Sakai Yeung & Wong LLP	1,377.00	45309		1,377.00	0.00	\$1,377.00
	XXXXX0359	03/11/2021	Srixon Golf	1,969.49	6243404 SO		1,969.49	0.00	\$1,969.49
	XXXXX0360	03/11/2021	Stop Processing Center	22.04	19132		22.04	0.00	\$22.04
	XXXXX0361	03/11/2021	Sure Fire Protection Co Inc	190.00	PQ20291-01		190.00	0.00	\$190.00
	XXXXX0362	03/11/2021	Synagro-WWT Inc	9,173.45	19475	Sept 2020	9,173.45	0.00	\$27,577.55
				18,404.10	19668	Nov 2020	18,404.10	0.00	
	XXXXX0363	03/11/2021	Thomas Plumbing Inc	311.50	5941		311.50	0.00	\$462.00
				150.50	5972		150.50	0.00	

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	XXXXX0364	03/11/2021	Thomson Reuters West	1,917.09	843747227	Jan 2021	1,917.09	0.00	\$1,917.09
	XXXXX0365	03/11/2021	TJKM	125.00	0050536	Homestead High Bike & Ped Dec 2020	125.00	0.00	\$250.00
				125.00	0050608	Homestead High Bike & Ped Jan 2021	125.00	0.00	
	XXXXX0366	03/11/2021	Togo's Sunnyvale	706.30	273		706.30	0.00	\$706.30
	XXXXX0367	03/11/2021	Turf Star Inc	67.85	7155175-00		67.85	0.00	\$67.85
	XXXXX0368	03/11/2021	UC Regents	618.00	1053019-204	19-13-501-04 Trinh Flynt	618.00	0.00	\$1,976.00
				557.50	1063922-204	19-13-501-18 Jamie Lockton	557.50	0.00	
				557.50	1064001-204	19-13-1136-09 Kathy Bercasio	557.50	0.00	
				243.00	1082294-204	19-13-501-19 Shuen Lai Tang	243.00	0.00	
	XXXXX0369	03/11/2021	United Way Bay Area	149.15	PR202110	Payroll 202110 Contributions	149.15	0.00	\$149.15
	XXXXX0370	03/11/2021	Unity Courier Service Inc	878.00	465874	AC#30508 Jan 2021	878.00	0.00	\$878.00
	XXXXX0371	03/11/2021	University of California Santa Cruz	5,235.30	58993	19-14-501-144 Valarie Carabajal	5,235.30	0.00	\$5,450.30
				215.00	JNatar-01	Refund for Accidental Refund For Joseph Natar	215.00	0.00	
	XXXXX0372	03/11/2021	USA Bluebook	200.50	477740		200.50	0.00	\$721.64
				521.14	488368		521.14	0.00	
	XXXXX0373	03/11/2021	Verde Design Inc	15,076.75	35-1713500		15,076.75	0.00	\$15,076.75
	XXXXX0374	03/11/2021	W A Krauss & Co Inc	167.75	202101		167.75	0.00	\$335.50
				167.75	202102		167.75	0.00	

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	XXXXX0375	03/11/2021	Weco Industries LLC	166.51	0046894-IN		166.51	0.00	\$166.51
	XXXXX0376	03/11/2021	Discount School Supply	135.87	W58843910101		135.87	0.00	\$135.87
	XXXXX0377	03/11/2021	Grainger	207.24	9756828472		207.24	0.00	\$207.24
	XXXXX0378	03/11/2021	HdL Coren & Cone	5,154.60	SIN006494	March 2021	5,154.60	0.00	\$5,154.60
	XXXXX0379	03/11/2021	Ice Machine Rentals	150.08	65998		150.08	0.00	\$150.08
	XXXXX0380	03/11/2021	Kimley Horn & Assoc Inc	8,580.80	17975588	Heritage Park Museum Svc Thru 12/31/20	8,580.80	0.00	\$8,580.80
	XXXXX0381	03/11/2021	Mark Thomas & Co Inc	30,187.37	38934	Java Road Diet	30,187.37	0.00	\$30,187.37
	XXXXX0382	03/11/2021	Metro Mobile Communications	1,422.62	45058		1,422.62	0.00	\$1,619.44
				196.82	45125		196.82	0.00	
	XXXXX0383	03/11/2021	Mission Linen Service	62.69	514006202		62.69	0.00	\$62.69
	XXXXX0384	03/11/2021	Mission Valley Ford Truck Sales Inc	42.66	752958		42.66	0.00	\$42.66
	XXXXX0385	03/11/2021	Mountain View/Los Altos High School Dist	17.50	1222021-Blanch	19-06-1170-05 Sylvia Blanch	17.50	0.00	\$367.50
				17.50	1222021-Gurbiel Duran	19-06-1170-04 Gurbiel Duran, Olivia Iracema	17.50	0.00	
				315.00	1222021-KNeubrand	19-06-201-06 Katharina Neubrand	315.00	0.00	
				17.50	1222021-Sibai	19-04-201-03 Lina Sibai	17.50	0.00	
	XXXXX0386	03/11/2021	MWA Architects Inc	157,618.29	201727.00-28	Admin & Lab Bldg Oct 2020	157,618.29	0.00	\$186,801.17
				29,182.88	201727.00-29	Admin & Lab Bldg	29,182.88	0.00	

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						Nov 2020			
	XXXXX0387	03/11/2021	O'Dell Engineering	6,462.51	3524027	Preschool Outdoor Play Area 12/7/20-1/3/21	6,462.51	0.00	\$13,285.01
				6,822.50	3727010	Irrigation Retrofit 12/7/20-1/3/21	6,822.50	0.00	
	XXXXX0388	03/11/2021	Occupational Training Institute	811.31	WIA-1501	17-06-1136-09 Arnoldo Alcazar	811.31	0.00	\$3,330.35
				737.02	WIA-1502	19-05-501-04 Matthew Christie	737.02	0.00	
				968.67	WIA-1503	19-05-1170-06 Maritza Lam	968.67	0.00	
				813.35	WIA-1506	19-05-1170-05 Mary Whalen	813.35	0.00	
	XXXXX0389	03/11/2021	P&A Adminstrative Services Inc	14.00	605379	Health & Dep Care Reimb 2/28-3/6/21	14.00	0.00	\$14.00
	XXXXX0390	03/11/2021	Pacific Gas & Electric Co	3,454.13	0395847945-7 0221	121 W Evelyn Ave-Multimodal	3,454.13	0.00	\$3,578.88
				11.17	8980516791-6 0221	N/S El Camino & E Remington	11.17	0.00	
				113.58	9129031168-6 0221	1382 Kifer Rd/Kifer Lift Station	113.58	0.00	
	XXXXX0391	03/11/2021	California Newspapers Partnership	248.00	0006526126	AC#2083317 Stmt#0001272572	248.00	0.00	\$1,538.00
				314.00	Stmt 0001276222	AC#2083317 Nov 2020	314.00	0.00	
				742.00	Stmt 0001279640	AC#2083317 Dec 2020	742.00	0.00	
				234.00	Stmt 0001282713	AC#2083317 Jan 2021	234.00	0.00	
EFT	XXXXX0410	03/09/2021	Raelyn Walsworth	666.00	EXP0011672 497	Walsworth Travel McClellan Park 013121	666.00	0.00	\$666.00

Payment Type	Payment #.	Payment Date	Vendor Name	Amount Paid	Invoice No.	Description	Invoice Amount	Discount Taken	Payment Total
	XXXXX0411	03/09/2021	Sherine H Nafie	245.00	EXP0012352870	Misc Employee Reimbursement 030121	245.00	0.00	\$245.00
	XXXXX0412	03/09/2021	Daniel L Moskowitz	284.74	EXP0012365612	Moskowitz Travel Orange 021721	284.74	0.00	\$284.74
WIRE	XXXXX0413	03/10/2021	Bay Counties SMaRT	1,566,794.54	JAN2021	Jan 2021 Payment Date 2/25/2021	1,566,794.54	0.00	\$1,566,794.54
	XXXXX0414	03/10/2021	Carl Warren & Company	49,296.26	2021-0131	2/3/21 Liability Trust Fund Replenishment	49,296.26	0.00	\$49,296.26
	XXXXX0415	03/10/2021	Keenan & Associates	36,230.04	02152021	Trust Reimb 2/1-2/15/2021 Wire Date 2/18/2021	36,230.04	0.00	\$403,761.44
				201,137.60	02282021	Trust Reimb 2/16-28/21 Wire Date 3/3/2021	201,137.60	0.00	
				66,393.80	2021-0115	Trust Reimb 1/1-15/2021 Payment Date 1/21/2021	66,393.80	0.00	
				100,000.00	D Swanger Claim 586488&587380	Derek Swanger Claim #586488&587380 Wire Date 2/10/2021	100,000.00	0.00	
	XXXXX0416	03/10/2021	Moodys Investors Service Inc	40,000.00	P0355165	Rating Assessment Svc Wire Date 1/25/2021	40,000.00	0.00	\$40,000.00
	XXXXX0417	03/10/2021	The Bank of New York Mellon	515,603.03	CFDTXBND0121	1/22/21 CFD Debt Service Wire	515,603.03	0.00	\$515,603.03
	XXXXX0418	03/10/2021	Wells Fargo Bank	96,999.04	JAN2021	Jan 2021 P-Card Statement Wire Date 2/2/2021	96,999.04	0.00	\$96,999.04
Grand Total				4,442,913.50			4,442,913.50	0.00	\$4,442,913.50



City of Sunnyvale

Agenda Item

21-0273

Agenda Date: 3/30/2021

REPORT TO COUNCIL

SUBJECT

Receive and File the City of Sunnyvale Investment Report - Fourth Quarter 2020

REPORT IN BRIEF

In accordance with California Government Code Section 53646, staff is submitting the attached investment report for Council's review. The report includes all investments held by the City of Sunnyvale. Funds not immediately needed for disbursement and held in investments are managed by Chandler Asset Management.

Funds for the City's Deferred Compensation Plan, the City's Retirement Plan, the City's Pension Trust, Other Post-Employment Benefits (OPEB) Trust, and any proceeds from debt issuance are not included in this report. These funds are managed and held by third party administrators and trustee custodial banks.

EXISTING POLICY

California Government Code Section 53600 et seq., strictly governs which investments public agencies can hold. In some cases, State law also governs what percentage of the portfolio can be invested in certain security types, maximum maturities, and minimum credit ratings by the major rating agencies (Standard & Poor's and Moody's Investors Service). Public agencies can only invest in fixed income securities. The purchase of stock is prohibited. Therefore, the City primarily invests in highly rated securities such as U.S. Treasury, Federal agencies, and government sponsored enterprise debt as well as high credit quality, non-governmental debt securities.

The California Government Code also requires investment objectives of safety, liquidity, and return in that order. As such, safety of principal is the foremost objective of the City's investment program. The portfolio must remain sufficiently liquid to enable the City to meet all cash requirements.

The City Council first adopted a policy (7.1.2 Investment and Cash Management) governing the investment of City funds on July 30, 1985. This policy is reviewed and adopted annually. Council adopted an updated policy for fiscal year 2020/21 at its October 6, 2020 meeting. The City's investment policy follows the Government Code and includes additional restrictions on some investments such as a lower allowable percentage per investment type or issuer than State law.

ENVIRONMENTAL REVIEW

This action does not require environmental review because it is not a project that has the potential for causing a significant impact on the environment. (CEQA Guideline 15061(b)(3)). Furthermore, the action being considered does not constitute a "project" with the meaning of the California Environmental Quality Act ("CEQA") pursuant to CEQA Guidelines section 15378 (b) (4) in that it is a fiscal activity that does not involve any commitment to any specific project which may result in a

potential significant impact on the environment.

DISCUSSION

This report provides information on the values (par, book and market), the type of investment, issuer, maturity date and yield of each investment. The par value of a bond is the amount that the issuer agrees to repay the City by the maturity date. The book value is what the City initially paid for the bond. It changes gradually if a premium or discount is amortized. The market value is what the bond is worth now.

The City's funds continue to be actively managed in the City's portfolio of investment securities with maturities ranging between 0 and 7 years. In the past several months, the City's investment program has focused on ensuring the City has sufficient cash, in light of economic uncertainty as a result of COVID-19. The City's main investment portfolio of securities continues to target a duration of 2.35 years and had a duration position of 2.27 years as of quarter end. The portfolio of securities totaled \$644,462,075.

Additional liquid funds needed to meet cash needs (liquid investments) are also held in Local Agency Investment Fund (LAIF), totaling \$72,158,835. LAIF is a program created by statute as an investment alternative for California's local governments and special districts.

Summary and detailed information on each security is provided in the report. Also included is an activity report of sales, purchases and maturities for the three-month accounting period as required by Government Code section 53607. Total investments (portfolio of securities and LAIF) had a value of \$716,620,910 as of December 31, 2020. This amount does not include \$3,211,667 of accrued interest, which has been earned, but not yet received. The portfolio's average yield to maturity (the income expected to be earned over the next twelve months if the portfolio holdings do not change) was 1.90% as of December 31, 2020. The value of the portfolio is impacted by market forces in the bond market such as the movement of interest rates, credit quality, fiscal policy, and economic growth. It is also affected by property tax revenues, development related activity such as park impact fees, housing impact fees, developer contributions, building and planning fees, utility enterprise fund revenues and other City financial activities.

During the quarter, the COVID-19 pandemic continued to have economic impacts across the world, the nation and local region. Due to large, continuous increases in the rate of Covid-19 cases, hospitalizations, and test positivity rates, the state re-imposed the Regional Stay at Home Order to slow down the community spread of virus in November 2020. The order prohibited all private indoor gatherings of any size, limited retail store indoor capacity at 20% level, allowed restaurants only for take-out, pick-up or delivery, required individuals to quarantine from travel of 150 miles, and restricted hotels and lodging entities to accept out of state reservations for non-essential travel. The County of Santa Clara had its own health directives. When there is a difference between the County Order and the State Order, the more restrictive order must be followed. Two Covid-19 immunization vaccines were approved in December 2020. Nationwide, vaccine doses are being allocated out using a priority phases and tiers approach. However, it may take several months to vaccinate most of the US population. In late January 2021, the state lifted the Regional Stay at Home Order, allowing outdoor dining, personal care services, outdoor gatherings, sports events, and recreational sports activities to resume. However, the County's mandatory travel restrictions with 10-day quarantine requirements remained in place. As returning to pre-pandemic life continues to remain uncertain, it's hard to predict the duration and full economic impacts of the virus outbreak affecting the City tax revenues, fees, and

charges, on the whole.

General revenues associated with sales and use tax, transient occupancy tax, construction tax, real property transfer taxes, and parks and recreation activity fees continued to remain low in comparison to last fiscal year to date. The investment portfolio manager remained focused on maintaining increased liquidity in the portfolio to meet the City operational needs at least for the next twelve months, while following the long-term duration investment strategy. Staff will continue to assess and monitor cashflow needs regularly and communicate actively with the portfolio manager to ensure the maintenance of sufficient cash balances to meet operational, capital projects, and unforeseen fiscal needs.

The Federal Open Market Committee (FOMC) kept monetary policy unchanged at their December meeting as expected, with the fed funds target rate in a range of 0.0% to 0.25%. The Fed intends to remain highly accommodative until their goals of maximum employment and higher inflation are achieved. The Fed's summary of economic projections continues to signal that the target fed funds rate will remain unchanged until at least 2023, as policymakers do not expect inflation to exceed 2.0% during that timeframe. Until the Fed has made substantial progress toward achieving their dual mandate of maximum employment and price stability, they have set a floor for monthly asset purchases of at least \$80 billion per month of Treasuries and \$40 billion per month of agency mortgage-backed securities. Notably, the Fed's outlook for GDP over the next few years was revised higher and the outlook for unemployment was revised lower compared with their previous forecasts in September, which suggests increased optimism. Nevertheless, the outlook remains uncertain and Fed Chair Powell indicated that the Fed would increase policy accommodation further if progress toward their dual mandate slows.

In 2020, the yield on 2-year Treasuries was down 145 basis points to 0.12% and the yield on 10-year Treasuries was down about 100 basis points to 0.91%. The yield curve steepened modestly in December and month-to-date the yield curve has continued to steepen, likely due in part to favorable developments on the vaccine front. Month-to-date, the yield on 2-year Treasuries is little changed but the yield on 10-year Treasuries is up about 20 basis points. The Treasury yield curve is poised to steepen modestly further in 2021 as the economy reopens, driven by an increase in longer-term rates as the front end of the curve is likely to remain anchored near 0.0%.

FISCAL IMPACT

The return on the City's investments continued to be strong primarily due to high yields achieved before the impacts of COVID-19 pandemic. Though expected to decline, interest income continued to be strong for the quarter. Interest income for third quarter totaled \$3,388,933 while interest income for the same period of last year was \$4,009,521.

PUBLIC CONTACT

Public contact was made by posting the Council agenda on the City's official-notice bulletin board outside City Hall, Sunnyvale Public Library and Department of Public Safety. In addition, the agenda and report are available at the Office of the City Clerk and on the City's website.

RECOMMENDATION

Receive and file the City of Sunnyvale - Fourth Quarter 2020 Investment Report.

Prepared by: Inderdeep Dhillon, Finance Manager
Reviewed by: Tim Kirby, Director, Finance
Reviewed by: Jaqui Guzmán, Deputy City Manager
Approved by: Kent Steffens, City Manager

ATTACHMENTS

1. City of Sunnyvale Fourth Quarter Investment Report



Sunnyvale

Memorandum

Date: 1/25/2021
To: Honorable Mayor and Councilmembers
From: Timothy J. Kirby, Director of Finance
Subject: Investment Report Fourth Quarter 2020

Pursuant to the California Government Code Section 53646, attached is detailed information on all securities, investments, and moneys held by the City. I hereby certify that the City's portfolio complies with the City's adopted Investment Policy and the reporting requirements of State Law Section 53607, and that sufficient funds are available to meet expenditure requirements for the next 6 months, ending June 2021.



Timothy J. Kirby, Director of Finance

cc: Kent Steffens, City Manager
Teri Silva, Assistant City Manager

TOTAL PORTFOLIO EVALUATION - FOURTH QUARTER 2020

DESCRIPTION		FY 20/21 Quarter 4 12/31/2020	FY 20/21 Quarter 3 9/30/2020	FY 19/20 Quarter 4 12/31/2019
CORE PORTFOLIO MARKET VALUE		\$644,462,075	\$638,301,770	\$635,736,937
LAIF PORTFOLIO MARKET VALUE		\$72,158,835	\$64,518,086	\$48,588,506
TOTAL PORTFOLIO MARKET VALUE		\$716,620,910	\$702,819,856	\$684,325,443
AVERAGE LIFE OF PORTFOLIO (DAYS)		915	911	1,005
CITY - WEIGHTED YIELD (Current Period)		1.92%	2.07%	2.41%
STATE (LAIF) POOL YIELD		0.54%	0.69%	2.02%
90 DAY T-BILL RATE (Current Period)		0.07%	0.10%	1.55%
VARIANCE FROM CITY YIELD		1.85%	1.97%	0.86%
1 YEAR TREASURY RATE (Current Period)		0.11%	0.12%	1.58%
VARIANCE FROM CITY YIELD		1.81%	1.95%	0.83%
TREASURY YIELD WITH SAME AVG LIFE AS PORTFOLIO		0.13%	0.16%	1.61%
VARIANCE FROM CITY YIELD		1.79%	1.91%	0.80%
<hr/>				
TOTAL INVESTMENTS MATURING WITHIN 0 TO 1 YEAR	\$164,177,334	22.91%	24.93%	27.45%
TOTAL INVESTMENTS MATURING WITHIN 1 TO 3 YEARS	\$242,035,523	33.77%	31.30%	24.73%
TOTAL INVESTMENTS MATURING WITHIN 3 TO 5 YEARS	\$292,055,860	40.75%	42.12%	44.56%
TOTAL INVESTMENTS MATURING OVER 5 YEARS **	\$18,352,193	2.56%	1.66%	3.26%
TOTAL	\$716,620,910	100.0%	100.0%	100.0%

** In accordance with Government Code 53601, the City Council, as part of the City's investment program, granted express authority to invest in US Treasury and US Agency and Government Sponsored Enterprise securities with final stated maturities up to seven years. No investment shall be made in any other security type that at the time of investment has a term remaining to maturity in excess of five years unless granted express authority by the City Council to do so.

INVESTMENT REPORT BALANCE BY FUND

FUND	FY2015/16				FY2016/17				FY2017/18				FY2018/19				FY2019/20				FY2020/21			
	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4		
General	\$ 91,859,040.84	\$ 74,290,075.69	\$ 77,268,167.07	\$ 104,754,689.66	\$ 77,717,843.70	\$ 85,245,849.22	\$ 105,223,756.18	\$ 117,363,709.05	\$ 101,020,472.13	\$ 109,464,740.48	\$ 120,749,390.43	\$ 128,590,713.94	\$ 108,474,284.51	\$ 137,112,285.57	\$ 135,348,524.31	\$ 119,570,143.26	\$ 96,747,542.63	\$ 97,597,066.83	\$ 126,434,230.75	\$ 117,615,623.97	\$ 93,279,473.95	\$ 100,911,470.42		
Wastewater	24,374,938.61	22,656,719.79	25,062,613.70	20,773,974.20	19,501,098.28	19,862,296.43	19,891,579.19	9,313,056.00	10,914,932.12	12,238,312.70	12,458,011.35	25,673,398.43	23,799,830.00	22,514,210.13	28,974,507.31	37,369,340.59	34,475,219.08	27,731,819.94	47,691,004.31	62,043,793.95	56,166,747.46			
Water	17,992,891.13	18,136,702.64	17,447,091.92	16,220,955.55	18,003,031.56	18,162,548.84	19,158,782.08	20,994,494.86	26,089,181.11	27,247,069.31	28,203,830.10	32,964,127.18	36,578,601.12	40,500,157.01	42,884,493.21	46,436,671.26	49,961,719.54	56,876,665.44	62,512,194.81	67,979,240.26	71,006,740.63			
Solid Waste	3,424,555.40	6,108,737.78	7,786,931.87	5,907,441.07	4,600,724.20	8,867,864.61	9,904,155.48	8,448,172.55	11,752,392.08	12,064,650.67	13,185,130.76	13,840,320.36	16,650,081.15	19,018,090.63	20,957,421.06	17,765,031.01	18,600,113.09	22,097,976.17	20,632,269.54	23,020,857.45	21,211,433.48			
SMaRT Station	7,762,980.27	5,847,093.22	5,854,202.04	5,909,636.46	7,335,588.30	5,622,310.33	5,767,673.47	5,929,745.40	4,850,943.69	5,701,183.82	6,534,454.30	3,673,961.75	4,162,934.93	4,388,135.55	5,366,345.16	6,414,682.85	3,129,437.78	6,764,017.77	4,777,022.84	4,906,791.45	5,912,518.33			
Development Enterprise	17,126,584.32	18,455,024.65	19,183,248.10	20,531,929.44	24,427,763.50	25,441,728.30	26,146,697.38	29,128,939.58	32,872,563.96	33,437,998.34	36,328,691.26	45,877,647.00	47,137,734.85	43,022,357.68	50,194,973.00	51,880,331.16	57,862,701.88	61,429,488.42	58,367,363.91	56,747,257.72	56,484,203.38			
Community Recreation	24,855.68	(262,818.15)	55,644.17	35,318.15	(163,798.86)	187,613.73	(148,025.56)	(2,913.97)	(203,995.79)	(131,610.43)	(474,070.34)	55,931.50	(43,820.68)	(406,655.00)	714,939.12	(463,152.18)	(289,926.76)	405,687.41	61,867.36	(73,178.82)	400,762.83			
Internal Service	52,909,085.61	49,841,322.03	51,279,577.08	53,660,615.89	54,050,927.15	51,689,291.81	52,839,389.54	59,386,796.72	47,880,140.21	54,450,774.36	57,796,147.89	74,723,892.20	48,741,488.49	59,509,313.30	60,945,061.93	85,557,048.47	84,081,831.46	59,145,394.36	60,228,368.13	85,648,109.50	55,679,733.00			
Capital Projects	27,316,907.14	27,372,180.56	26,946,392.40	31,116,795.24	37,798,186.16	35,740,308.17	42,222,584.38	50,776,643.18	52,857,165.95	48,760,684.13	66,870,546.03	77,282,535.93	74,765,150.12	80,302,852.90	82,677,134.89	81,371,236.50	82,583,546.56	86,628,834.04	86,701,625.15	91,311,394.43	88,731,272.83			
Infrastructure Renovation & Replacement	14,438,884.02	13,573,139.90	13,138,088.62	16,142,771.42	14,256,902.93	12,437,903.37	14,338,922.38	16,347,250.50	11,851,961.00	14,535,252.68	12,763,716.50	19,595,641.54	16,204,955.53	15,573,096.90	47,992,489.17	75,270,577.27	73,579,097.49	86,584,139.11	85,028,959.15	86,450,456.62	72,181,300.50			
Housing	21,612,130.17	21,817,639.97	22,494,035.95	23,158,811.60	24,317,097.23	23,823,105.08	26,034,011.58	29,603,817.15	29,829,822.73	40,776,147.83	42,193,825.26	47,118,109.45	57,080,920.14	59,296,212.56	59,645,296.28	60,746,250.50	57,586,070.21	59,645,296.28	57,586,070.21	56,709,915.75	58,036,944.33			
Park Dedication	41,430,233.32	43,637,478.03	45,862,929.09	44,579,678.68	47,368,432.00	49,201,725.83	46,187,733.21	62,786,654.23	63,624,963.49	60,466,758.11	56,591,330.17	79,669,806.52	80,512,238.11	85,326,834.55	85,442,901.91	85,668,518.69	94,105,418.31	108,051,248.87	103,901,408.24	102,203,606.66	102,203,606.66			
Home & Community Block Grants	1,199,106.21	1,453,341.74	1,458,041.73	1,446,579.47	1,018,671.40	697,768.96	745,265.54	635,162.86	1,135,746.67	1,627,225.97	1,407,702.75	1,597,339.28	1,921,597.87	1,704,910.67	1,450,819.91	1,532,066.62	1,322,384.13	1,496,970.24	1,495,645.97	1,266,365.50	1,217,623.88			
Employment Development	(164,317.66)	(51,378.98)	14,772.20	-	190,043.35	274,052.28	(120,229.05)	132,391.79	135,599.23	276,657.16	(98,761.95)	35,948.52	135,424.81	60,217.46	(69,649.36)	26,847.48	20,117.46	77,762.49	764,795.78	(507,625.37)	716,443.20			
Parking District	539,377.72	514,517.54	583,995.28	616,842.11	598,372.88	555,556.97	436,332.36	476,884.17	456,053.96	426,182.45	486,507.93	552,972.87	526,257.03	630,293.52	566,297.40	680,293.52	617,922.17	592,121.68	716,286.61	772,570.67	737,777.69			
Gas Tax Street Improvement	6,317,068.40	5,479,702.58	5,519,967.33	5,456,099.74	5,738,021.77	5,430,528.98	5,080,981.63	5,156,126.71	5,481,881.76	4,479,687.61	4,744,481.53	4,574,552.44	4,978,991.16	5,061,871.54	5,253,062.92	5,565,592.44	5,515,028.21	4,338,764.98	4,410,458.76	6,363,280.89	7,042,296.03			
Road Maintenance & Rehab Account (RMRA)	-	-	-	-	-	-	-	-	-	-	-	-	651,914.95	1,262,657.41	1,926,896.28	1,549,794.73	2,350,214.17	3,041,074.33	3,334,168.44	3,604,383.87	4,226,276.27			
VRF Local Road Improvement Program	(508,594.42)	5,769.55	14,816.51	14,663.89	898,106.29	507,809.53	472,712.96	97,633.83	1,021,539.49	22,962.13	22,272.78	23,366.97	23,073.48	975,068.63	971,905.39	464,661.45	474,220.51	454,920.24	1,102,610.92	1,133,563.18	958,070.37			
Dorolou Swirsky Youth Opp Fund	533,364.19	537,754.95	537,707.39	529,041.68	546,701.99	524,357.13	533,939.06	533,694.94	550,958.28	549,715.27	533,212.35	551,796.74	544,899.87	555,982.52	554,343.02	564,726.43	557,842.54	563,875.50	570,083.58	563,985.59	577,918.59			
Fremont Pool Trust Fund	1,013,009.14	1,021,348.41	1,021,348.41	1,004,799.46	1,018,341.36	995,902.18	1,014,100.98	1,013,637.32	1,046,425.28	1,044,064.46	1,012,770.76	1,056,904.40	1,041,655.02	1,077,720.55	1,076,169.87	1,084,920.76	1,084,920.76	1,096,637.16	1,108,790.98	1,096,850.98	1,123,948.23			
Other Special Revenue	1,085,649.29	1,181,779.52	1,184,406.25	971,964.53	2,083,815.02	2,335,204.25	2,245,125.49	2,118,621.68	3,809,292.73	4,039,576.79	3,916,378.83	3,807,644.09	5,396,491.29	5,302,259.87	4,873,222.41	4,291,356.97	3,645,360.01	2,116,947.63	2,210,622.98	(1,187,398.65)	5,096,843.59			
Other Trust & Agency Funds	2,375,657.92	2,271,134.88	2,570,699.79	5,048,101.29	1,873,327.21	1,993,918.81	2,499,411.12	3,377,264.19	1,780,741.97	2,306,552.44	2,517,239.28	3,594,000.17	1,888,634.28	1,565,900.51	2,696,301.10	3,617,239.28	1,907,851.41	1,868,883.96	2,181,491.02	3,775,934.86	1,912,864.97			
TOTAL INVESTMENT PORTFOLIO	\$ 332,663,607.29	\$ 313,869,266.30	\$ 325,234,586.78	\$ 358,280,709.54	\$ 343,800,099.42	\$ 345,195,800.76	\$ 378,301,292.88	\$ 419,547,977.16	\$ 407,992,776.45	\$ 422,831,562.52	\$ 457,166,909.93	\$ 552,320,580.95	\$ 510,433,559.22	\$ 570,340,908.61	\$ 623,754,825.75	\$ 679,077,947.53	\$ 665,493,450.90	\$ 684,325,442.79	\$ 736,331,241.65	\$ 775,705,122.60	\$ 702,819,855.50	\$ 716,620,910.31		

1. Quarter ending on calendar basis

Q1: January 1 to March 31

Q2: April 1 to June 30

Q3: July 1 to September 30

Q4: October 1 to December 31

2. Fiscal year: July 1 to June 30

3. Other Special Revenue Funds are:

2016 Measure B - Santa Clara VTA

Asset Forfeiture

OPD 3 Estates at Sunnyvale

Disasters/Emergencies

Police Services Augmentation

Redevelopment Housing

TDA Article 3 Funds

Youth and Neighborhood Services

4. Other Trust & Agency are:

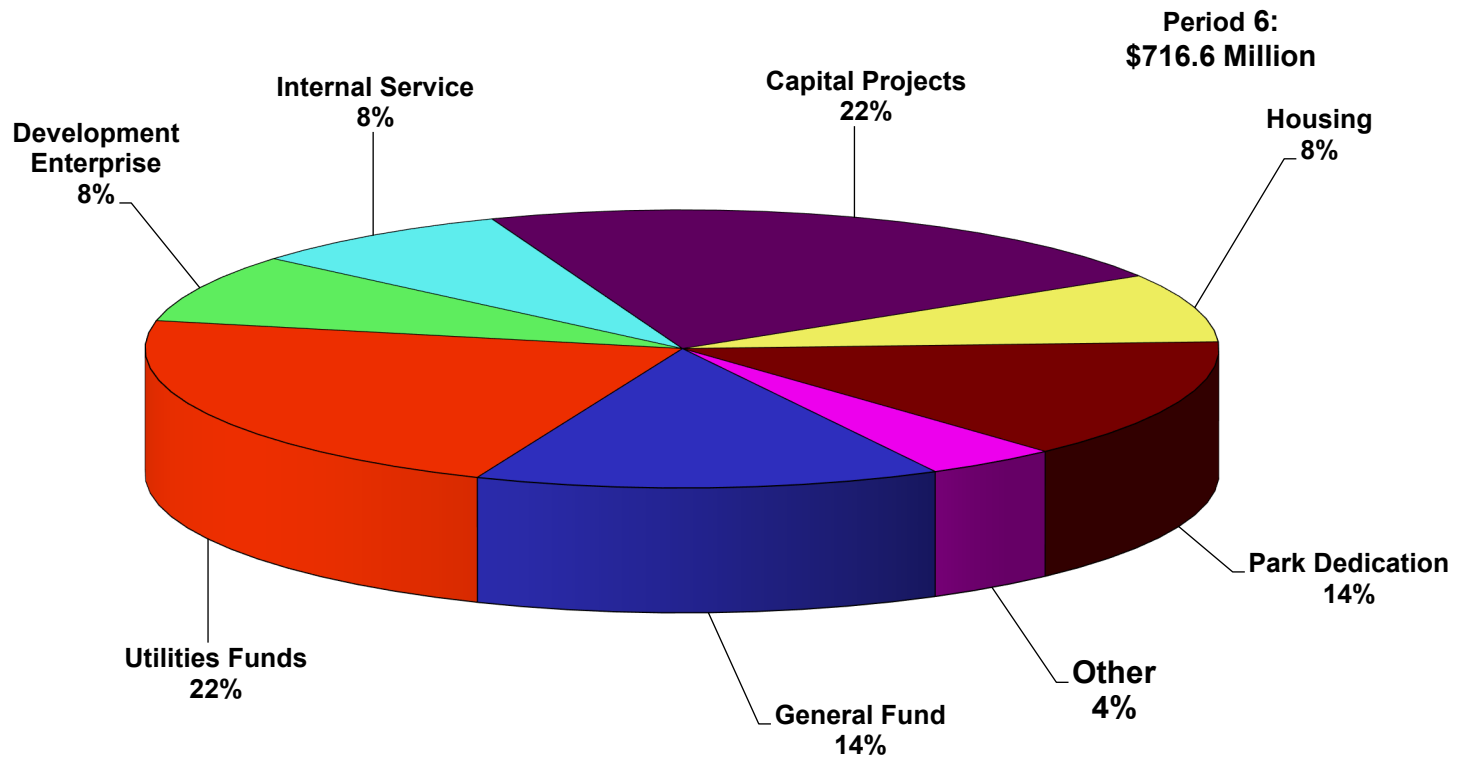
Citywide Donations

Community Facilities District Agency Fund

General Agency

RDA Successor Agency Trust Fund

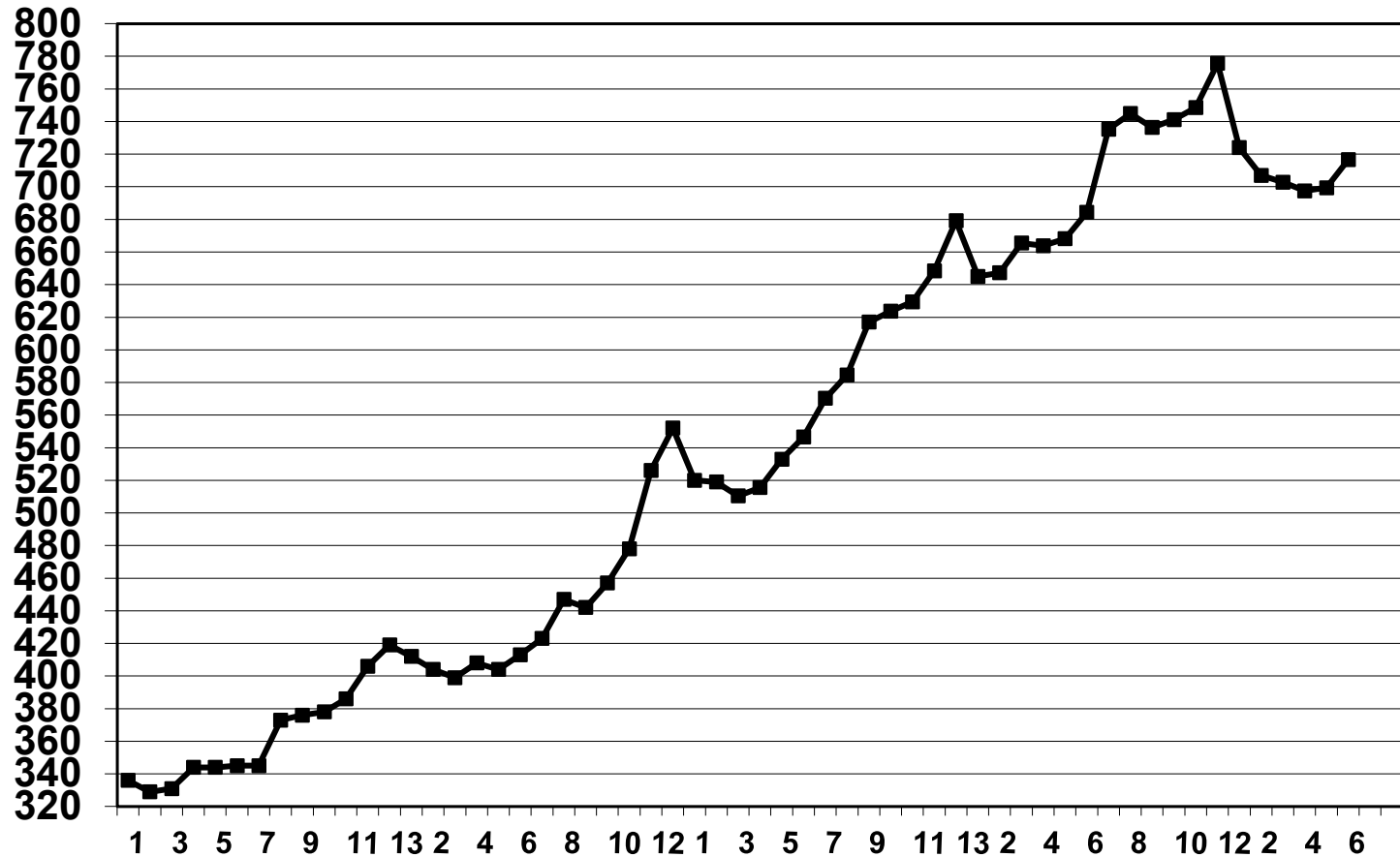
INVESTMENT PORTFOLIO BALANCE BY FUND/S FOURTH QUARTER ENDING DECEMBER 31, 2020



INVESTMENT PORTFOLIO

PORTFOLIO BALANCE TREND

\$ Million



Period 6:
\$716.6
Million

Accounting Periods

FY2016/17

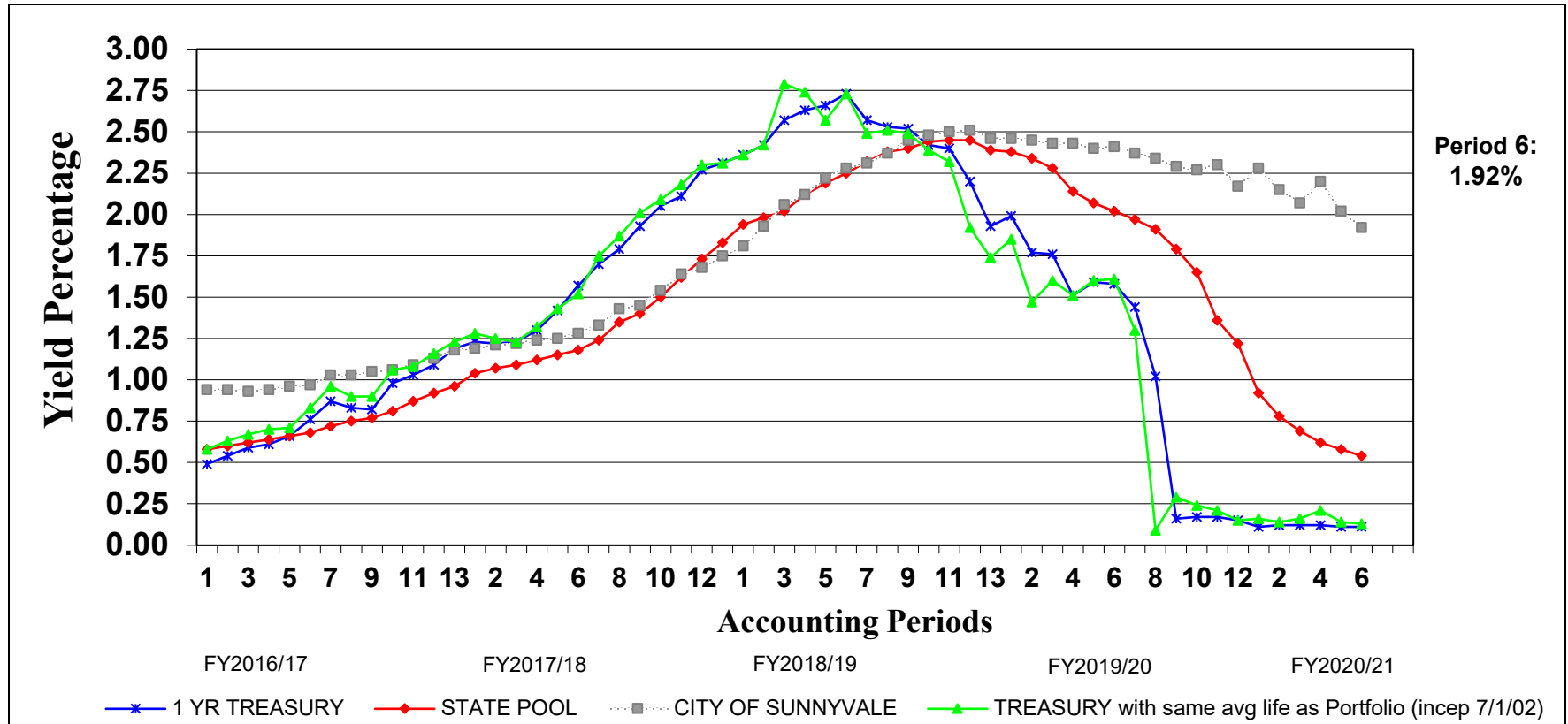
FY2017/18

FY2018/19

FY2019/20

FY2020/21

INVESTMENT PORTFOLIO COMPARISON OF ANNUAL YIELDS





| City of Sunnyvale Consolidated - Account #10597

MONTHLY ACCOUNT STATEMENT

OCTOBER 1, 2020 THROUGH DECEMBER 31, 2020

Chandler Team:

For questions about your account, please call (800) 317-4747,
or contact **operations@chandlerasset.com**

CHANDLER ASSET MANAGEMENT
chandlerasset.com

Information contained herein is confidential. We urge you to compare this statement to the one you receive from your qualified custodian. Please see Important Disclosures.

As of December 31, 2020

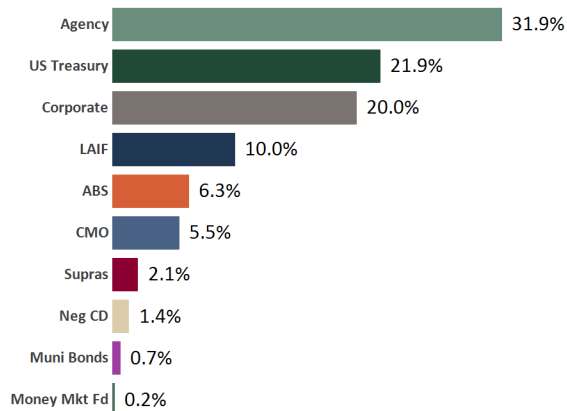
Portfolio Summary

City of Sunnyvale Consolidated

PORTFOLIO CHARACTERISTICS

Average Modified Duration	2.27
Average Coupon	1.98%
Average Purchase YTM	1.90%
Average Market YTM	0.32%
Average S&P/Moody Rating	AA/Aa1
Average Final Maturity	2.50 yrs
Average Life	2.35 yrs

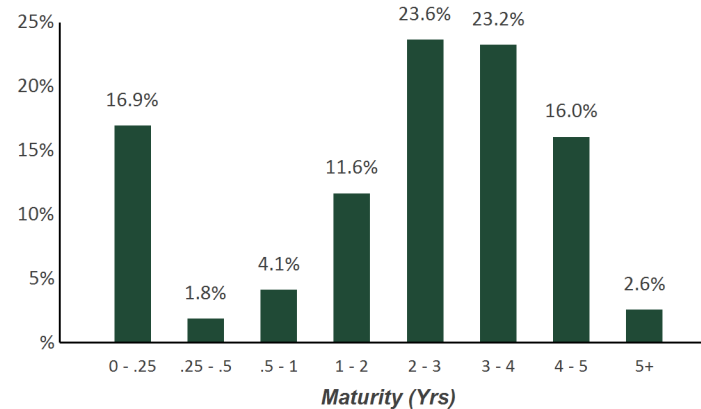
SECTOR ALLOCATION



ACCOUNT SUMMARY

	Beg. Values as of 9/30/20	End Values as of 12/31/20
Market Value	702,819,856	716,620,910
Accrued Interest	2,893,103	3,211,667
Total Market Value	705,712,959	719,832,577
Income Earned	1,172,256	3,388,933
Cont/WD		
Par	673,653,923	689,355,087
Book Value	676,331,419	691,834,904
Cost Value	676,509,318	691,979,695

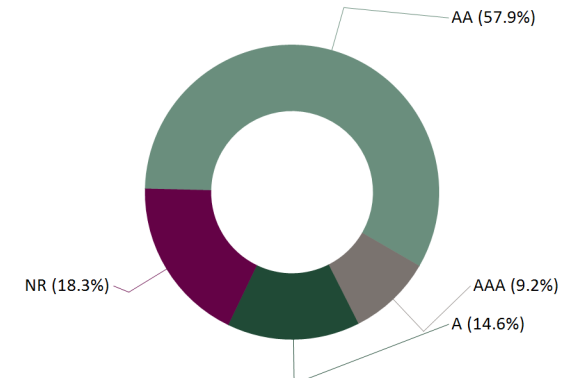
MATURITY DISTRIBUTION



TOP ISSUERS

Government of United States	21.9%
Federal Home Loan Bank	13.9%
Federal Home Loan Mortgage Corp	11.7%
Federal National Mortgage Assoc	11.3%
Local Agency Investment Fund	10.0%
Honda ABS	1.9%
Toronto Dominion Holdings	1.8%
US Bancorp	1.4%
Total	74.1%

CREDIT QUALITY (S&P)



Reconciliation Summary

As of December 31, 2020



BOOK VALUE RECONCILIATION		
BEGINNING BOOK VALUE		\$674,597,835.05
<u>Acquisition</u>		
+ Security Purchases	\$29,858,996.26	
+ Money Market Fund Purchases	\$17,725,510.82	
+ Money Market Contributions	\$10,000,000.00	
+ Security Contributions	\$6,000,000.00	
+ Security Transfers	\$0.00	
Total Acquisitions		\$63,584,507.08
<u>Dispositions</u>		
- Security Sales	\$0.00	
- Money Market Fund Sales	\$29,872,233.84	
- MMF Withdrawals	\$26,204.40	
- Security Withdrawals	\$0.00	
- Security Transfers	\$0.00	
- Other Dispositions	\$0.00	
- Maturities	\$15,000,000.00	
- Calls	\$0.00	
- Principal Paydowns	\$1,408,915.19	
Total Dispositions		\$46,307,353.43
<u>Amortization/Accretion</u>		
+/- Net Accretion	(\$40,084.60)	
		(\$40,084.60)
<u>Gain/Loss on Dispositions</u>		
+/- Realized Gain/Loss	\$0.00	
		\$0.00
ENDING BOOK VALUE		\$691,834,904.10

Statement of Compliance
As of December 31, 2020



City of Sunnyvale Consolidated

This portfolio is a consolidation of assets managed by Chandler Asset Management and assets managed internally by City of Sunnyvale. Chandler relies on City of Sunnyvale to provide accurate information for reporting assets and producing this compliance statement.

Category	Standard	Comment
U.S. Treasuries	7 years max maturity	Complies
Federal Agencies	30% max per Agency/GSE issuer; 20% max agency callable securities; 7 years max maturity	Complies
Supranational Obligations	"AA" rating category or better by a Nationally Recognized Statistical Rating Organization ("NRSRO"); 30% max; 10% max per issuer; 5 years max maturity; U.S. dollar denominated senior unsecured unsubordinated obligations issued or unconditionally guaranteed by the International Bank for Reconstruction and Development ("IBRD"), the International Finance Corporation ("IFC") or the Inter-American Development Bank ("IADB")	Complies
Municipal Securities	"A" rating category or better by a NRSRO; 30% max; 5% max per issuer; 5 years max maturity; For Municipal Obligations in the form of variable rate demand obligations, the obligations shall be supported by a third-party liquidity facility from a financial institution with short-term ratings of at least A-1 by S&P or P-1 by Moody's; The right of the bondholder to tender the obligation converts these obligations to a short term investment;	Complies
Corporate Medium Term Notes	"A" rating category or higher by a NRSRO; 30% max; 5% max per issuer; 5 years max maturity; Issued by corporations organized and operating within the U.S. or by depository institutions licensed by the U.S. or any state and operating within the U.S.	Complies
Asset-backed Securities, Mortgage-backed, Mortgage pass-through securities, Collateralized mortgage obligations (CMO)	"AA" rating category or higher by a NRSRO; 20% max (combined ABS/MBS/CMO); 5% max per issuer in any Asset Backed or Commercial Mortgage security issuer; 5 years max maturity; Asset-backed securities, Mortgage-backed, Mortgage pass-through securities, and collateralized mortgage obligations not defined in 1 (US Treasury) and 2 (Federal Agencies/GSE) of the Authorized Investments section of the policy	Complies
Negotiable Certificates of Deposit (NCD)	"A" long-term rated issuer or higher by a NRSRO or "A" short-term rated or higher by a NRSRO, if any amount above FDIC insured limit; The amount of NCD insured up to the FDIC limit does not require any credit ratings; 30% max (including CDARs); 5% max per issuer; 5 years max maturity; Issued by nationally or state-chartered bank, a savings association or a federal association, or by a federally or state-licensed branch of a foreign bank.	Complies
Certificate of Deposit Placement Service (CDARS)	30% max (including Negotiable Certificates of Deposit); The full amount of principal and interest that may be accrued during the maximum term of each certificate shall at all times be insured by the FDIC or NCUA	Complies
FDIC Insured Time Deposits (Non-negotiable CD/TD)/Bank Deposits	20% max (combined FDIC insured and collateralized time deposits); For FDIC insured CDs, the amount per institution is limited to maximum covered under FDIC; 5 years max maturity; Non-Negotiable Certificates of Deposit in state or federally chartered banks, savings and loans, or credit unions in the state of California	Complies
Collateralized Time Deposits (Non-negotiable CD/TD)/Bank Deposits	20% max (combined FDIC insured and collateralized time deposits); For collateralized CDs, 5% max per issuer; 365 days max maturity; Non-Negotiable Certificates of Deposit in California banks in excess of insured amounts which are fully collateralized with securities in accordance with California law	Complies
Banker's Acceptances	A-1 rated or better by a NRSRO; 40% max; 5% max per issuer; 180 days max maturity	Complies
Commercial Paper	"A-1" rated or higher by a NRSRO; or "A" rated issuer or higher by a NRSRO, if any long-term debt; 25% max; 5% max per issuer; 270 days max maturity; 10% max outstanding commercial paper of any single issuer; The entity that issues the commercial paper shall meet all conditions in either (1) or (2): (1) a. Organized and operating in the U.S. as a general corporation; b. Has total assets >\$500 million; c. Has debt other than commercial paper, if any, that is "A" rating category or higher by a NRSRO; (2) a. Organized within the U.S. as a special purpose corporation, trust, or LLC; b. Has program wide credit enhancements including, but not limited to, overcollateralization, letters of credit or surety bond; or c. "A-1" rated or higher by a NRSRO	Complies
Money Market Mutual Funds	20% max; Highest rating by two NRSROs; or SEC registered investment adviser with assets under management in excess of \$500 million and experience greater than 5 years; Maintain NAV of \$1; Invest only in US Treasury and federal agency securities, and in repurchase agreements backed by US Treasury and federal agency securities	Complies
Local Agency Investment Fund (LAIF)	Maximum permitted by LAIF; Thorough investigation of the pool/fund is required prior to investing and on a continual basis; City staff will annually perform the due diligence analysis of LAIF based on a standardized questionnaire developed to address investment policy and practices.	Complies
Local Government Investment Pools (LGIP)	Pursuant to CGC ; The Pool is managed by SEC registered investment adviser with assets under management or exempt from registration; Adviser has AUM in excess of \$500 million and experience greater than 5 years	Complies
Repurchase Agreements	10% max; 15 days max maturity; 102% Collateralized; Not used by Investment Adviser	Complies
Prohibited	Mutual funds (other than government money market funds as described in the policy), unregulated and/ or unrated investment pools or trusts, and futures and options; Securities subject to rule 144A restrictions that are not required to be registered with the SEC; Inverse floaters, Range notes, Mortgage derived interest-only strips; Zero interest accrual securities if held to maturity; Trading securities for the sole purpose of speculating on the future direction of interest rates; Purchasing or selling securities on margin	Complies
Social and Environmental Responsibility	Investments are discouraged in entities that manufacture tobacco products, firearms, or nuclear weapons not used in the national defense of the U.S., and are direct or indirect investments to support the production or drilling of fossil fuels; Investments in entities that support community well-being through safe and environmentally sound practices and fair labor practices and equality of rights regardless of sex, race, age, disability, or sexual orientation is encouraged.	Complies
Mitigating Credit Risk; Security Downgrade	If a security owned by the City is downgraded to a level below the minimum quality required by the investment policy, it will be the City's policy to review the credit situation and make determination as to whether to sell or retain such securities in the portfolio. If the City employs the services of an investment adviser, it is the duty of the adviser to communicate any actions related to the downgrade to the Treasurer in a timely manner. If a security is downgraded, the Treasurer will use discretion in determining whether to sell or hold the security based on its current maturity, the economic outlook for the issuer, and other relevant factors. If a decision is made to retain the downgraded security in the portfolio, its presence in the portfolio will be monitored and reported quarterly to the City Council.	Complies
Max Per Issuer	5% max per single issuer, unless otherwise stated	Complies
Maximum maturity	5 years, except as otherwise stated in the policy (i.e. Treasury & Agency issues have 7 years max maturity)	Complies

Holdings Report

As of December 31, 2020



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
ABS									
43815HAC1	Honda Auto Receivables Trust 2018-3 A3 2.95% Due 8/22/2022	3,714,179.05	Various 2.12%	3,735,969.81 3,726,401.95	101.18 0.17%	3,758,173.57 3,043.57	0.52% 31,771.62	Aaa / NR AAA	1.64 0.43
89238TAD5	Toyota Auto Receivables Trust 2018-B A3 2.96% Due 9/15/2022	3,182,247.57	Various 2.29%	3,216,355.39 3,200,892.23	101.07 0.03%	3,216,151.27 4,186.42	0.45% 15,259.04	Aaa / AAA NR	1.71 0.36
47788EAC2	John Deere Owner Trust 2018-B A3 3.08% Due 11/15/2022	2,512,011.71	Various 2.97%	2,519,016.16 2,515,851.31	101.01 0.20%	2,537,486.00 3,438.66	0.35% 21,634.69	Aaa / NR AAA	1.87 0.35
65479GAD1	Nissan Auto Receivables Trust 2018-B A3 3.06% Due 3/15/2023	3,552,696.94	Various 2.55%	3,571,076.85 3,561,261.53	101.37 0.42%	3,601,493.23 4,831.67	0.50% 40,231.70	Aaa / AAA NR	2.20 0.52
43815NAC8	Honda Auto Receivables Trust 2019-3 A3 1.78% Due 8/15/2023	6,455,000.00	Various 1.87%	6,448,322.40 6,451,067.94	101.63 0.30%	6,560,364.97 5,106.62	0.91% 109,297.03	Aaa / AAA NR	2.62 1.09
58769EAC2	Mercedes-Benz Auto Lease Trust 2020- B A3 0.4% Due 11/15/2023	2,005,000.00	09/15/2020 0.40%	2,004,898.35 2,004,910.39	100.21 0.27%	2,009,224.54 356.44	0.28% 4,314.15	NR / AAA AAA	2.87 1.65
477870AC3	John Deere Owner Trust 2019-B A3 2.21% Due 12/15/2023	2,305,000.00	07/16/2019 2.23%	2,304,510.65 2,304,671.33	101.93 0.17%	2,349,486.50 2,264.02	0.33% 44,815.17	Aaa / NR AAA	2.96 0.94
92348AAA3	Verizon Owner Trust 2019-C A1A 1.94% Due 4/22/2024	3,055,000.00	10/01/2019 1.95%	3,054,764.46 3,054,828.53	102.15 0.26%	3,120,545.03 1,810.94	0.43% 65,716.50	NR / AAA AAA	3.31 1.26
65479JAD5	Nissan Auto Receivables Owner 2019-C A3 1.93% Due 7/15/2024	4,780,000.00	10/16/2019 1.94%	4,779,747.62 4,779,811.34	102.04 0.43%	4,877,488.10 4,100.18	0.68% 97,676.76	Aaa / AAA NR	3.54 1.35
47789KAC7	John Deere Owner Trust 2020-A A3 1.1% Due 8/15/2024	3,650,000.00	03/04/2020 1.11%	3,649,776.99 3,649,817.79	101.25 0.30%	3,695,792.90 1,784.44	0.51% 45,975.11	Aaa / NR AAA	3.62 1.56
43813KAC6	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	3,350,000.00	09/22/2020 0.38%	3,349,507.89 3,349,548.29	100.17 0.29%	3,355,664.85 447.60	0.47% 6,116.56	NR / AAA AAA	3.80 2.01
89236XAC0	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	2,635,000.00	10/06/2020 0.36%	2,634,509.10 2,634,534.36	99.98 0.36%	2,634,534.36 409.89	0.37% 0.00	NR / AAA AAA	4.04 1.94

Holdings Report

As of December 31, 2020



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
ABS									
44891RAC4	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	3,350,000.00	10/20/2020 0.39%	3,349,228.49 3,349,270.11	100.17 0.31%	3,355,825.65 565.78	0.47% 6,555.54	NR / AAA AAA	4.37 2.51
Total ABS		44,546,135.27	1.63%	44,617,684.16 44,582,867.10	0.28%	45,072,230.97 32,346.23	6.27% 489,363.87	Aaa / AAA AAA	2.96 1.22
AGENCY									
3130A0XD7	FHLB Note 2.375% Due 3/12/2021	7,450,000.00	02/22/2019 2.54%	7,425,266.00 7,447,679.12	100.43 0.19%	7,482,131.85 53,572.74	1.05% 34,452.73	Aaa / AA+ AAA	0.19 0.20
313383ZU8	FHLB Note 3% Due 9/10/2021	5,000,000.00	09/07/2018 2.82%	5,025,700.00 5,005,909.12	101.99 0.12%	5,099,645.00 46,250.00	0.71% 93,735.88	Aaa / AA+ NR	0.69 0.68
3130AF5B9	FHLB Note 3% Due 10/12/2021	8,000,000.00	10/18/2018 3.06%	7,986,160.00 7,996,390.67	102.22 0.16%	8,177,440.00 52,666.67	1.14% 181,049.33	Aaa / AA+ NR	0.78 0.77
3135G0S38	FNMA Note 2% Due 1/5/2022	2,150,000.00	07/30/2018 2.87%	2,089,198.00 2,132,108.50	101.90 0.12%	2,190,914.50 21,022.22	0.31% 58,806.00	Aaa / AA+ AAA	1.01 1.00
3135G0T45	FNMA Note 1.875% Due 4/5/2022	6,000,000.00	07/30/2018 2.88%	5,791,200.00 5,928,691.07	102.21 0.12%	6,132,600.00 26,875.00	0.86% 203,908.93	Aaa / AA+ AAA	1.26 1.25
313380GJ0	FHLB Note 2% Due 9/9/2022	10,000,000.00	10/10/2019 1.55%	10,127,000.00 10,073,526.32	103.13 0.14%	10,312,860.00 62,222.22	1.44% 239,333.68	Aaa / AA+ NR	1.69 1.66
3133EH7F4	FFCB Note 2.35% Due 1/17/2023	3,000,000.00	01/17/2018 2.35%	3,000,000.00 3,000,000.00	104.44 0.17%	3,133,173.00 32,116.67	0.44% 133,173.00	Aaa / AA+ AAA	2.05 1.99
3130ADRG9	FHLB Note 2.75% Due 3/10/2023	7,000,000.00	01/16/2019 2.71%	7,011,060.00 7,005,833.36	105.70 0.15%	7,398,650.00 59,354.17	1.04% 392,816.64	Aaa / AA+ NR	2.19 2.13
3137EAEN5	FHLMC Note 2.75% Due 6/19/2023	6,000,000.00	07/20/2018 2.86%	5,969,880.00 5,984,889.58	106.32 0.18%	6,379,026.00 5,500.00	0.89% 394,136.42	Aaa / AA+ AAA	2.47 2.40
313383YJ4	FHLB Note 3.375% Due 9/8/2023	7,000,000.00	10/29/2018 3.08%	7,091,910.00 7,050,801.92	108.55 0.18%	7,598,703.00 74,156.25	1.07% 547,901.08	Aaa / AA+ NR	2.69 2.57
3135G0U43	FNMA Note 2.875% Due 9/12/2023	8,205,000.00	09/12/2018 2.96%	8,171,359.50 8,186,851.84	107.15 0.21%	8,791,690.32 71,423.39	1.23% 604,838.48	Aaa / AA+ AAA	2.70 2.59

Holdings Report

As of December 31, 2020



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
AGENCY									
3137EAF2	FHLMC Note 0.25% Due 12/4/2023	9,225,000.00	12/02/2020 0.28%	9,215,867.25 9,216,100.78	100.11 0.21%	9,234,833.85 1,729.69	1.28% 18,733.07	Aaa / AA+ AAA	2.93 2.91
3130A0F70	FHLB Note 3.375% Due 12/8/2023	7,000,000.00	12/28/2018 2.77%	7,194,320.00 7,115,428.02	109.25 0.21%	7,647,668.00 15,093.75	1.06% 532,239.98	Aaa / AA+ AAA	2.94 2.82
3130A0XE5	FHLB Note 3.25% Due 3/8/2024	8,000,000.00	02/27/2019 2.59%	8,247,280.00 8,156,588.21	109.65 0.21%	8,771,864.00 81,611.11	1.23% 615,275.79	Aaa / AA+ NR	3.19 3.03
3130AB3H7	FHLB Note 2.375% Due 3/8/2024	3,000,000.00	04/29/2019 2.37%	3,000,210.00 3,000,137.55	106.79 0.24%	3,203,589.00 22,364.58	0.45% 203,451.45	Aaa / AA+ NR	3.19 3.07
3130A1XJ2	FHLB Note 2.875% Due 6/14/2024	11,175,000.00	Various 2.21%	11,527,225.65 11,416,331.77	109.16 0.21%	12,198,305.92 15,171.61	1.70% 781,974.15	Aaa / AA+ NR	3.45 3.31
3135G0V75	FNMA Note 1.75% Due 7/2/2024	8,250,000.00	07/16/2019 1.96%	8,166,922.50 8,191,405.60	105.29 0.23%	8,686,524.00 71,786.46	1.22% 495,118.40	Aaa / AA+ AAA	3.50 3.38
3130A2UW4	FHLB Note 2.875% Due 9/13/2024	9,350,000.00	09/13/2019 1.79%	9,834,236.50 9,708,664.21	109.67 0.25%	10,253,986.05 80,643.75	1.44% 545,321.84	Aaa / AA+ AAA	3.70 3.51
3135G0W66	FNMA Note 1.625% Due 10/15/2024	7,590,000.00	Various 1.61%	7,596,251.10 7,595,432.49	105.41 0.19%	8,000,869.47 26,037.92	1.12% 405,436.98	Aaa / AA+ AAA	3.79 3.68
3135G0X24	FNMA Note 1.625% Due 1/7/2025	13,785,000.00	Various 1.30%	13,993,685.85 13,958,357.77	105.38 0.28%	14,526,191.88 108,269.69	2.03% 567,834.11	Aaa / AA+ AAA	4.02 3.87
3137EAEPO	FHLMC Note 1.5% Due 2/12/2025	15,235,000.00	02/13/2020 1.52%	15,223,269.05 15,225,338.84	104.90 0.30%	15,981,941.58 88,236.04	2.23% 756,602.74	Aaa / NR AAA	4.12 3.98
3135G05X7	FNMA Note 0.375% Due 8/25/2025	13,000,000.00	Various 0.47%	12,942,071.80 12,945,889.31	99.98 0.38%	12,997,153.00 16,791.67	1.81% 51,263.69	Aaa / AA+ AAA	4.65 4.60
3137EAEEX3	FHLMC Note 0.375% Due 9/23/2025	13,000,000.00	Various 0.44%	12,961,478.40 12,962,887.09	99.83 0.41%	12,978,368.00 13,000.00	1.80% 15,480.91	Aaa / AA+ AAA	4.73 4.68
3135G06G3	FNMA Note 0.5% Due 11/7/2025	12,900,000.00	Various 0.56%	12,864,817.00 12,865,876.67	100.42 0.41%	12,953,960.70 8,779.16	1.80% 88,084.03	Aaa / AA+ AAA	4.85 4.78
3130AAUF3	FHLB Note 2.75% Due 3/13/2026	5,430,000.00	07/30/2019 2.11%	5,642,367.30 5,596,678.02	111.90 0.43%	6,076,256.88 44,797.50	0.85% 479,578.86	Aaa / AA+ AAA	5.20 4.86
3130AGFP5	FHLB Note 2.5% Due 6/12/2026	5,000,000.00	07/30/2019 2.15%	5,111,250.00 5,088,183.81	111.00 0.45%	5,549,880.00 6,597.22	0.77% 461,696.19	Aaa / AA+ NR	5.45 5.13
3135G05Y5	FNMA Note 0.75% Due 10/8/2027	6,700,000.00	Various 0.76%	6,695,378.90 6,695,567.81	100.39 0.69%	6,726,056.31 11,445.84	0.94% 30,488.50	Aaa / AA+ AAA	6.77 6.58

Holdings Report

As of December 31, 2020



CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
Total Agency		218,445,000.00	1.80%	219,905,364.80 219,551,549.45	0.27%	228,484,282.31 1,117,515.32	31.90% 8,932,732.86	Aaa / AA+ AAA	3.35 3.25
CMO									
3137BM6P6	FHLMC K721 A2 3.09% Due 8/25/2022	10,250,000.00	Various 2.11%	10,524,873.05 10,393,597.00	103.31 0.65%	10,589,531.25 26,393.75	1.47% 195,934.25	Aaa / NR NR	1.65 1.42
3137B4WB8	FHLMC K033 A2 3.06% Due 7/25/2023	6,545,000.00	Various 2.92%	6,585,492.97 6,568,344.15	106.21 0.42%	6,951,437.96 3,337.95	0.97% 383,093.81	Aaa / NR NR	2.56 2.35
3137B5JM6	FHLMC K034 A2 3.531% Due 7/25/2023	2,500,000.00	Various 2.35%	2,601,875.00 2,568,809.95	107.49 0.40%	2,687,130.00 7,356.25	0.37% 118,320.05	NR / NR AAA	2.56 2.38
3137B7MZ9	FHLMC K036 A2 3.527% Due 10/25/2023	9,650,000.00	Various 2.52%	10,015,378.90 9,900,818.55	108.04 0.43%	10,425,705.60 5,672.60	1.45% 524,887.05	Aaa / NR AAA	2.82 2.57
3137BYPQ7	FHLMC K726 A2 2.905% Due 4/25/2024	8,321,483.35	04/22/2019 2.72%	8,380,968.97 8,360,879.96	106.88 0.57%	8,893,884.90 20,144.92	1.24% 533,004.94	NR / AAA NR	3.32 2.92
Total CMO		37,266,483.35	2.51%	38,108,588.89 37,792,449.61	0.52%	39,547,689.71 62,905.47	5.50% 1,755,240.10	Aaa / AAA AAA	2.56 2.29
CORPORATE									
78012KKU0	Royal Bank of Canada Note 2.5% Due 1/19/2021	6,567,000.00	Various 3.11%	6,482,368.32 6,565,121.40	100.09 0.73%	6,572,798.66 73,878.75	0.92% 7,677.26	Aa2 / AA- AA+	0.05 0.05
037833AR1	Apple Inc Note 2.85% Due 5/6/2021	3,000,000.00	06/30/2017 2.01%	3,092,400.00 3,008,214.79	100.81 0.50%	3,024,384.00 13,062.50	0.42% 16,169.21	Aa1 / AA+ NR	0.35 0.35
369550BE7	General Dynamics Corp Note 3% Due 5/11/2021	5,100,000.00	Various 3.00%	5,098,778.86 5,100,079.21	100.96 0.33%	5,149,128.31 21,250.00	0.72% 49,049.10	A2 / A NR	0.36 0.36
857477AV5	State Street Bank Note 1.95% Due 5/19/2021	4,916,000.00	Various 2.87%	4,818,802.80 4,899,507.10	100.67 0.21%	4,948,735.64 11,183.90	0.69% 49,228.54	A1 / A AA-	0.38 0.38
594918BP8	Microsoft Callable Note Cont 7/8/2021 1.55% Due 8/8/2021	2,000,000.00	06/30/2017 1.97%	1,967,000.00 1,995,182.00	100.69 0.22%	2,013,758.00 12,313.89	0.28% 18,576.00	Aaa / AAA AA+	0.60 0.52
68389XBK0	Oracle Corp Callable Note Cont 8/15/2021 1.9% Due 9/15/2021	7,000,000.00	04/11/2019 2.66%	6,876,240.00 6,964,020.00	101.01 0.28%	7,070,490.00 39,161.11	0.99% 106,470.00	A3 / A A-	0.71 0.62
89236TDP7	Toyota Motor Credit Corp Note 2.6% Due 1/11/2022	6,750,000.00	Various 3.14%	6,640,111.50 6,714,358.04	102.36 0.30%	6,909,212.25 82,875.00	0.97% 194,854.21	A1 / A+ A+	1.03 1.01

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CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
CORPORATE									
69353RFE3	PNC Bank Callable Note Cont 6/28/2022 2.45% Due 7/28/2022	5,640,000.00	Various 3.07%	5,507,443.50 5,588,655.24	103.28 0.25%	5,824,726.92 58,726.51	0.82% 236,071.68	A2 / A A+	1.57 1.46
48128BAB7	JP Morgan Chase & Co Callable Note 1X 1/15/2022 2.972% Due 1/15/2023	7,750,000.00	Various 3.07%	7,675,870.00 7,716,055.86	102.72 0.34%	7,961,071.25 106,207.73	1.12% 245,015.39	A2 / A- AA-	2.04 1.02
808513AT2	Charles Schwab Corp Callable Note Cont 12/25/2022 2.65% Due 1/25/2023	5,580,000.00	Various 3.31%	5,431,072.20 5,509,798.50	104.76 0.24%	5,845,379.22 64,076.99	0.82% 335,580.72	A2 / A A	2.07 1.93
24422ETG4	John Deere Capital Corp Note 2.8% Due 3/6/2023	6,268,000.00	Various 3.51%	6,092,335.66 6,179,379.42	105.54 0.25%	6,615,460.31 56,063.77	0.93% 436,080.89	A2 / A A	2.18 2.11
084670BR8	Berkshire Hathaway Callable Note Cont 1/15/2023 2.75% Due 3/15/2023	4,232,000.00	Various 3.42%	4,120,054.64 4,174,368.63	105.02 0.28%	4,444,285.58 34,267.44	0.62% 269,916.95	Aa2 / AA A+	2.20 1.98
06406RAG2	Bank of NY Mellon Corp Note 3.5% Due 4/28/2023	4,280,000.00	Various 3.12%	4,342,247.36 4,314,904.99	107.24 0.37%	4,589,906.24 26,215.00	0.64% 275,001.25	A1 / A AA-	2.32 2.24
404280BA6	HSBC Holdings PLC Note 3.6% Due 5/25/2023	7,000,000.00	Various 3.84%	6,930,000.00 6,963,778.17	107.56 0.43%	7,529,284.00 25,200.00	1.05% 565,505.83	A2 / A- A+	2.40 2.31
931142EK5	Wal-Mart Stores Callable Note Cont 5/26/2023 3.4% Due 6/26/2023	6,575,000.00	Various 2.96%	6,687,899.75 6,640,451.57	107.39 0.31%	7,061,181.80 3,104.86	0.98% 420,730.23	Aa2 / AA AA	2.48 2.33
02665WCJ8	American Honda Finance Note 3.45% Due 7/14/2023	5,070,000.00	Various 3.44%	5,073,141.30 5,071,595.30	107.70 0.39%	5,460,547.17 81,141.13	0.77% 388,951.87	A3 / A- NR	2.53 2.41
89114QC48	Toronto Dominion Bank Note 3.5% Due 7/19/2023	5,000,000.00	07/27/2018 3.56%	4,985,500.00 4,992,574.15	108.22 0.26%	5,410,915.00 78,750.00	0.76% 418,340.85	Aa1 / AA- AA	2.55 2.43
69371RP59	Paccar Financial Corp Note 3.4% Due 8/9/2023	4,095,000.00	08/06/2018 3.41%	4,093,321.05 4,094,126.50	107.98 0.32%	4,421,699.10 54,918.50	0.62% 327,572.60	A1 / A+ NR	2.61 2.49
06406RAJ6	Bank of NY Mellon Corp Note 3.45% Due 8/11/2023	2,750,000.00	Various 3.27%	2,767,987.50 2,761,790.17	108.10 0.33%	2,972,648.25 36,895.84	0.42% 210,858.08	A1 / A AA-	2.61 2.49
02665WCQ2	American Honda Finance Note 3.625% Due 10/10/2023	1,000,000.00	10/03/2018 3.64%	999,180.00 999,545.54	108.80 0.43%	1,088,001.00 8,156.25	0.15% 88,455.46	A3 / A- NR	2.78 2.65
06051GHF9	Bank of America Corp Callable Note 1X 3/5/2023 3.55% Due 3/5/2024	8,310,000.00	Various 2.88%	8,388,172.50 8,362,164.87	106.83 0.40%	8,877,489.90 95,057.17	1.25% 515,325.03	A2 / A- A+	3.18 2.09

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CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
CORPORATE									
89114QCB2	Toronto Dominion Bank Note 3.25% Due 3/11/2024	1,500,000.00	03/26/2019 2.97%	1,518,990.00 1,512,222.85	108.60 0.53%	1,629,015.00 14,895.83	0.23% 116,792.15	Aa3 / A AA-	3.19 3.03
404280BS7	HSBC Holdings PLC Callable Note 1X 5/18/2023 3.95% Due 5/18/2024	1,955,000.00	Various 2.03%	2,058,459.05 2,034,311.71	107.87 0.62%	2,108,793.99 9,223.80	0.29% 74,482.28	A2 / A- A+	3.38 2.29
78013XZU5	Royal Bank of Canada Note 2.55% Due 7/16/2024	3,054,000.00	12/05/2019 2.23%	3,096,481.14 3,086,650.58	106.93 0.57%	3,265,788.79 35,693.63	0.46% 179,138.21	A2 / A AA	3.54 3.37
69371RQ25	Paccar Financial Corp Note 2.15% Due 8/15/2024	2,465,000.00	08/08/2019 2.20%	2,459,552.35 2,461,058.13	105.82 0.53%	2,608,450.68 20,021.28	0.37% 147,392.55	A1 / A+ NR	3.62 3.47
14913Q3B3	Caterpillar Finl Service Note 2.15% Due 11/8/2024	8,820,000.00	Various 2.03%	8,866,124.60 8,857,065.04	106.53 0.44%	9,395,566.74 27,917.75	1.31% 538,501.70	A3 / A A	3.86 3.70
90331HPL1	US Bank NA Callable Note Cont 12/21/2024 2.05% Due 1/21/2025	9,485,000.00	01/16/2020 2.10%	9,464,796.95 9,468,623.03	105.82 0.57%	10,037,235.67 86,418.89	1.41% 568,612.64	A1 / AA- AA-	4.06 3.80
Total Corporate		136,162,000.00	2.93%	135,534,331.03 136,035,602.79	0.38%	142,835,953.47 1,176,677.52	20.01% 6,800,350.68	A1 / A+ A+	2.20 1.97
LAIF									
90LAIF\$00	Local Agency Investment Fund State Pool	72,158,835.11	Various 0.52%	72,158,835.11 72,158,835.11	1.00 0.52%	72,158,835.11 97,310.27	10.04% 0.00	NR / NR NR	0.00 0.00
Total LAIF		72,158,835.11	0.52%	72,158,835.11 72,158,835.11	0.52%	72,158,835.11 97,310.27	10.04% 0.00	NR / NR NR	0.00 0.00
MONEY MARKET FUND FI									
60934N807	Federated Investors Govt Oblig Fund Inst.	39.04	09/01/2020 0.01%	39.04 39.04	1.00 0.01%	39.04 0.00	0.00% 0.00	Aaa / AAA AAA	0.00 0.00
60934N807	Federated Investors Govt Oblig Fund Inst.	1,386,594.16	Various 0.01%	1,386,594.16 1,386,594.16	1.00 0.01%	1,386,594.16 0.00	0.19% 0.00	Aaa / AAA AAA	0.00 0.00
Total Money Market Fund FI		1,386,633.20	0.01%	1,386,633.20 1,386,633.20	0.01%	1,386,633.20 0.00	0.19% 0.00	Aaa / AAA AAA	0.00 0.00

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CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
MUNICIPAL BONDS									
13063DRK6	California State Taxable GO 2.4% Due 10/1/2024	4,475,000.00	10/16/2019 1.91%	4,577,790.75 4,553,004.73	107.14 0.48%	4,794,291.25 26,850.00	0.67% 241,286.52	Aa2 / AA- AA	3.75 3.59
Total Municipal Bonds		4,475,000.00	1.91%	4,577,790.75 4,553,004.73	0.48%	4,794,291.25 26,850.00	0.67% 241,286.52	Aa2 / AA- AA	3.75 3.59
NEGOTIABLE CD									
55380THN3	MUFG Bank Yankee CD 0.22% Due 1/26/2021	4,000,000.00	12/23/2020 0.21%	4,000,037.19 4,000,027.35	100.00 0.21%	4,000,027.35 3,128.89	0.56% 0.00	P-1 / A-1 F-1	0.07 0.07
89114NYD1	Toronto Dominion Bank NY Yankee CD 0.25% Due 3/23/2021	6,000,000.00	12/23/2020 0.25%	5,999,999.97 5,999,999.97	100.00 0.25%	5,999,999.97 416.67	0.83% 0.00	P-1 / A-1+ F-1+	0.22 0.22
Total Negotiable CD		10,000,000.00	0.23%	10,000,037.16 10,000,027.32	0.23%	10,000,027.32 3,545.56	1.39% 0.00	Aaa / AAA AAA	0.16 0.16
SUPRANATIONAL									
45950KCM0	International Finance Corp Note 2.25% Due 1/25/2021	4,000,000.00	Various 2.61%	3,963,746.67 3,999,087.23	100.13 0.35%	4,005,064.00 39,000.00	0.56% 5,976.77	Aaa / AAA NR	0.07 0.07
4581X0CZ9	Inter-American Dev Bank Note 1.75% Due 9/14/2022	5,000,000.00	08/21/2018 2.87%	4,787,000.00 4,910,807.15	102.67 0.18%	5,133,725.00 26,006.94	0.72% 222,917.85	Aaa / AAA AAA	1.70 1.68
459058JL8	Intl. Bank Recon & Development Note 0.5% Due 10/28/2025	5,915,000.00	10/21/2020 0.52%	5,908,316.05 5,908,553.98	100.19 0.46%	5,926,066.97 5,175.63	0.82% 17,512.99	Aaa / AAA AAA	4.83 4.76
Total Supranational		14,915,000.00	1.86%	14,659,062.72 14,818,448.36	0.33%	15,064,855.97 70,182.57	2.10% 246,407.61	Aaa / AAA AAA	2.49 2.45
US TREASURY									
912828N89	US Treasury Note 1.375% Due 1/31/2021	10,000,000.00	01/28/2020 1.54%	9,983,984.38 9,998,694.38	100.09 0.30%	10,009,050.00 57,540.76	1.40% 10,355.62	Aaa / AA+ AAA	0.08 0.08
912828Q37	US Treasury Note 1.25% Due 3/31/2021	10,000,000.00	01/22/2020 1.56%	9,963,281.25 9,992,452.73	100.26 0.18%	10,026,440.00 31,936.81	1.40% 33,987.27	Aaa / AA+ AAA	0.25 0.25

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CUSIP	Security Description	Par Value/Units	Purchase Date Book Yield	Cost Value Book Value	Mkt Price Mkt YTM	Market Value Accrued Int.	% of Port. Gain/Loss	Moody/S&P Fitch	Maturity Duration
US TREASURY									
912828T34	US Treasury Note 1.125% Due 9/30/2021	7,000,000.00	11/26/2019 1.62%	6,936,835.94 6,974,471.58	100.75 0.12%	7,052,773.00 20,120.19	0.98% 78,301.42	Aaa / AA+ AAA	0.75 0.74
912828XG0	US Treasury Note 2.125% Due 6/30/2022	10,000,000.00	09/11/2019 1.61%	10,141,015.63 10,075,199.14	102.99 0.13%	10,299,220.00 587.02	1.43% 224,020.86	Aaa / AA+ AAA	1.50 1.48
912828L57	US Treasury Note 1.75% Due 9/30/2022	8,000,000.00	09/07/2018 2.79%	7,684,062.50 7,864,110.61	102.82 0.13%	8,225,936.00 35,769.23	1.15% 361,825.39	Aaa / AA+ AAA	1.75 1.72
912828N30	US Treasury Note 2.125% Due 12/31/2022	8,000,000.00	10/03/2018 2.97%	7,730,937.50 7,873,372.14	103.98 0.13%	8,318,440.00 469.61	1.16% 445,067.86	Aaa / AA+ AAA	2.00 1.97
912828T91	US Treasury Note 1.625% Due 10/31/2023	10,000,000.00	05/29/2019 2.05%	9,819,921.88 9,884,816.91	104.18 0.15%	10,417,580.00 27,831.49	1.45% 532,763.09	Aaa / AA+ AAA	2.83 2.77
912828V23	US Treasury Note 2.25% Due 12/31/2023	10,000,000.00	06/21/2019 1.80%	10,196,484.38 10,130,196.19	106.24 0.16%	10,624,220.00 621.55	1.48% 494,023.81	Aaa / AA+ AAA	3.00 2.92
912828B66	US Treasury Note 2.75% Due 2/15/2024	11,000,000.00	Various 2.21%	11,260,781.25 11,173,615.24	108.02 0.18%	11,882,145.00 114,259.51	1.67% 708,529.76	Aaa / AA+ AAA	3.13 2.99
912828X70	US Treasury Note 2% Due 4/30/2024	11,000,000.00	Various 1.91%	11,046,992.19 11,032,183.52	105.99 0.19%	11,659,142.00 37,679.55	1.62% 626,958.48	Aaa / AA+ AAA	3.33 3.23
912828XX3	US Treasury Note 2% Due 6/30/2024	11,000,000.00	Various 1.74%	11,124,335.94 11,095,639.50	106.25 0.21%	11,687,929.00 607.73	1.62% 592,289.50	Aaa / AA+ AAA	3.50 3.40
912828D56	US Treasury Note 2.375% Due 8/15/2024	10,750,000.00	Various 1.56%	11,157,548.83 11,052,743.00	107.78 0.22%	11,586,059.75 96,435.97	1.62% 533,316.75	Aaa / AA+ AAA	3.62 3.47
9128283D0	US Treasury Note 2.25% Due 10/31/2024	11,000,000.00	07/05/2019 1.88%	11,204,960.94 11,147,652.09	107.66 0.24%	11,843,051.00 42,389.50	1.65% 695,398.91	Aaa / AA+ AAA	3.84 3.68
9128283V0	US Treasury Note 2.5% Due 1/31/2025	11,000,000.00	07/30/2019 1.88%	11,356,640.63 11,264,421.27	109.07 0.27%	11,997,304.00 115,081.52	1.68% 732,882.73	Aaa / AA+ AAA	4.09 3.88
912828ZC7	US Treasury Note 1.125% Due 2/28/2025	11,250,000.00	03/18/2020 0.81%	11,423,583.98 11,395,918.13	103.53 0.27%	11,646,821.25 43,003.28	1.62% 250,903.12	Aaa / AA+ AAA	4.16 4.06
Total US Treasury		150,000,000.00	1.83%	151,031,367.22 150,955,486.43	0.20%	157,276,111.00 624,333.72	21.94% 6,320,624.57	Aaa / AA+ AAA	2.66 2.57
TOTAL PORTFOLIO		689,355,086.93	1.90%	691,979,695.04 691,834,904.10	0.32%	716,620,910.31 3,211,666.66	100.00% 24,786,006.21	Aa1 / AA AAA	2.50 2.27
TOTAL MARKET VALUE PLUS ACCRUED						719,832,576.97			

Transaction Ledger

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Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
ACQUISITIONS										
Purchase	10/09/2020	3135G05Y5	4,195,000.00	FNMA Note 0.75% Due 10/8/2027	99.857	0.77%	4,189,001.15	0.00	4,189,001.15	0.00
Purchase	10/13/2020	89236XAC0	2,635,000.00	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	99.981	0.36%	2,634,509.10	0.00	2,634,509.10	0.00
Purchase	10/15/2020	90LAIF\$00	140,749.28	Local Agency Investment Fund State Pool	1.000	0.66%	140,749.28	0.00	140,749.28	0.00
Purchase	10/28/2020	44891RAC4	3,350,000.00	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	99.977	0.39%	3,349,228.49	0.00	3,349,228.49	0.00
Purchase	10/28/2020	459058JL8	5,915,000.00	Intl. Bank Recon & Development Note 0.5% Due 10/28/2025	99.887	0.52%	5,908,316.05	0.00	5,908,316.05	0.00
Purchase	11/12/2020	3135G06G3	11,200,000.00	FNMA Note 0.5% Due 11/7/2025	99.642	0.57%	11,159,904.00	0.00	11,159,904.00	0.00
Purchase	12/04/2020	3137EAFA2	9,225,000.00	FHLMC Note 0.25% Due 12/4/2023	99.901	0.28%	9,215,867.25	0.00	9,215,867.25	0.00
Purchase	12/17/2020	3135G05X7	1,380,000.00	FNMA Note 0.375% Due 8/25/2025	99.743	0.43%	1,376,453.40	1,581.25	1,378,034.65	0.00
Purchase	12/17/2020	3135G05Y5	2,505,000.00	FNMA Note 0.75% Due 10/8/2027	100.055	0.74%	2,506,377.75	3,548.75	2,509,926.50	0.00
Purchase	12/17/2020	3135G06G3	1,700,000.00	FNMA Note 0.5% Due 11/7/2025	100.289	0.44%	1,704,913.00	826.39	1,705,739.39	0.00
Purchase	12/17/2020	3137EAEX3	5,070,000.00	FHLMC Note 0.375% Due 9/23/2025	99.711	0.44%	5,055,347.70	4,330.63	5,059,678.33	0.00
Purchase	12/23/2020	55380THN3	4,000,000.00	MUFG Bank Yankee CD 0.22% Due 1/26/2021	100.001	0.21%	4,000,037.19	2,908.89	4,002,946.08	0.00
Purchase	12/23/2020	89114NYD1	6,000,000.00	Toronto Dominion Bank NY Yankee CD 0.25% Due 3/23/2021	100.000	0.25%	5,999,999.97	41.67	6,000,041.64	0.00
Subtotal			57,315,749.28				57,240,704.33	13,237.58	57,253,941.91	0.00
Security Contribution	11/18/2020	90LAIF\$00	5,000,000.00	Local Agency Investment Fund State Pool	1.000		5,000,000.00	0.00	5,000,000.00	0.00

Transaction Ledger

As of December 31, 2020



Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
ACQUISITIONS										
Security Contribution	12/01/2020	90LAIF\$00	6,000,000.00	Local Agency Investment Fund State Pool	1.000		6,000,000.00	0.00	6,000,000.00	0.00
Subtotal			11,000,000.00				11,000,000.00	0.00	11,000,000.00	0.00
TOTAL ACQUISITIONS			68,315,749.28				68,240,704.33	13,237.58	68,253,941.91	0.00

DISPOSITIONS										
Sale	10/26/2020	45950KCM0	2,000,000.00	International Finance Corp Note 2.25% Due 1/25/2021	100.516	2.66%	2,010,320.00	11,375.00	2,021,695.00	12,050.45
Subtotal			2,000,000.00				2,010,320.00	11,375.00	2,021,695.00	12,050.45
Paydown	10/15/2020	43815NAC8	0.00	Honda Auto Receivables Trust 2019-3 A3 1.78% Due 8/15/2023	100.000		0.00	9,574.91	9,574.91	0.00
Paydown	10/15/2020	477870AC3	0.00	John Deere Owner Trust 2019-B A3 2.21% Due 12/15/2023	100.000		0.00	4,245.04	4,245.04	0.00
Paydown	10/15/2020	47788BAD6	123,968.57	John Deere Owner Trust 2017-B A3 1.82% Due 10/15/2021	100.000		123,968.57	199.92	124,168.49	0.00
Paydown	10/15/2020	47788EAC2	256,503.40	John Deere Owner Trust 2018-B A3 3.08% Due 11/15/2022	100.000		256,503.40	8,718.36	265,221.76	0.00
Paydown	10/15/2020	47789JAB2	248,611.96	John Deere Owner Trust 2019-A A2 2.85% Due 12/15/2021	100.000		248,611.96	1,111.87	249,723.83	0.00
Paydown	10/15/2020	47789KAC7	0.00	John Deere Owner Trust 2020-A A3 1.1% Due 8/15/2024	100.000		0.00	3,345.83	3,345.83	0.00
Paydown	10/15/2020	58769EAC2	0.00	Mercedes-Benz Auto Lease Trust 2020-B A3 0.4% Due 11/15/2023	100.000		0.00	490.11	490.11	0.00
Paydown	10/15/2020	65479GAD1	341,843.42	Nissan Auto Receivables Trust 2018-B A3 3.06% Due 3/15/2023	100.000		341,843.42	11,597.48	353,440.90	0.00

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Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
DISPOSITIONS										
Paydown	10/15/2020	65479JAD5	0.00	Nissan Auto Receivables Owner 2019-C A3 1.93% Due 7/15/2024	100.000		0.00	7,687.83	7,687.83	0.00
Paydown	10/15/2020	89238TAD5	428,132.52	Toyota Auto Receivables Trust 2018-B A3 2.96% Due 9/15/2022	100.000		428,132.52	10,844.79	438,977.31	0.00
Paydown	10/19/2020	43813KAC6	0.00	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	100.000		0.00	654.18	654.18	0.00
Paydown	10/19/2020	43814WAB1	306,156.02	Honda Auto Receivables Trust 2019-1 A2 2.75% Due 9/20/2021	100.000		306,156.02	1,490.92	307,646.94	0.00
Paydown	10/20/2020	92348AAA3	0.00	Verizon Owner Trust 2019-C A1A 1.94% Due 4/22/2024	100.000		0.00	4,938.92	4,938.92	0.00
Paydown	10/21/2020	43815HAC1	469,036.76	Honda Auto Receivables Trust 2018-3 A3 2.95% Due 8/22/2022	100.000		469,036.76	12,464.10	481,500.86	0.00
Paydown	10/23/2020	3137B6ZM6	21,558.97	FHLMC K714 A2 3.034% Due 10/25/2020	100.000		21,558.97	54.51	21,613.48	0.00
Paydown	10/26/2020	3137B4WB8	0.00	FHLMC K033 A2Due 7/25/2023	100.000		0.00	16,689.75	16,689.75	0.00
Paydown	10/26/2020	3137B5JM6	0.00	FHLMC K034 A2 3.531% Due 7/25/2023	100.000		0.00	7,356.25	7,356.25	0.00
Paydown	10/26/2020	3137B7MZ9	0.00	FHLMC K036 A2Due 10/25/2023	100.000		0.00	28,363.28	28,363.28	0.00
Paydown	10/26/2020	3137BM6P6	0.00	FHLMC K721 A2Due 8/25/2022	100.000		0.00	26,393.75	26,393.75	0.00
Paydown	10/26/2020	3137BYPQ7	8,332.53	FHLMC K726 A2 2.905% Due 4/25/2024	100.000		8,332.53	21,595.17	29,927.70	0.00
Paydown	11/16/2020	43815NAC8	0.00	Honda Auto Receivables Trust 2019-3 A3 1.78% Due 8/15/2023	100.000		0.00	9,574.91	9,574.91	0.00
Paydown	11/16/2020	44891RAC4	0.00	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	100.000		0.00	601.14	601.14	0.00
Paydown	11/16/2020	477870AC3	0.00	John Deere Owner Trust 2019-B A3 2.21% Due 12/15/2023	100.000		0.00	4,245.04	4,245.04	0.00
Paydown	11/16/2020	47788BAD6	7,844.79	John Deere Owner Trust 2017-B A3 1.82% Due 10/15/2021	100.000		7,844.79	11.90	7,856.69	0.00

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Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
DISPOSITIONS										
Paydown	11/16/2020	47788EAC2	382,107.83	John Deere Owner Trust 2018-B A3 3.08% Due 11/15/2022	100.000		382,107.83	8,060.00	390,167.83	0.00
Paydown	11/16/2020	47789JAB2	219,544.85	John Deere Owner Trust 2019-A A2 2.85% Due 12/15/2021	100.000		219,544.85	521.42	220,066.27	0.00
Paydown	11/16/2020	47789KAC7	0.00	John Deere Owner Trust 2020-A A3 1.1% Due 8/15/2024	100.000		0.00	3,345.83	3,345.83	0.00
Paydown	11/16/2020	58769EAC2	0.00	Mercedes-Benz Auto Lease Trust 2020-B A3 0.4% Due 11/15/2023	100.000		0.00	668.33	668.33	0.00
Paydown	11/16/2020	65479GAD1	344,827.26	Nissan Auto Receivables Trust 2018-B A3 3.06% Due 3/15/2023	100.000		344,827.26	10,725.78	355,553.04	0.00
Paydown	11/16/2020	65479JAD5	0.00	Nissan Auto Receivables Owner 2019-C A3 1.93% Due 7/15/2024	100.000		0.00	7,687.83	7,687.83	0.00
Paydown	11/16/2020	89236XAC0	0.00	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	100.000		0.00	819.78	819.78	0.00
Paydown	11/16/2020	89238TAD5	408,508.87	Toyota Auto Receivables Trust 2018-B A3 2.96% Due 9/15/2022	100.000		408,508.87	9,788.73	418,297.60	0.00
Paydown	11/18/2020	43813KAC6	0.00	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	100.000		0.00	1,032.92	1,032.92	0.00
Paydown	11/18/2020	43814WAB1	296,592.18	Honda Auto Receivables Trust 2019-1 A2 2.75% Due 9/20/2021	100.000		296,592.18	789.31	297,381.49	0.00
Paydown	11/20/2020	92348AAA3	0.00	Verizon Owner Trust 2019-C A1A 1.94% Due 4/22/2024	100.000		0.00	4,938.92	4,938.92	0.00
Paydown	11/23/2020	43815HAC1	466,680.59	Honda Auto Receivables Trust 2018-3 A3 2.95% Due 8/22/2022	100.000		466,680.59	11,311.05	477,991.64	0.00
Paydown	11/25/2020	3137B4WB8	0.00	FHLMC K033 A2Due 7/25/2023	100.000		0.00	16,689.75	16,689.75	0.00
Paydown	11/25/2020	3137B5JM6	0.00	FHLMC K034 A2 3.531% Due 7/25/2023	100.000		0.00	7,356.25	7,356.25	0.00
Paydown	11/25/2020	3137B7MZ9	0.00	FHLMC K036 A2Due 10/25/2023	100.000		0.00	28,362.96	28,362.96	0.00
Paydown	11/25/2020	3137BM6P6	0.00	FHLMC K721 A2Due 8/25/2022	100.000		0.00	26,393.75	26,393.75	0.00

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Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
DISPOSITIONS										
Paydown	11/25/2020	3137BYPQ7	582,346.94	FHLMC K726 A2 2.905% Due 4/25/2024	100.000		582,346.94	21,575.00	603,921.94	0.00
Paydown	12/15/2020	43815NAC8	0.00	Honda Auto Receivables Trust 2019-3 A3 1.78% Due 8/15/2023	100.000		0.00	9,574.91	9,574.91	0.00
Paydown	12/15/2020	44891RAC4	0.00	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	100.000		0.00	1,060.83	1,060.83	0.00
Paydown	12/15/2020	477870AC3	0.00	John Deere Owner Trust 2019-B A3 2.21% Due 12/15/2023	100.000		0.00	4,245.04	4,245.04	0.00
Paydown	12/15/2020	47788EAC2	246,138.19	John Deere Owner Trust 2018-B A3 3.08% Due 11/15/2022	100.000		246,138.19	7,079.25	253,217.44	0.00
Paydown	12/15/2020	47789KAC7	0.00	John Deere Owner Trust 2020-A A3 1.1% Due 8/15/2024	100.000		0.00	3,345.83	3,345.83	0.00
Paydown	12/15/2020	58769EAC2	0.00	Mercedes-Benz Auto Lease Trust 2020-B A3 0.4% Due 11/15/2023	100.000		0.00	668.33	668.33	0.00
Paydown	12/15/2020	65479GAD1	308,663.72	Nissan Auto Receivables Trust 2018-B A3 3.06% Due 3/15/2023	100.000		308,663.72	9,846.47	318,510.19	0.00
Paydown	12/15/2020	65479JAD5	0.00	Nissan Auto Receivables Owner 2019-C A3 1.93% Due 7/15/2024	100.000		0.00	7,687.83	7,687.83	0.00
Paydown	12/15/2020	89236XAC0	0.00	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	100.000		0.00	768.54	768.54	0.00
Paydown	12/15/2020	89238TAD5	377,646.77	Toyota Auto Receivables Trust 2018-B A3 2.96% Due 9/15/2022	100.000		377,646.77	8,781.08	386,427.85	0.00
Paydown	12/18/2020	43813KAC6	0.00	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	100.000		0.00	1,032.92	1,032.92	0.00
Paydown	12/18/2020	43814WAB1	47,833.47	Honda Auto Receivables Trust 2019-1 A2 2.75% Due 9/20/2021	100.000		47,833.47	109.62	47,943.09	0.00
Paydown	12/21/2020	43815HAC1	420,243.47	Honda Auto Receivables Trust 2018-3 A3 2.95% Due 8/22/2022	100.000		420,243.47	10,163.79	430,407.26	0.00
Paydown	12/21/2020	92348AAA3	0.00	Verizon Owner Trust 2019-C A1A 1.94% Due 4/22/2024	100.000		0.00	4,938.92	4,938.92	0.00

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Transaction Type	Settlement Date	CUSIP	Quantity	Security Description	Price	Acq/Disp Yield	Amount	Interest Pur/Sold	Total Amount	Gain/Loss
DISPOSITIONS										
Paydown	12/28/2020	3137B4WB8	0.00	FHLMC K033 A2Due 7/25/2023	100.000		0.00	16,689.75	16,689.75	0.00
Paydown	12/28/2020	3137B5JM6	0.00	FHLMC K034 A2 3.531% Due 7/25/2023	100.000		0.00	7,356.25	7,356.25	0.00
Paydown	12/28/2020	3137B7MZ9	0.00	FHLMC K036 A2Due 10/25/2023	100.000		0.00	28,363.28	28,363.28	0.00
Paydown	12/28/2020	3137BM6P6	0.00	FHLMC K721 A2Due 8/25/2022	100.000		0.00	26,393.75	26,393.75	0.00
Paydown	12/28/2020	3137BYPQ7	8,389.57	FHLMC K726 A2 2.905% Due 4/25/2024	100.000		8,389.57	20,165.24	28,554.81	0.00
Subtotal			6,321,512.65				6,321,512.65	520,589.20	6,842,101.85	0.00
Maturity	10/01/2020	13063DDE5	8,000,000.00	California State TE-GO 2.3% Due 10/1/2020	100.000		8,000,000.00	0.00	8,000,000.00	0.00
Maturity	10/01/2020	594918AH7	3,817,000.00	Microsoft Note 3% Due 10/1/2020	100.000		3,817,000.00	0.00	3,817,000.00	0.00
Maturity	11/03/2020	00440EAT4	5,975,000.00	Chubb INA Holdings Inc Callable Note Cont 10/3/2020 2.3% Due 11/3/2020	100.000		5,975,000.00	0.00	5,975,000.00	0.00
Maturity	11/09/2020	4581X0CD8	4,000,000.00	Inter-American Dev Bank Note 2.125% Due 11/9/2020	100.000		4,000,000.00	0.00	4,000,000.00	0.00
Maturity	12/11/2020	3130AD4X7	3,000,000.00	FHLB Note 2% Due 12/11/2020	100.000		3,000,000.00	0.00	3,000,000.00	0.00
Maturity	12/11/2020	313371U79	8,000,000.00	FHLB Note 3.125% Due 12/11/2020	100.000		8,000,000.00	0.00	8,000,000.00	0.00
Maturity	12/14/2020	92826CAB8	4,000,000.00	Visa Inc Callable Note Cont 11/14/2020 2.2% Due 12/14/2020	100.000		4,000,000.00	0.00	4,000,000.00	0.00
Subtotal			36,792,000.00				36,792,000.00	0.00	36,792,000.00	0.00
Security Withdrawal	11/04/2020	90LAIF\$00	3,500,000.00	Local Agency Investment Fund State Pool	1.000		3,500,000.00	0.00	3,500,000.00	0.00
Subtotal			3,500,000.00				3,500,000.00	0.00	3,500,000.00	0.00
TOTAL DISPOSITIONS			48,613,512.65				48,623,832.65	531,964.20	49,155,796.85	12,050.45

Income Earned

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CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
FIXED INCOME						
02665WCJ8	American Honda Finance Note 3.45% Due 07/14/2023	Various Various 5,070,000.00	5,071,648.83 0.00 0.00 5,071,595.30	66,564.88 0.00 81,141.13 14,576.25	0.00 53.53 (53.53) 14,522.72	14,522.72
02665WCQ2	American Honda Finance Note 3.625% Due 10/10/2023	10/03/2018 10/10/2018 1,000,000.00	999,531.62 0.00 0.00 999,545.54	5,135.42 0.00 8,156.25 3,020.83	13.92 0.00 13.92 3,034.75	3,034.75
037833AR1	Apple Inc Note 2.85% Due 05/06/2021	06/30/2017 06/30/2017 3,000,000.00	3,010,252.06 0.00 0.00 3,008,214.79	5,937.50 0.00 13,062.50 7,125.00	0.00 2,037.27 (2,037.27) 5,087.73	5,087.73
06051GHF9	Bank of America Corp Callable Note 1X 3/5/2023 3.55% Due 03/05/2024	Various Various 8,310,000.00	8,363,560.14 0.00 0.00 8,362,164.87	70,473.42 0.00 95,057.17 24,583.75	0.00 1,395.27 (1,395.27) 23,188.48	23,188.48
06406RAG2	Bank of NY Mellon Corp Note 3.5% Due 04/28/2023	Various Various 4,280,000.00	4,316,182.51 0.00 0.00 4,314,904.99	13,731.67 0.00 26,215.00 12,483.33	0.00 1,277.52 (1,277.52) 11,205.81	11,205.81
06406RAJ6	Bank of NY Mellon Corp Note 3.45% Due 08/11/2023	Various Various 2,750,000.00	2,762,174.09 0.00 0.00 2,761,790.17	28,989.59 0.00 36,895.84 7,906.25	144.13 528.05 (383.92) 7,522.33	7,522.33
084670BR8	Berkshire Hathaway Callable Note Cont 1/15/2023 2.75% Due 03/15/2023	Various Various 4,232,000.00	4,172,143.76 0.00 0.00 4,174,368.63	24,569.11 0.00 34,267.44 9,698.33	2,224.87 0.00 2,224.87 11,923.20	11,923.20
13063DRK6	California State Taxable GO 2.4% Due 10/01/2024	10/16/2019 10/24/2019 4,475,000.00	4,554,771.09 0.00 0.00 4,553,004.73	17,900.00 0.00 26,850.00 8,950.00	0.00 1,766.36 (1,766.36) 7,183.64	7,183.64
14913Q3B3	Caterpillar Finl Service Note 2.15% Due 11/08/2024	Various Various 8,820,000.00	8,857,881.67 0.00 0.00 8,857,065.04	12,115.25 0.00 27,917.75 15,802.50	0.00 816.63 (816.63) 14,985.87	14,985.87

Income Earned

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CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
24422ETG4	John Deere Capital Corp Note 2.8% Due 03/06/2023	Various Various 6,268,000.00	6,175,919.42 0.00 0.00 6,179,379.42	41,438.44 0.00 56,063.77 14,625.33	3,460.00 0.00 3,460.00 18,085.33	18,085.33
3130A0F70	FHLB Note 3.375% Due 12/08/2023	12/28/2018 12/31/2018 7,000,000.00	7,118,769.07 0.00 0.00 7,115,428.02	113,531.25 118,125.00 15,093.75 19,687.50	0.00 3,341.05 (3,341.05) 16,346.45	16,346.45
3130A0XD7	FHLB Note 2.375% Due 03/12/2021	02/22/2019 02/25/2019 7,450,000.00	7,446,651.29 0.00 0.00 7,447,679.12	38,827.95 0.00 53,572.74 14,744.79	1,027.83 0.00 1,027.83 15,772.62	15,772.62
3130A0XE5	FHLB Note 3.25% Due 03/08/2024	02/27/2019 02/28/2019 8,000,000.00	8,160,765.69 0.00 0.00 8,156,588.21	59,944.44 0.00 81,611.11 21,666.67	0.00 4,177.48 (4,177.48) 17,489.19	17,489.19
3130A1XJ2	FHLB Note 2.875% Due 06/14/2024	Various Various 11,175,000.00	11,422,269.30 0.00 0.00 11,416,331.77	149,038.80 160,640.63 15,171.61 26,773.44	0.00 5,937.53 (5,937.53) 20,835.91	20,835.91
3130A2UW4	FHLB Note 2.875% Due 09/13/2024	09/13/2019 09/16/2019 9,350,000.00	9,716,894.10 0.00 0.00 9,708,664.21	58,242.71 0.00 80,643.75 22,401.04	0.00 8,229.89 (8,229.89) 14,171.15	14,171.15
3130AAUF3	FHLB Note 2.75% Due 03/13/2026	07/30/2019 07/31/2019 5,430,000.00	5,599,401.80 0.00 0.00 5,596,678.02	32,353.75 0.00 44,797.50 12,443.75	0.00 2,723.78 (2,723.78) 9,719.97	9,719.97
3130AB3H7	FHLB Note 2.375% Due 03/08/2024	04/29/2019 04/30/2019 3,000,000.00	3,000,141.22 0.00 0.00 3,000,137.55	16,427.08 0.00 22,364.58 5,937.50	0.00 3.67 (3.67) 5,933.83	5,933.83
3130AD4X7	FHLB Note Due 12/11/2020	04/04/2018 04/04/2018 0.00	2,999,645.62 0.00 3,000,000.00 0.00	28,333.33 30,000.00 0.00 1,666.67	354.38 0.00 354.38 2,021.05	2,021.05

Income Earned

As of December 31, 2020



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
3130ADRG9	FHLB Note 2.75% Due 03/10/2023	01/16/2019 01/17/2019 7,000,000.00	7,006,059.97 0.00 0.00 7,005,833.36	43,312.50 0.00 59,354.17 16,041.67	0.00 226.61 (226.61) 15,815.06	15,815.06
3130AF5B9	FHLB Note 3% Due 10/12/2021	10/18/2018 10/19/2018 8,000,000.00	7,995,996.69 0.00 0.00 7,996,390.67	32,666.67 0.00 52,666.67 20,000.00	393.98 0.00 393.98 20,393.98	20,393.98
3130AGFP5	FHLB Note 2.5% Due 06/12/2026	07/30/2019 07/31/2019 5,000,000.00	5,089,558.91 0.00 0.00 5,088,183.81	58,680.56 62,500.00 6,597.22 10,416.66	0.00 1,375.10 (1,375.10) 9,041.56	9,041.56
313371U79	FHLB Note Due 12/11/2020	01/30/2019 01/31/2019 0.00	8,001,054.12 0.00 8,000,000.00 0.00	118,055.56 125,000.00 0.00 6,944.44	0.00 1,054.12 (1,054.12) 5,890.32	5,890.32
313380GJ0	FHLB Note 2% Due 09/09/2022	10/10/2019 10/11/2019 10,000,000.00	10,077,226.50 0.00 0.00 10,073,526.32	45,555.56 0.00 62,222.22 16,666.66	0.00 3,700.18 (3,700.18) 12,966.48	12,966.48
313383YJ4	FHLB Note 3.375% Due 09/08/2023	10/29/2018 10/31/2018 7,000,000.00	7,052,408.92 0.00 0.00 7,050,801.92	54,468.75 0.00 74,156.25 19,687.50	0.00 1,607.00 (1,607.00) 18,080.50	18,080.50
313383ZU8	FHLB Note 3% Due 09/10/2021	09/07/2018 09/10/2018 5,000,000.00	5,006,636.04 0.00 0.00 5,005,909.12	33,750.00 0.00 46,250.00 12,500.00	0.00 726.92 (726.92) 11,773.08	11,773.08
3133EH7F4	FFCB Note 2.35% Due 01/17/2023	01/17/2018 01/17/2018 3,000,000.00	3,000,000.00 0.00 0.00 3,000,000.00	26,241.67 0.00 32,116.67 5,875.00	0.00 0.00 0.00 5,875.00	5,875.00
3135G05X7	FNMA Note 0.375% Due 08/25/2025	Various Various 13,000,000.00	11,568,480.59 1,376,453.40 0.00 12,945,889.31	11,377.92 (1,581.25) 16,791.67 3,832.50	955.32 0.00 955.32 4,787.82	4,787.82

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3135G05Y5	FNMA Note 0.75% Due 10/08/2027	Various Various 6,700,000.00	4,189,125.59 2,506,377.75 0.00 6,695,567.81	4,544.58 (3,548.75) 11,445.84 3,352.51	72.78 8.31 64.47 3,416.98	3,416.98
3135G06G3	FNMA Note 0.5% Due 11/07/2025	Various Various 12,900,000.00	11,160,322.35 1,704,913.00 0.00 12,865,876.67	2,955.56 (826.39) 8,779.16 4,997.21	682.58 41.26 641.32 5,638.53	5,638.53
3135G0S38	FNMA Note 2% Due 01/05/2022	07/30/2018 07/31/2018 2,150,000.00	2,130,605.42 0.00 0.00 2,132,108.50	17,438.89 0.00 21,022.22 3,583.33	1,503.08 0.00 1,503.08 5,086.41	5,086.41
3135G0T45	FNMA Note 1.875% Due 04/05/2022	07/30/2018 07/31/2018 6,000,000.00	5,923,875.00 0.00 0.00 5,928,691.07	17,500.00 0.00 26,875.00 9,375.00	4,816.07 0.00 4,816.07 14,191.07	14,191.07
3135G0U43	FNMA Note 2.875% Due 09/12/2023	09/12/2018 09/14/2018 8,205,000.00	8,186,280.09 0.00 0.00 8,186,851.84	51,765.57 0.00 71,423.39 19,657.82	571.75 0.00 571.75 20,229.57	20,229.57
3135G0V75	FNMA Note 1.75% Due 07/02/2024	07/16/2019 07/17/2019 8,250,000.00	8,189,984.30 0.00 0.00 8,191,405.60	59,755.21 0.00 71,786.46 12,031.25	1,421.30 0.00 1,421.30 13,452.55	13,452.55
3135G0W66	FNMA Note 1.625% Due 10/15/2024	Various Various 7,590,000.00	7,595,554.26 0.00 0.00 7,595,432.49	15,759.80 0.00 26,037.92 10,278.12	133.40 255.17 (121.77) 10,156.35	10,156.35
3135G0X24	FNMA Note 1.625% Due 01/07/2025	Various Various 13,785,000.00	13,962,021.08 0.00 0.00 13,958,357.77	89,602.50 0.00 108,269.69 18,667.19	259.43 3,922.74 (3,663.31) 15,003.88	15,003.88
3137B4WB8	FHLMC K033 A2 3.06% Due 07/25/2023	Various Various 6,545,000.00	6,569,118.12 0.00 0.00 6,568,344.15	3,337.95 16,689.75 3,337.95 16,689.75	0.00 773.97 (773.97) 15,915.78	15,915.78

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3137B5JM6	FHLMC K034 A2 3.531% Due 07/25/2023	Various Various 2,500,000.00	2,571,091.35 0.00 0.00 2,568,809.95	7,356.25 7,356.25 7,356.25 7,356.25	0.00 2,281.40 (2,281.40) 5,074.85	5,074.85
3137B7MZ9	FHLMC K036 A2 3.527% Due 10/25/2023	Various Various 9,650,000.00	9,908,389.51 0.00 0.00 9,900,818.55	5,672.60 28,363.28 5,672.60 28,363.28	0.00 7,570.96 (7,570.96) 20,792.32	20,792.32
3137BM6P6	FHLMC K721 A2 3.09% Due 08/25/2022	Various Various 10,250,000.00	10,401,003.84 0.00 0.00 10,393,597.00	26,393.75 26,393.75 26,393.75 26,393.75	0.00 7,406.84 (7,406.84) 18,986.91	18,986.91
3137BYPQ7	FHLMC K726 A2 2.905% Due 04/25/2024	04/22/2019 04/25/2019 8,321,483.35	8,370,319.60 0.00 8,389.57 8,360,879.96	20,165.23 20,165.24 20,144.92 20,144.93	0.00 1,050.07 (1,050.07) 19,094.86	19,094.86
3137EAEN5	FHLMC Note 2.75% Due 06/19/2023	07/20/2018 07/23/2018 6,000,000.00	5,984,368.53 0.00 0.00 5,984,889.58	74,250.00 82,500.00 5,500.00 13,750.00	521.05 0.00 521.05 14,271.05	14,271.05
3137EAEP0	FHLMC Note 1.5% Due 02/12/2025	02/13/2020 02/14/2020 15,235,000.00	15,225,139.57 0.00 0.00 15,225,338.84	69,192.29 0.00 88,236.04 19,043.75	199.27 0.00 199.27 19,243.02	19,243.02
3137EAEX3	FHLMC Note 0.375% Due 09/23/2025	Various Various 13,000,000.00	7,907,007.48 5,055,347.70 0.00 12,962,887.09	5,451.88 (4,330.63) 13,000.00 3,217.49	531.91 0.00 531.91 3,749.40	3,749.40
3137EAFA2	FHLMC Note 0.25% Due 12/04/2023	12/02/2020 12/04/2020 9,225,000.00	0.00 9,215,867.25 0.00 9,216,100.78	0.00 0.00 1,729.69 1,729.69	233.53 0.00 233.53 1,963.22	1,963.22
369550BE7	General Dynamics Corp Note 3% Due 05/11/2021	Various Various 5,100,000.00	5,100,098.10 0.00 0.00 5,100,079.21	8,500.00 0.00 21,250.00 12,750.00	347.02 365.91 (18.89) 12,731.11	12,731.11

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404280BA6	HSBC Holdings PLC Note 3.6% Due 05/25/2023	Various Various 7,000,000.00	6,962,493.41 0.00 0.00 6,963,778.17	4,200.00 0.00 25,200.00 21,000.00	1,611.92 327.16 1,284.76 22,284.76	22,284.76
404280BS7	HSBC Holdings PLC Callable Note 1X 5/18/2023 3.95% Due 05/18/2024	Various Various 1,955,000.00	2,036,305.76 0.00 0.00 2,034,311.71	2,788.59 0.00 9,223.80 6,435.21	0.00 1,994.05 (1,994.05) 4,441.16	4,441.16
43813KAC6	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	09/22/2020 09/29/2020 3,350,000.00	3,349,534.97 0.00 0.00 3,349,548.29	447.60 1,032.92 447.60 1,032.92	13.32 0.00 13.32 1,046.24	1,046.24
43814WAB1	Honda Auto Receivables Trust 2019-1 A2 Due 09/20/2021	02/19/2019 02/27/2019 0.00	47,832.51 0.00 47,833.47 0.00	47.50 109.62 0.00 62.12	0.96 0.00 0.96 63.08	63.08
43815HAC1	Honda Auto Receivables Trust 2018-3 A3 2.95% Due 08/22/2022	Various Various 3,714,179.05	4,148,733.72 0.00 420,243.47 3,726,401.95	3,387.93 10,163.79 3,043.57 9,819.43	15.67 2,103.97 (2,088.30) 7,731.13	7,731.13
43815NAC8	Honda Auto Receivables Trust 2019-3 A3 1.78% Due 08/15/2023	10/23/2019 10/25/2019 6,455,000.00	6,450,871.97 0.00 0.00 6,451,067.94	5,106.62 9,574.91 5,106.62 9,574.91	195.97 0.00 195.97 9,770.88	9,770.88
44891RAC4	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 05/15/2025	10/20/2020 10/28/2020 3,350,000.00	3,349,250.26 0.00 0.00 3,349,270.11	565.78 1,060.83 565.78 1,060.83	19.85 0.00 19.85 1,080.68	1,080.68
4581X0CZ9	Inter-American Dev Bank Note 1.75% Due 09/14/2022	08/21/2018 08/23/2018 5,000,000.00	4,906,354.69 0.00 0.00 4,910,807.15	18,715.28 0.00 26,006.94 7,291.66	4,452.46 0.00 4,452.46 11,744.12	11,744.12
459058JL8	Intl. Bank Recon & Development Note 0.5% Due 10/28/2025	10/21/2020 10/28/2020 5,915,000.00	5,908,440.50 0.00 0.00 5,908,553.98	2,711.04 0.00 5,175.63 2,464.59	113.48 0.00 113.48 2,578.07	2,578.07

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45950KCM0	International Finance Corp Note 2.25% Due 01/25/2021	Various Various 4,000,000.00	3,997,908.25 0.00 0.00 3,999,087.23	31,500.00 0.00 39,000.00 7,500.00	1,178.98 0.00 1,178.98 8,678.98	8,678.98
477870AC3	John Deere Owner Trust 2019-B A3 2.21% Due 12/15/2023	07/16/2019 07/24/2019 2,305,000.00	2,304,661.88 0.00 0.00 2,304,671.33	2,264.02 4,245.04 2,264.02 4,245.04	9.45 0.00 9.45 4,254.49	4,254.49
47788EAC2	John Deere Owner Trust 2018-B A3 3.08% Due 11/15/2022	Various Various 2,512,011.71	2,762,557.07 0.00 246,138.19 2,515,851.31	3,775.60 7,079.25 3,438.66 6,742.31	8.83 576.40 (567.57) 6,174.74	6,174.74
47789KAC7	John Deere Owner Trust 2020-A A3 1.1% Due 08/15/2024	03/04/2020 03/11/2020 3,650,000.00	3,649,813.52 0.00 0.00 3,649,817.79	1,784.44 3,345.83 1,784.44 3,345.83	4.27 0.00 4.27 3,350.10	3,350.10
48128BAB7	JP Morgan Chase & Co Callable Note 1X 1/15/2022 2.972% Due 01/15/2023	Various Various 7,750,000.00	7,715,503.59 0.00 0.00 7,716,055.86	87,013.56 0.00 106,207.73 19,194.17	2,309.47 1,757.20 552.27 19,746.44	19,746.44
58769EAC2	Mercedes-Benz Auto Lease Trust 2020-B A3 0.4% Due 11/15/2023	09/15/2020 09/23/2020 2,005,000.00	2,004,906.66 0.00 0.00 2,004,910.39	356.44 668.33 356.44 668.33	3.73 0.00 3.73 672.06	672.06
594918BP8	Microsoft Callable Note Cont 7/8/2021 1.55% Due 08/08/2021	06/30/2017 06/30/2017 2,000,000.00	1,994,500.00 0.00 0.00 1,995,182.00	9,730.56 0.00 12,313.89 2,583.33	682.00 0.00 682.00 3,265.33	3,265.33
65479GAD1	Nissan Auto Receivables Trust 2018-B A3 3.06% Due 03/15/2023	Various Various 3,552,696.94	3,871,373.18 0.00 308,663.72 3,561,261.53	5,251.45 9,846.47 4,831.67 9,426.69	3.88 1,451.81 (1,447.93) 7,978.76	7,978.76
65479JAD5	Nissan Auto Receivables Owner 2019-C A3 1.93% Due 07/15/2024	10/16/2019 10/23/2019 4,780,000.00	4,779,806.81 0.00 0.00 4,779,811.34	4,100.18 7,687.83 4,100.18 7,687.83	4.53 0.00 4.53 7,692.36	7,692.36

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68389XBK0	Oracle Corp Callable Note Cont 8/15/2021 1.9% Due 09/15/2021	04/11/2019 04/15/2019 7,000,000.00	6,959,680.00 0.00 0.00 6,964,020.00	28,077.78 0.00 39,161.11 11,083.33	4,340.00 0.00 4,340.00 15,423.33	15,423.33
69353RFE3	PNC Bank Callable Note Cont 6/28/2022 2.45% Due 07/28/2022	Various Various 5,640,000.00	5,585,899.78 0.00 0.00 5,588,655.24	47,211.51 0.00 58,726.51 11,515.00	3,182.73 427.27 2,755.46 14,270.46	14,270.46
69371RP59	Paccar Financial Corp Note 3.4% Due 08/09/2023	08/06/2018 08/09/2018 4,095,000.00	4,094,098.00 0.00 0.00 4,094,126.50	43,316.00 0.00 54,918.50 11,602.50	28.50 0.00 28.50 11,631.00	11,631.00
69371RQ25	Paccar Financial Corp Note 2.15% Due 08/15/2024	08/08/2019 08/15/2019 2,465,000.00	2,460,965.70 0.00 0.00 2,461,058.13	15,604.82 0.00 20,021.28 4,416.46	92.43 0.00 92.43 4,508.89	4,508.89
78012KKU0	Royal Bank of Canada Note 2.5% Due 01/19/2021	Various Various 6,567,000.00	6,561,886.03 0.00 0.00 6,565,121.40	60,197.50 0.00 73,878.75 13,681.25	3,235.37 0.00 3,235.37 16,916.62	16,916.62
78013XZU5	Royal Bank of Canada Note 2.55% Due 07/16/2024	12/05/2019 12/09/2019 3,054,000.00	3,087,434.00 0.00 0.00 3,086,650.58	29,203.88 0.00 35,693.63 6,489.75	0.00 783.42 (783.42) 5,706.33	5,706.33
808513AT2	Charles Schwab Corp Callable Note Cont 12/25/2022 2.65% Due 01/25/2023	Various Various 5,580,000.00	5,506,912.23 0.00 0.00 5,509,798.50	51,754.50 0.00 64,076.99 12,322.49	2,886.27 0.00 2,886.27 15,208.76	15,208.76
857477AV5	State Street Bank Note 1.95% Due 05/19/2021	Various Various 4,916,000.00	4,895,802.17 0.00 0.00 4,899,507.10	3,195.40 0.00 11,183.90 7,988.50	3,704.93 0.00 3,704.93 11,693.43	11,693.43
89114QC48	Toronto Dominion Bank Note 3.5% Due 07/19/2023	07/27/2018 07/31/2018 5,000,000.00	4,992,326.35 0.00 0.00 4,992,574.15	64,166.67 0.00 78,750.00 14,583.33	247.80 0.00 247.80 14,831.13	14,831.13

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89114QCB2	Toronto Dominion Bank Note 3.25% Due 03/11/2024	03/26/2019 03/28/2019 1,500,000.00	1,512,548.09 0.00 0.00 1,512,222.85	10,833.33 0.00 14,895.83 4,062.50	0.00 325.24 (325.24) 3,737.26	3,737.26
89236TDP7	Toyota Motor Credit Corp Note 2.6% Due 01/11/2022	Various Various 6,750,000.00	6,711,411.64 0.00 0.00 6,714,358.04	68,250.00 0.00 82,875.00 14,625.00	2,946.40 0.00 2,946.40 17,571.40	17,571.40
89236XAC0	Toyota Auto Receivables 2020-D A3 0.35% Due 01/15/2025	10/06/2020 10/13/2020 2,635,000.00	2,634,524.57 0.00 0.00 2,634,534.36	409.89 768.54 409.89 768.54	9.79 0.00 9.79 778.33	778.33
89238TAD5	Toyota Auto Receivables Trust 2018-B A3 2.96% Due 09/15/2022	Various Various 3,182,247.57	3,581,791.12 0.00 377,646.77 3,200,892.23	4,683.24 8,781.08 4,186.42 8,284.26	0.00 3,252.12 (3,252.12) 5,032.14	5,032.14
90331HPL1	US Bank NA Callable Note Cont 12/21/2024 2.05% Due 01/21/2025	01/16/2020 01/21/2020 9,485,000.00	9,468,280.23 0.00 0.00 9,468,623.03	70,215.35 0.00 86,418.89 16,203.54	342.80 0.00 342.80 16,546.34	16,546.34
9128283D0	US Treasury Note 2.25% Due 10/31/2024	07/05/2019 07/08/2019 11,000,000.00	11,150,923.86 0.00 0.00 11,147,652.09	21,194.75 0.00 42,389.50 21,194.75	0.00 3,271.77 (3,271.77) 17,922.98	17,922.98
9128283V0	US Treasury Note 2.5% Due 01/31/2025	07/30/2019 07/31/2019 11,000,000.00	11,269,918.97 0.00 0.00 11,264,421.27	91,915.76 0.00 115,081.52 23,165.76	0.00 5,497.70 (5,497.70) 17,668.06	17,668.06
912828B66	US Treasury Note 2.75% Due 02/15/2024	Various Various 11,000,000.00	11,178,336.36 0.00 0.00 11,173,615.24	88,777.17 0.00 114,259.51 25,482.34	0.00 4,721.12 (4,721.12) 20,761.22	20,761.22
912828D56	US Treasury Note 2.375% Due 08/15/2024	Various Various 10,750,000.00	11,059,842.12 0.00 0.00 11,052,743.00	74,928.66 0.00 96,435.97 21,507.31	0.00 7,099.12 (7,099.12) 14,408.19	14,408.19

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912828L57	US Treasury Note 1.75% Due 09/30/2022	09/07/2018 09/10/2018 8,000,000.00	7,857,497.47 0.00 0.00 7,864,110.61	23,846.15 0.00 35,769.23 11,923.08	6,613.14 0.00 6,613.14 18,536.22	18,536.22
912828N30	US Treasury Note 2.125% Due 12/31/2022	10/03/2018 10/04/2018 8,000,000.00	7,867,987.41 0.00 0.00 7,873,372.14	71,141.30 85,000.00 469.61 14,328.31	5,384.73 0.00 5,384.73 19,713.04	19,713.04
912828N89	US Treasury Note 1.375% Due 01/31/2021	01/28/2020 01/29/2020 10,000,000.00	9,997,345.24 0.00 0.00 9,998,694.38	45,957.88 0.00 57,540.76 11,582.88	1,349.14 0.00 1,349.14 12,932.02	12,932.02
912828Q37	US Treasury Note 1.25% Due 03/31/2021	01/22/2020 01/23/2020 10,000,000.00	9,989,823.90 0.00 0.00 9,992,452.73	21,291.21 0.00 31,936.81 10,645.60	2,628.83 0.00 2,628.83 13,274.43	13,274.43
912828T34	US Treasury Note 1.125% Due 09/30/2021	11/26/2019 11/27/2019 7,000,000.00	6,971,562.09 0.00 0.00 6,974,471.58	13,413.46 0.00 20,120.19 6,706.73	2,909.49 0.00 2,909.49 9,616.22	9,616.22
912828T91	US Treasury Note 1.625% Due 10/31/2023	05/29/2019 05/30/2019 10,000,000.00	9,881,360.30 0.00 0.00 9,884,816.91	13,915.75 0.00 27,831.49 13,915.74	3,456.61 0.00 3,456.61 17,372.35	17,372.35
912828V23	US Treasury Note 2.25% Due 12/31/2023	06/21/2019 06/24/2019 10,000,000.00	10,133,885.48 0.00 0.00 10,130,196.19	94,157.61 112,500.00 621.55 18,963.94	0.00 3,689.29 (3,689.29) 15,274.65	15,274.65
912828X70	US Treasury Note 2% Due 04/30/2024	Various Various 11,000,000.00	11,033,004.66 0.00 0.00 11,032,183.52	18,839.78 0.00 37,679.55 18,839.77	0.00 821.14 (821.14) 18,018.63	18,018.63
912828XG0	US Treasury Note 2.125% Due 06/30/2022	09/11/2019 09/12/2019 10,000,000.00	10,079,476.52 0.00 0.00 10,075,199.14	88,926.63 106,250.00 587.02 17,910.39	0.00 4,277.38 (4,277.38) 13,633.01	13,633.01

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CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
912828XX3	US Treasury Note 2% Due 06/30/2024	Various Various 11,000,000.00	11,097,963.03 0.00 0.00 11,095,639.50	92,065.22 110,000.00 607.73 18,542.51	0.00 2,323.53 (2,323.53) 16,218.98	16,218.98
912828ZC7	US Treasury Note 1.125% Due 02/28/2025	03/18/2020 03/19/2020 11,250,000.00	11,398,896.05 0.00 0.00 11,395,918.13	32,165.06 0.00 43,003.28 10,838.22	0.00 2,977.92 (2,977.92) 7,860.30	7,860.30
92348AAA3	Verizon Owner Trust 2019-C A1A 1.94% Due 04/22/2024	10/01/2019 10/08/2019 3,055,000.00	3,054,824.13 0.00 0.00 3,054,828.53	1,810.94 4,938.92 1,810.94 4,938.92	4.40 0.00 4.40 4,943.32	4,943.32
92826CAB8	Visa Inc Callable Note Cont 11/14/2020 Due 12/14/2020	03/28/2018 03/28/2018 0.00	3,999,283.43 0.00 4,000,000.00 0.00	40,822.22 44,000.00 0.00 3,177.78	716.57 0.00 716.57 3,894.35	3,894.35
931142EK5	Wal-Mart Stores Callable Note Cont 5/26/2023 3.4% Due 06/26/2023	Various Various 6,575,000.00	6,642,770.43 0.00 0.00 6,640,451.57	96,250.69 111,775.00 3,104.86 18,629.17	0.00 2,318.86 (2,318.86) 16,310.31	16,310.31
			604,879,439.32	3,299,592.54	74,576.30	
			19,858,959.10	1,306,275.24	114,651.06	
			16,408,915.19	3,110,810.83	(40,074.76)	
Total Fixed Income		605,809,618.62	608,289,408.47	1,117,493.53	1,077,418.77	1,077,418.77

CASH & EQUIVALENT

55380THN3	MUFG Bank Yankee CD 0.22% Due 01/26/2021	12/23/2020 12/23/2020 4,000,000.00	0.00 4,000,037.19 0.00 4,000,027.35	0.00 (2,908.89) 3,128.89 220.00	0.00 9.84 (9.84) 210.16	210.16
60934N807	Federated Investors Govt Oblig Fund Inst.	Various Various 1,386,633.20	3,559,560.62 18,509,643.57 20,682,570.99 1,386,633.20	0.00 33.37 0.00 33.37	0.00 0.00 0.00 33.37	33.37

Income Earned

As of December 31, 2020



CUSIP	Security Description	Trade Date Settle Date Units	Book Value: Begin Book Value: Acq Book Value: Disp Book Value: End	Prior Accrued Inc. Received Ending Accrued Total Interest	Accr. Of Discount Amort. Of Premium Net Accret/Amort Income Earned	Total Income
89114NYD1	Toronto Dominion Bank NY Yankee CD 0.25% Due 03/23/2021	12/23/2020 12/23/2020 6,000,000.00	0.00 5,999,999.97 0.00 5,999,999.97	0.00 (41.67) 416.67 375.00	0.00 0.00 0.00 375.00	375.00
			3,559,560.62 28,509,680.73 20,682,570.99 11,386,660.52	0.00 (2,917.19) 3,545.56 628.37	0.00 9.84 (9.84) 618.53	618.53
Total Cash & Equivalent		11,386,633.20				
LOCAL AGENCY INVESTMENT FUND						
90LAIF\$00	Local Agency Investment Fund State Pool	01/15/2019 01/15/2019 72,158,835.11	66,158,835.11 6,000,000.00 0.00 72,158,835.11	64,188.23 0.00 97,310.27 33,122.04	0.00 0.00 0.00 33,122.04	33,122.04
			66,158,835.11 6,000,000.00 0.00 72,158,835.11	64,188.23 0.00 97,310.27 33,122.04	0.00 0.00 0.00 33,122.04	33,122.04
Total Local Agency Investment Fund		72,158,835.11				
			674,597,835.05 54,368,639.83 37,091,486.18 691,834,904.10	3,363,780.77 1,303,358.05 3,211,666.66 1,151,243.94	74,576.30 114,660.90 (40,084.60) 1,111,159.34	1,111,159.34
TOTAL PORTFOLIO		689,355,086.93				

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
01/02/2021	Interest	3135G0V75	8,250,000.00	FNMA Note 1.75% Due 7/2/2024	0.00	72,187.50	72,187.50
01/05/2021	Interest	3135G0S38	2,150,000.00	FNMA Note 2% Due 1/5/2022	0.00	21,500.00	21,500.00
01/07/2021	Interest	3135G0X24	13,785,000.00	FNMA Note 1.625% Due 1/7/2025	0.00	112,003.13	112,003.13
01/11/2021	Interest	89236TDP7	6,750,000.00	Toyota Motor Credit Corp Note 2.6% Due 1/11/2022	0.00	87,750.00	87,750.00
01/14/2021	Interest	02665WCJ8	5,070,000.00	American Honda Finance Note 3.45% Due 7/14/2023	0.00	87,457.50	87,457.50
01/15/2021	Dividend	90LAIF\$00	6,142,001,590.92	Local Agency Investment Fund State Pool	0.00	97,193.34	97,193.34
01/15/2021	Interest	48128BAB7	7,750,000.00	JP Morgan Chase & Co Callable Note 1X 1/15/2022 2.972% Due 1/15/2023	0.00	115,165.00	115,165.00
01/15/2021	Paydown	47788EAC2	2,512,011.71	John Deere Owner Trust 2018-B A3 3.08% Due 11/15/2022	103,523.12	6,447.49	109,970.61
01/15/2021	Paydown	47789KAC7	3,650,000.00	John Deere Owner Trust 2020-A A3 1.1% Due 8/15/2024	78,150.86	3,345.83	81,496.69
01/15/2021	Paydown	44891RAC4	3,350,000.00	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	0.00	1,060.83	1,060.83
01/15/2021	Paydown	65479JAD5	4,780,000.00	Nissan Auto Receivables Owner 2019-C A3 1.93% Due 7/15/2024	105,996.91	7,687.83	113,684.74
01/15/2021	Paydown	89236XAC0	2,635,000.00	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	57,635.97	768.54	58,404.51
01/15/2021	Paydown	58769EAC2	2,005,000.00	Mercedes-Benz Auto Lease Trust 2020-B A3 0.4% Due 11/15/2023	0.00	668.33	668.33
01/15/2021	Paydown	65479GAD1	3,552,696.94	Nissan Auto Receivables Trust 2018-B A3 3.06% Due 3/15/2023	349,474.54	9,059.38	358,533.92
01/15/2021	Paydown	43815NAC8	6,455,000.00	Honda Auto Receivables Trust 2019-3 A3 1.78% Due 8/15/2023	426,382.57	9,574.92	435,957.49
01/15/2021	Paydown	477870AC3	2,305,000.00	John Deere Owner Trust 2019-B A3 2.21% Due 12/15/2023	61,723.39	4,245.04	65,968.43
01/15/2021	Paydown	89238TAD5	3,182,247.57	Toyota Auto Receivables Trust 2018-B A3 2.96% Due 9/15/2022	144,340.84	7,849.55	152,190.39

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
01/16/2021	Interest	78013XZU5	3,054,000.00	Royal Bank of Canada Note 2.55% Due 7/16/2024	0.00	38,938.50	38,938.50
01/17/2021	Interest	3133EH7F4	3,000,000.00	FFCB Note 2.35% Due 1/17/2023	0.00	35,250.00	35,250.00
01/18/2021	Paydown	43813KAC6	3,350,000.00	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	0.00	1,032.92	1,032.92
01/19/2021	Interest	89114QC48	5,000,000.00	Toronto Dominion Bank Note 3.5% Due 7/19/2023	0.00	87,500.00	87,500.00
01/19/2021	Maturity	78012KKU0	6,567,000.00	Royal Bank of Canada Note 2.5% Due 1/19/2021	6,567,000.00	82,087.50	6,649,087.50
01/20/2021	Paydown	92348AAA3	3,055,000.00	Verizon Owner Trust 2019-C A1A 1.94% Due 4/22/2024	71,211.89	4,938.92	76,150.81
01/21/2021	Interest	90331HPL1	9,485,000.00	US Bank NA Callable Note Cont 12/21/2024 2.05% Due 1/21/2025	0.00	97,221.25	97,221.25
01/21/2021	Paydown	43815HAC1	3,714,179.05	Honda Auto Receivables Trust 2018-3 A3 2.95% Due 8/22/2022	773,004.31	9,130.69	782,135.00
01/22/2021	Paydown	3137B5JM6	0.00	FHLMC K034 A2 3.531% Due 7/25/2023	0.00	7,356.25	7,356.25
01/22/2021	Paydown	3137BM6P6	0.00	FHLMC K721 A2 3.09% Due 8/25/2022	0.00	26,393.75	26,393.75
01/22/2021	Paydown	3137BYPQ7	0.00	FHLMC K726 A2 2.905% Due 4/25/2024	8,389.57	20,165.24	28,554.81
01/25/2021	Interest	3137B7MZ9	9,650,000.00	FHLMC K036 A2 3.527% Due 10/25/2023	0.00	28,362.96	28,362.96
01/25/2021	Interest	3137BYPQ7	8,321,483.35	FHLMC K726 A2 2.905% Due 4/25/2024	0.00	20,144.92	20,144.92
01/25/2021	Interest	808513AT2	5,580,000.00	Charles Schwab Corp Callable Note Cont 12/25/2022 2.65% Due 1/25/2023	0.00	73,935.00	73,935.00
01/25/2021	Interest	3137BM6P6	10,250,000.00	FHLMC K721 A2 3.09% Due 8/25/2022	0.00	26,393.75	26,393.75
01/25/2021	Interest	3137B5JM6	2,500,000.00	FHLMC K034 A2 3.531% Due 7/25/2023	0.00	7,356.25	7,356.25
01/25/2021	Maturity	45950KCM0	4,000,000.00	International Finance Corp Note 2.25% Due 1/25/2021	4,000,000.00	45,000.00	4,045,000.00

Cash Flow Report

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
01/25/2021	Paydown	3137B4WB8	6,545,000.00	FHLMC K033 A2 3.06% Due 7/25/2023	0.00	16,689.75	16,689.75
01/26/2021	Maturity	55380THN3	4,000,000.00	MUFG Bank Yankee CD 0.22% Due 1/26/2021	4,000,000.00	3,740.00	4,003,740.00
01/28/2021	Interest	69353RFE3	5,640,000.00	PNC Bank Callable Note Cont 6/28/2022 2.45% Due 7/28/2022	0.00	69,090.00	69,090.00
01/31/2021	Interest	9128283V0	11,000,000.00	US Treasury Note 2.5% Due 1/31/2025	0.00	137,500.00	137,500.00
01/31/2021	Maturity	912828N89	10,000,000.00	US Treasury Note 1.375% Due 1/31/2021	10,000,000.00	68,750.00	10,068,750.00
JAN 2021					26,746,833.97	1,550,941.86	28,297,775.83
02/08/2021	Interest	594918BP8	2,000,000.00	Microsoft Callable Note Cont 7/8/2021 1.55% Due 8/8/2021	0.00	15,500.00	15,500.00
02/09/2021	Interest	69371RP59	4,095,000.00	Paccar Financial Corp Note 3.4% Due 8/9/2023	0.00	69,615.00	69,615.00
02/11/2021	Interest	06406RAJ6	2,750,000.00	Bank of NY Mellon Corp Note 3.45% Due 8/11/2023	0.00	47,437.50	47,437.50
02/12/2021	Interest	3137EAEPO	15,235,000.00	FHLMC Note 1.5% Due 2/12/2025	0.00	114,262.50	114,262.50
02/15/2021	Interest	69371RQ25	2,465,000.00	Paccar Financial Corp Note 2.15% Due 8/15/2024	0.00	26,498.75	26,498.75
02/15/2021	Interest	912828B66	11,000,000.00	US Treasury Note 2.75% Due 2/15/2024	0.00	151,250.00	151,250.00
02/15/2021	Interest	912828D56	10,750,000.00	US Treasury Note 2.375% Due 8/15/2024	0.00	127,656.25	127,656.25
02/15/2021	Paydown	477870AC3	2,305,000.00	John Deere Owner Trust 2019-B A3 2.21% Due 12/15/2023	61,851.98	4,131.37	65,983.35
02/15/2021	Paydown	47789KAC7	3,650,000.00	John Deere Owner Trust 2020-A A3 1.1% Due 8/15/2024	78,365.76	3,274.20	81,639.96
02/15/2021	Paydown	89238TAD5	3,182,247.57	Toyota Auto Receivables Trust 2018-B A3 2.96% Due 9/15/2022	145,038.48	7,493.51	152,531.99
02/15/2021	Paydown	43815NAC8	6,455,000.00	Honda Auto Receivables Trust 2019-3 A3 1.78% Due 8/15/2023	414,664.17	8,942.44	423,606.61

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
02/15/2021	Paydown	47788EAC2	2,512,011.71	John Deere Owner Trust 2018-B A3 3.08% Due 11/15/2022	104,023.48	6,181.79	110,205.27
02/15/2021	Paydown	44891RAC4	3,350,000.00	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	0.00	1,060.83	1,060.83
02/15/2021	Paydown	58769EAC2	2,005,000.00	Mercedes-Benz Auto Lease Trust 2020-B A3 0.4% Due 11/15/2023	0.00	668.33	668.33
02/15/2021	Paydown	65479JAD5	4,780,000.00	Nissan Auto Receivables Owner 2019-C A3 1.93% Due 7/15/2024	106,235.40	7,517.35	113,752.75
02/15/2021	Paydown	89236XAC0	2,635,000.00	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	57,501.39	751.73	58,253.12
02/15/2021	Paydown	65479GAD1	3,552,696.94	Nissan Auto Receivables Trust 2018-B A3 3.06% Due 3/15/2023	335,183.51	8,168.22	343,351.73
02/18/2021	Paydown	43813KAC6	3,350,000.00	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	0.00	1,032.92	1,032.92
02/20/2021	Paydown	92348AAA3	3,055,000.00	Verizon Owner Trust 2019-C A1A 1.94% Due 4/22/2024	71,372.12	4,823.79	76,195.91
02/21/2021	Paydown	43815HAC1	3,714,179.05	Honda Auto Receivables Trust 2018-3 A3 2.95% Due 8/22/2022	714,774.01	7,230.39	722,004.40
02/25/2021	Interest	3135G05X7	13,000,000.00	FNMA Note 0.375% Due 8/25/2025	0.00	24,104.17	24,104.17
02/25/2021	Interest	3137B5JM6	2,500,000.00	FHLMC K034 A2 3.531% Due 7/25/2023	0.00	7,356.25	7,356.25
02/25/2021	Interest	3137B7MZ9	9,650,000.00	FHLMC K036 A2 3.527% Due 10/25/2023	0.00	28,362.96	28,362.96
02/25/2021	Interest	3137BM6P6	10,250,000.00	FHLMC K721 A2 3.09% Due 8/25/2022	0.00	26,393.75	26,393.75
02/25/2021	Interest	3137BYPQ7	8,321,483.35	FHLMC K726 A2 2.905% Due 4/25/2024	0.00	20,144.92	20,144.92
02/25/2021	Paydown	3137B4WB8	6,545,000.00	FHLMC K033 A2 3.06% Due 7/25/2023	0.00	16,689.75	16,689.75
02/28/2021	Interest	912828ZC7	11,250,000.00	US Treasury Note 1.125% Due 2/28/2025	0.00	63,281.25	63,281.25
FEB 2021					2,089,010.30	799,829.92	2,888,840.22

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
03/05/2021	Interest	06051GHF9	8,310,000.00	Bank of America Corp Callable Note 1X 3/5/2023 3.55% Due 3/5/2024	0.00	147,502.50	147,502.50
03/06/2021	Interest	24422ETG4	6,268,000.00	John Deere Capital Corp Note 2.8% Due 3/6/2023	0.00	87,752.00	87,752.00
03/08/2021	Interest	3130AB3H7	3,000,000.00	FHLB Note 2.375% Due 3/8/2024	0.00	35,625.00	35,625.00
03/08/2021	Interest	313383YJ4	7,000,000.00	FHLB Note 3.375% Due 9/8/2023	0.00	118,125.00	118,125.00
03/08/2021	Interest	3130A0XE5	8,000,000.00	FHLB Note 3.25% Due 3/8/2024	0.00	130,000.00	130,000.00
03/09/2021	Interest	313380GJ0	10,000,000.00	FHLB Note 2% Due 9/9/2022	0.00	100,000.00	100,000.00
03/10/2021	Interest	3130ADRG9	7,000,000.00	FHLB Note 2.75% Due 3/10/2023	0.00	96,250.00	96,250.00
03/10/2021	Interest	313383ZU8	5,000,000.00	FHLB Note 3% Due 9/10/2021	0.00	75,000.00	75,000.00
03/11/2021	Interest	89114QCB2	1,500,000.00	Toronto Dominion Bank Note 3.25% Due 3/11/2024	0.00	24,375.00	24,375.00
03/12/2021	Interest	3135G0U43	8,205,000.00	FNMA Note 2.875% Due 9/12/2023	0.00	117,946.88	117,946.88
03/12/2021	Maturity	3130A0XD7	7,450,000.00	FHLB Note 2.375% Due 3/12/2021	7,450,000.00	88,468.75	7,538,468.75
03/13/2021	Interest	3130A2UW4	9,350,000.00	FHLB Note 2.875% Due 9/13/2024	0.00	134,406.25	134,406.25
03/13/2021	Interest	3130AAUF3	5,430,000.00	FHLB Note 2.75% Due 3/13/2026	0.00	74,662.50	74,662.50
03/14/2021	Interest	4581X0CZ9	5,000,000.00	Inter-American Dev Bank Note 1.75% Due 9/14/2022	0.00	43,750.00	43,750.00
03/15/2021	Interest	084670BR8	4,232,000.00	Berkshire Hathaway Callable Note Cont 1/15/2023 2.75% Due 3/15/2023	0.00	58,190.00	58,190.00
03/15/2021	Interest	68389XBK0	7,000,000.00	Oracle Corp Callable Note Cont 8/15/2021 1.9% Due 9/15/2021	0.00	66,500.00	66,500.00
03/15/2021	Paydown	58769EAC2	2,005,000.00	Mercedes-Benz Auto Lease Trust 2020-B A3 0.4% Due 11/15/2023	0.00	668.33	668.33

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
03/15/2021	Paydown	43815NAC8	6,455,000.00	Honda Auto Receivables Trust 2019-3 A3 1.78% Due 8/15/2023	402,917.32	8,327.37	411,244.69
03/15/2021	Paydown	477870AC3	2,305,000.00	John Deere Owner Trust 2019-B A3 2.21% Due 12/15/2023	61,980.83	4,017.46	65,998.29
03/15/2021	Paydown	89236XAC0	2,635,000.00	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	57,365.27	734.96	58,100.23
03/15/2021	Paydown	47788EAC2	2,512,011.71	John Deere Owner Trust 2018-B A3 3.08% Due 11/15/2022	104,526.26	5,914.80	110,441.06
03/15/2021	Paydown	47789KAC7	3,650,000.00	John Deere Owner Trust 2020-A A3 1.1% Due 8/15/2024	78,581.27	3,202.36	81,783.63
03/15/2021	Paydown	65479GAD1	3,552,696.94	Nissan Auto Receivables Trust 2018-B A3 3.06% Due 3/15/2023	320,786.02	7,313.50	328,099.52
03/15/2021	Paydown	44891RAC4	3,350,000.00	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	0.00	1,060.83	1,060.83
03/15/2021	Paydown	65479JAD5	4,780,000.00	Nissan Auto Receivables Owner 2019-C A3 1.93% Due 7/15/2024	106,474.43	7,346.49	113,820.92
03/15/2021	Paydown	89238TAD5	3,182,247.57	Toyota Auto Receivables Trust 2018-B A3 2.96% Due 9/15/2022	145,739.52	7,135.74	152,875.26
03/18/2021	Paydown	43813KAC6	3,350,000.00	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	0.00	1,032.92	1,032.92
03/20/2021	Paydown	92348AAA3	3,055,000.00	Verizon Owner Trust 2019-C A1A 1.94% Due 4/22/2024	71,532.70	4,708.41	76,241.11
03/21/2021	Paydown	43815HAC1	3,714,179.05	Honda Auto Receivables Trust 2018-3 A3 2.95% Due 8/22/2022	656,328.46	5,473.24	661,801.70
03/23/2021	Interest	3137EAEX3	13,000,000.00	FHLMC Note 0.375% Due 9/23/2025	0.00	24,104.17	24,104.17
03/23/2021	Maturity	89114NYD1	6,000,000.00	Toronto Dominion Bank NY Yankee CD 0.25% Due 3/23/2021	6,000,000.00	3,791.67	6,003,791.67
03/25/2021	Interest	3137BYPQ7	8,321,483.35	FHLMC K726 A2 2.905% Due 4/25/2024	0.00	20,144.92	20,144.92
03/25/2021	Interest	3137BM6P6	10,250,000.00	FHLMC K721 A2 3.09% Due 8/25/2022	0.00	26,393.75	26,393.75
03/25/2021	Interest	3137B7MZ9	9,650,000.00	FHLMC K036 A2 3.527% Due 10/25/2023	0.00	28,362.96	28,362.96

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
03/25/2021	Interest	3137B5JM6	2,500,000.00	FHLMC K034 A2 3.531% Due 7/25/2023	0.00	7,356.25	7,356.25
03/25/2021	Paydown	3137B4WB8	6,545,000.00	FHLMC K033 A2 3.06% Due 7/25/2023	0.00	16,689.75	16,689.75
03/31/2021	Interest	912828L57	8,000,000.00	US Treasury Note 1.75% Due 9/30/2022	0.00	70,000.00	70,000.00
03/31/2021	Interest	912828T34	7,000,000.00	US Treasury Note 1.125% Due 9/30/2021	0.00	39,375.00	39,375.00
03/31/2021	Maturity	912828Q37	10,000,000.00	US Treasury Note 1.25% Due 3/31/2021	10,000,000.00	62,500.00	10,062,500.00
MAR 2021					25,456,232.08	1,754,208.76	27,210,440.84
04/01/2021	Interest	13063DRK6	4,475,000.00	California State Taxable GO 2.4% Due 10/1/2024	0.00	53,700.00	53,700.00
04/05/2021	Interest	3135G0T45	6,000,000.00	FNMA Note 1.875% Due 4/5/2022	0.00	56,250.00	56,250.00
04/08/2021	Interest	3135G0Y55	6,700,000.00	FNMA Note 0.75% Due 10/8/2027	0.00	24,985.41	24,985.41
04/10/2021	Interest	02665WCQ2	1,000,000.00	American Honda Finance Note 3.625% Due 10/10/2023	0.00	18,125.00	18,125.00
04/12/2021	Interest	3130AF5B9	8,000,000.00	FHLB Note 3% Due 10/12/2021	0.00	120,000.00	120,000.00
04/15/2021	Interest	3135G0W66	7,590,000.00	FNMA Note 1.625% Due 10/15/2024	0.00	61,668.75	61,668.75
04/15/2021	Paydown	47789KAC7	3,650,000.00	John Deere Owner Trust 2020-A A3 1.1% Due 8/15/2024	78,797.37	3,130.33	81,927.70
04/15/2021	Paydown	65479JAD5	4,780,000.00	Nissan Auto Receivables Owner 2019-C A3 1.93% Due 7/15/2024	106,713.99	7,175.25	113,889.24
04/15/2021	Paydown	89238TAD5	3,182,247.57	Toyota Auto Receivables Trust 2018-B A3 2.96% Due 9/15/2022	146,443.92	6,776.25	153,220.17
04/15/2021	Paydown	44891RAC4	3,350,000.00	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	0.00	1,060.83	1,060.83
04/15/2021	Paydown	47788EAC2	2,512,011.71	John Deere Owner Trust 2018-B A3 3.08% Due 11/15/2022	105,031.47	5,646.51	110,677.98

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04/15/2021	Paydown	65479GAD1	3,552,696.94	Nissan Auto Receivables Trust 2018-B A3 3.06% Due 3/15/2023	306,281.35	6,495.50	312,776.85
04/15/2021	Paydown	43815NAC8	6,455,000.00	Honda Auto Receivables Trust 2019-3 A3 1.78% Due 8/15/2023	391,142.01	7,729.70	398,871.71
04/15/2021	Paydown	477870AC3	2,305,000.00	John Deere Owner Trust 2019-B A3 2.21% Due 12/15/2023	62,109.96	3,903.31	66,013.27
04/15/2021	Paydown	58769EAC2	2,005,000.00	Mercedes-Benz Auto Lease Trust 2020-B A3 0.4% Due 11/15/2023	0.00	668.33	668.33
04/15/2021	Paydown	89236XAC0	2,635,000.00	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	57,227.60	718.23	57,945.83
04/18/2021	Paydown	43813KAC6	3,350,000.00	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	0.00	1,032.92	1,032.92
04/20/2021	Paydown	92348AAA3	3,055,000.00	Verizon Owner Trust 2019-C A1A 1.94% Due 4/22/2024	71,693.65	4,592.76	76,286.41
04/21/2021	Paydown	43815HAC1	3,714,179.05	Honda Auto Receivables Trust 2018-3 A3 2.95% Due 8/22/2022	597,666.97	3,859.76	601,526.73
04/25/2021	Interest	313787MZ9	9,650,000.00	FHLMC K036 A2 3.527% Due 10/25/2023	0.00	28,362.96	28,362.96
04/25/2021	Interest	313785JM6	2,500,000.00	FHLMC K034 A2 3.531% Due 7/25/2023	0.00	7,356.25	7,356.25
04/25/2021	Interest	31378M6P6	10,250,000.00	FHLMC K721 A2 3.09% Due 8/25/2022	0.00	26,393.75	26,393.75
04/25/2021	Interest	31378YPQ7	8,321,483.35	FHLMC K726 A2 2.905% Due 4/25/2024	0.00	20,144.92	20,144.92
04/25/2021	Paydown	313784WB8	6,545,000.00	FHLMC K033 A2 3.06% Due 7/25/2023	0.00	16,689.75	16,689.75
04/28/2021	Interest	06406RAG2	4,280,000.00	Bank of NY Mellon Corp Note 3.5% Due 4/28/2023	0.00	74,900.00	74,900.00
04/28/2021	Interest	459058JL8	5,915,000.00	Intl. Bank Recon & Development Note 0.5% Due 10/28/2025	0.00	14,787.50	14,787.50
04/30/2021	Interest	912828T91	10,000,000.00	US Treasury Note 1.625% Due 10/31/2023	0.00	81,250.00	81,250.00
04/30/2021	Interest	912828X70	11,000,000.00	US Treasury Note 2% Due 4/30/2024	0.00	110,000.00	110,000.00

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
04/30/2021	Interest	9128283D0	11,000,000.00	US Treasury Note 2.25% Due 10/31/2024	0.00	123,750.00	123,750.00
APR 2021					1,923,108.29	891,153.97	2,814,262.26
05/06/2021	Maturity	037833AR1	3,000,000.00	Apple Inc Note 2.85% Due 5/6/2021	3,000,000.00	42,750.00	3,042,750.00
05/07/2021	Interest	3135G06G3	12,900,000.00	FNMA Note 0.5% Due 11/7/2025	0.00	31,354.16	31,354.16
05/08/2021	Interest	14913Q3B3	8,820,000.00	Caterpillar Finl Service Note 2.15% Due 11/8/2024	0.00	94,815.00	94,815.00
05/11/2021	Maturity	369550BE7	5,100,000.00	General Dynamics Corp Note 3% Due 5/11/2021	5,100,000.00	76,500.00	5,176,500.00
05/15/2021	Paydown	43815NAC8	6,455,000.00	Honda Auto Receivables Trust 2019-3 A3 1.78% Due 8/15/2023	379,338.15	7,149.50	386,487.65
05/15/2021	Paydown	477870AC3	2,305,000.00	John Deere Owner Trust 2019-B A3 2.21% Due 12/15/2023	62,239.36	3,788.92	66,028.28
05/15/2021	Paydown	89238TAD5	3,182,247.57	Toyota Auto Receivables Trust 2018-B A3 2.96% Due 9/15/2022	147,151.73	6,415.02	153,566.75
05/15/2021	Paydown	58769EAC2	2,005,000.00	Mercedes-Benz Auto Lease Trust 2020-B A3 0.4% Due 11/15/2023	0.00	668.33	668.33
05/15/2021	Paydown	65479GAD1	3,552,696.94	Nissan Auto Receivables Trust 2018-B A3 3.06% Due 3/15/2023	291,668.79	5,714.48	297,383.27
05/15/2021	Paydown	47788EAC2	2,512,011.71	John Deere Owner Trust 2018-B A3 3.08% Due 11/15/2022	105,539.12	5,376.93	110,916.05
05/15/2021	Paydown	47789KAC7	3,650,000.00	John Deere Owner Trust 2020-A A3 1.1% Due 8/15/2024	79,014.06	3,058.10	82,072.16
05/15/2021	Paydown	44891RAC4	3,350,000.00	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	0.00	1,060.83	1,060.83
05/15/2021	Paydown	65479JAD5	4,780,000.00	Nissan Auto Receivables Owner 2019-C A3 1.93% Due 7/15/2024	106,954.09	7,003.62	113,957.71
05/15/2021	Paydown	89236XAC0	2,635,000.00	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	57,088.38	701.54	57,789.92
05/18/2021	Interest	404280BS7	1,955,000.00	HSBC Holdings PLC Callable Note 1X 5/18/2023 3.95% Due 5/18/2024	0.00	38,611.25	38,611.25

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
05/18/2021	Paydown	43813KAC6	3,350,000.00	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	0.00	1,032.92	1,032.92
05/19/2021	Maturity	857477AV5	4,916,000.00	State Street Bank Note 1.95% Due 5/19/2021	4,916,000.00	47,931.00	4,963,931.00
05/20/2021	Paydown	92348AAA3	3,055,000.00	Verizon Owner Trust 2019-C A1A 1.94% Due 4/22/2024	71,854.96	4,476.86	76,331.82
05/21/2021	Paydown	43815HAC1	3,714,179.05	Honda Auto Receivables Trust 2018-3 A3 2.95% Due 8/22/2022	538,788.82	2,390.49	541,179.31
05/25/2021	Interest	3137B5JM6	2,500,000.00	FHLMC K034 A2 3.531% Due 7/25/2023	0.00	7,356.25	7,356.25
05/25/2021	Interest	404280BA6	7,000,000.00	HSBC Holdings PLC Note 3.6% Due 5/25/2023	0.00	126,000.00	126,000.00
05/25/2021	Interest	3137B7MZ9	9,650,000.00	FHLMC K036 A2 3.527% Due 10/25/2023	0.00	28,362.96	28,362.96
05/25/2021	Interest	3137BYPQ7	8,321,483.35	FHLMC K726 A2 2.905% Due 4/25/2024	0.00	20,144.92	20,144.92
05/25/2021	Interest	3137BM6P6	10,250,000.00	FHLMC K721 A2 3.09% Due 8/25/2022	0.00	26,393.75	26,393.75
05/25/2021	Paydown	3137B4WB8	6,545,000.00	FHLMC K033 A2 3.06% Due 7/25/2023	0.00	16,689.75	16,689.75
MAY 2021					14,855,637.46	605,746.58	15,461,384.04
06/04/2021	Interest	3137EAFA2	9,225,000.00	FHLMC Note 0.25% Due 12/4/2023	0.00	11,531.25	11,531.25
06/08/2021	Interest	3130A0F70	7,000,000.00	FHLB Note 3.375% Due 12/8/2023	0.00	118,125.00	118,125.00
06/12/2021	Interest	3130AGFP5	5,000,000.00	FHLB Note 2.5% Due 6/12/2026	0.00	62,500.00	62,500.00
06/14/2021	Interest	3130A1XJ2	11,175,000.00	FHLB Note 2.875% Due 6/14/2024	0.00	160,640.63	160,640.63
06/15/2021	Paydown	477870AC3	2,305,000.00	John Deere Owner Trust 2019-B A3 2.21% Due 12/15/2023	62,369.02	3,674.30	66,043.32
06/15/2021	Paydown	89238TAD5	3,182,247.57	Toyota Auto Receivables Trust 2018-B A3 2.96% Due 9/15/2022	147,862.96	6,052.05	153,915.01

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06/15/2021	Paydown	44891RAC4	3,350,000.00	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	0.00	1,060.83	1,060.83
06/15/2021	Paydown	65479GAD1	3,552,696.94	Nissan Auto Receivables Trust 2018-B A3 3.06% Due 3/15/2023	276,947.66	4,970.72	281,918.38
06/15/2021	Paydown	58769EAC2	2,005,000.00	Mercedes-Benz Auto Lease Trust 2020-B A3 0.4% Due 11/15/2023	0.00	668.33	668.33
06/15/2021	Paydown	65479JAD5	4,780,000.00	Nissan Auto Receivables Owner 2019-C A3 1.93% Due 7/15/2024	107,194.74	6,831.60	114,026.34
06/15/2021	Paydown	43815NAC8	6,455,000.00	Honda Auto Receivables Trust 2019-3 A3 1.78% Due 8/15/2023	367,505.68	6,586.82	374,092.50
06/15/2021	Paydown	47788EAC2	2,512,011.71	John Deere Owner Trust 2018-B A3 3.08% Due 11/15/2022	106,049.23	5,106.04	111,155.27
06/15/2021	Paydown	47789KAC7	3,650,000.00	John Deere Owner Trust 2020-A A3 1.1% Due 8/15/2024	79,231.35	2,985.67	82,217.02
06/15/2021	Paydown	89236XAC0	2,635,000.00	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	56,947.59	684.89	57,632.48
06/18/2021	Paydown	43813KAC6	3,350,000.00	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	0.00	1,032.92	1,032.92
06/19/2021	Interest	3137EAEN5	6,000,000.00	FHLMC Note 2.75% Due 6/19/2023	0.00	82,500.00	82,500.00
06/20/2021	Paydown	92348AAA3	3,055,000.00	Verizon Owner Trust 2019-C A1A 1.94% Due 4/22/2024	72,016.64	4,360.69	76,377.33
06/21/2021	Paydown	43815HAC1	3,714,179.05	Honda Auto Receivables Trust 2018-3 A3 2.95% Due 8/22/2022	433,616.49	1,065.97	434,682.46
06/25/2021	Interest	3137B5JM6	2,500,000.00	FHLMC K034 A2 3.531% Due 7/25/2023	0.00	7,356.25	7,356.25
06/25/2021	Interest	3137B7MZ9	9,650,000.00	FHLMC K036 A2 3.527% Due 10/25/2023	0.00	28,362.96	28,362.96
06/25/2021	Interest	3137BM6P6	10,250,000.00	FHLMC K721 A2 3.09% Due 8/25/2022	0.00	26,393.75	26,393.75
06/25/2021	Interest	3137BYPQ7	8,321,483.35	FHLMC K726 A2 2.905% Due 4/25/2024	0.00	20,144.92	20,144.92
06/25/2021	Paydown	3137B4WB8	6,545,000.00	FHLMC K033 A2 3.06% Due 7/25/2023	0.00	16,689.75	16,689.75

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06/26/2021	Interest	931142EK5	6,575,000.00	Wal-Mart Stores Callable Note Cont 5/26/2023 3.4% Due 6/26/2023	0.00	111,775.00	111,775.00
06/30/2021	Interest	912828N30	8,000,000.00	US Treasury Note 2.125% Due 12/31/2022	0.00	85,000.00	85,000.00
06/30/2021	Interest	912828XX3	11,000,000.00	US Treasury Note 2% Due 6/30/2024	0.00	110,000.00	110,000.00
06/30/2021	Interest	912828XG0	10,000,000.00	US Treasury Note 2.125% Due 6/30/2022	0.00	106,250.00	106,250.00
06/30/2021	Interest	912828V23	10,000,000.00	US Treasury Note 2.25% Due 12/31/2023	0.00	112,500.00	112,500.00
JUN 2021					1,709,741.36	1,104,850.34	2,814,591.70
07/02/2021	Interest	3135G0V75	8,250,000.00	FNMA Note 1.75% Due 7/2/2024	0.00	72,187.50	72,187.50
07/05/2021	Interest	3135G0S38	2,150,000.00	FNMA Note 2% Due 1/5/2022	0.00	21,500.00	21,500.00
07/07/2021	Interest	3135G0X24	13,785,000.00	FNMA Note 1.625% Due 1/7/2025	0.00	112,003.13	112,003.13
07/11/2021	Interest	89236TDP7	6,750,000.00	Toyota Motor Credit Corp Note 2.6% Due 1/11/2022	0.00	87,750.00	87,750.00
07/14/2021	Interest	02665WCJ8	5,070,000.00	American Honda Finance Note 3.45% Due 7/14/2023	0.00	87,457.50	87,457.50
07/15/2021	Interest	48128BAB7	7,750,000.00	JP Morgan Chase & Co Callable Note 1X 1/15/2022 2.972% Due 1/15/2023	0.00	115,165.00	115,165.00
07/15/2021	Paydown	58769EAC2	2,005,000.00	Mercedes-Benz Auto Lease Trust 2020-B A3 0.4% Due 11/15/2023	0.00	668.33	668.33
07/15/2021	Paydown	47789KAC7	3,650,000.00	John Deere Owner Trust 2020-A A3 1.1% Due 8/15/2024	79,449.24	2,913.04	82,362.28
07/15/2021	Paydown	65479GAD1	3,552,696.94	Nissan Auto Receivables Trust 2018-B A3 3.06% Due 3/15/2023	262,117.23	4,264.51	266,381.74
07/15/2021	Paydown	89236XAC0	2,635,000.00	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	56,805.21	668.28	57,473.49
07/15/2021	Paydown	44891RAC4	3,350,000.00	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	0.00	1,060.83	1,060.83

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07/15/2021	Paydown	65479JAD5	4,780,000.00	Nissan Auto Receivables Owner 2019-C A3 1.93% Due 7/15/2024	107,435.94	6,659.19	114,095.13
07/15/2021	Paydown	89238TAD5	3,182,247.57	Toyota Auto Receivables Trust 2018-B A3 2.96% Due 9/15/2022	148,577.63	5,687.32	154,264.95
07/15/2021	Paydown	43815NAC8	6,455,000.00	Honda Auto Receivables Trust 2019-3 A3 1.78% Due 8/15/2023	355,644.55	6,041.69	361,686.24
07/15/2021	Paydown	477870AC3	2,305,000.00	John Deere Owner Trust 2019-B A3 2.21% Due 12/15/2023	62,498.96	3,559.44	66,058.40
07/15/2021	Paydown	47788EAC2	2,512,011.71	John Deere Owner Trust 2018-B A3 3.08% Due 11/15/2022	106,561.81	4,833.85	111,395.66
07/16/2021	Interest	78013XZU5	3,054,000.00	Royal Bank of Canada Note 2.55% Due 7/16/2024	0.00	38,938.50	38,938.50
07/17/2021	Interest	3133EH7F4	3,000,000.00	FFCB Note 2.35% Due 1/17/2023	0.00	35,250.00	35,250.00
07/18/2021	Paydown	43813KAC6	3,350,000.00	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	0.00	1,032.92	1,032.92
07/19/2021	Interest	89114QC48	5,000,000.00	Toronto Dominion Bank Note 3.5% Due 7/19/2023	0.00	87,500.00	87,500.00
07/20/2021	Paydown	92348AAA3	3,055,000.00	Verizon Owner Trust 2019-C A1A 1.94% Due 4/22/2024	72,178.68	4,244.26	76,422.94
07/21/2021	Interest	90331HPL1	9,485,000.00	US Bank NA Callable Note Cont 12/21/2024 2.05% Due 1/21/2025	0.00	97,221.25	97,221.25
07/25/2021	Interest	3137BYPQ7	8,321,483.35	FHLMC K726 A2 2.905% Due 4/25/2024	0.00	20,144.92	20,144.92
07/25/2021	Interest	3137BM6P6	10,250,000.00	FHLMC K721 A2 3.09% Due 8/25/2022	0.00	26,393.75	26,393.75
07/25/2021	Interest	808513AT2	5,580,000.00	Charles Schwab Corp Callable Note Cont 12/25/2022 2.65% Due 1/25/2023	0.00	73,935.00	73,935.00
07/25/2021	Interest	3137B7MZ9	9,650,000.00	FHLMC K036 A2 3.527% Due 10/25/2023	0.00	28,362.96	28,362.96
07/25/2021	Interest	3137B5JM6	2,500,000.00	FHLMC K034 A2 3.531% Due 7/25/2023	0.00	7,356.25	7,356.25
07/25/2021	Paydown	3137B4WB8	6,545,000.00	FHLMC K033 A2 3.06% Due 7/25/2023	0.00	16,689.75	16,689.75

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07/28/2021	Interest	69353RFE3	5,640,000.00	PNC Bank Callable Note Cont 6/28/2022 2.45% Due 7/28/2022	0.00	69,090.00	69,090.00
07/31/2021	Interest	9128283V0	11,000,000.00	US Treasury Note 2.5% Due 1/31/2025	0.00	137,500.00	137,500.00
JUL 2021					1,251,269.25	1,176,079.17	2,427,348.42
08/08/2021	Maturity	594918BP8	2,000,000.00	Microsoft Callable Note Cont 7/8/2021 1.55% Due 8/8/2021	2,000,000.00	15,500.00	2,015,500.00
08/09/2021	Interest	69371RP59	4,095,000.00	Paccar Financial Corp Note 3.4% Due 8/9/2023	0.00	69,615.00	69,615.00
08/11/2021	Interest	06406RAJ6	2,750,000.00	Bank of NY Mellon Corp Note 3.45% Due 8/11/2023	0.00	47,437.50	47,437.50
08/12/2021	Interest	3137EAEPO	15,235,000.00	FHLMC Note 1.5% Due 2/12/2025	0.00	114,262.50	114,262.50
08/15/2021	Interest	69371RQ25	2,465,000.00	Paccar Financial Corp Note 2.15% Due 8/15/2024	0.00	26,498.75	26,498.75
08/15/2021	Interest	912828D56	10,750,000.00	US Treasury Note 2.375% Due 8/15/2024	0.00	127,656.25	127,656.25
08/15/2021	Interest	912828B66	11,000,000.00	US Treasury Note 2.75% Due 2/15/2024	0.00	151,250.00	151,250.00
08/15/2021	Paydown	477870AC3	2,305,000.00	John Deere Owner Trust 2019-B A3 2.21% Due 12/15/2023	62,629.17	3,444.33	66,073.50
08/15/2021	Paydown	58769EAC2	2,005,000.00	Mercedes-Benz Auto Lease Trust 2020-B A3 0.4% Due 11/15/2023	0.00	668.33	668.33
08/15/2021	Paydown	43815NAC8	6,455,000.00	Honda Auto Receivables Trust 2019-3 A3 1.78% Due 8/15/2023	343,754.69	5,514.15	349,268.84
08/15/2021	Paydown	44891RAC4	3,350,000.00	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	0.00	1,060.83	1,060.83
08/15/2021	Paydown	47788EAC2	2,512,011.71	John Deere Owner Trust 2018-B A3 3.08% Due 11/15/2022	107,076.84	4,560.35	111,637.19
08/15/2021	Paydown	47789KAC7	3,650,000.00	John Deere Owner Trust 2020-A A3 1.1% Due 8/15/2024	79,667.73	2,840.21	82,507.94
08/15/2021	Paydown	65479GAD1	3,552,696.94	Nissan Auto Receivables Trust 2018-B A3 3.06% Due 3/15/2023	247,176.81	3,596.11	250,772.92

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
08/15/2021	Paydown	89236XAC0	2,635,000.00	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	56,661.25	651.71	57,312.96
08/15/2021	Paydown	65479JAD5	4,780,000.00	Nissan Auto Receivables Owner 2019-C A3 1.93% Due 7/15/2024	107,677.67	6,486.40	114,164.07
08/15/2021	Paydown	89238TAD5	3,182,247.57	Toyota Auto Receivables Trust 2018-B A3 2.96% Due 9/15/2022	149,295.76	5,320.83	154,616.59
08/18/2021	Paydown	43813KAC6	3,350,000.00	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	0.00	1,032.92	1,032.92
08/20/2021	Paydown	92348AAA3	3,055,000.00	Verizon Owner Trust 2019-C A1A 1.94% Due 4/22/2024	72,341.07	4,127.58	76,468.65
08/25/2021	Interest	3135G05X7	13,000,000.00	FNMA Note 0.375% Due 8/25/2025	0.00	24,375.00	24,375.00
08/25/2021	Interest	3137B7MZ9	9,650,000.00	FHLMC K036 A2 3.527% Due 10/25/2023	0.00	28,362.96	28,362.96
08/25/2021	Interest	3137BYPQ7	8,321,483.35	FHLMC K726 A2 2.905% Due 4/25/2024	0.00	20,144.92	20,144.92
08/25/2021	Interest	3137B5JM6	2,500,000.00	FHLMC K034 A2 3.531% Due 7/25/2023	0.00	7,356.25	7,356.25
08/25/2021	Interest	3137BM6P6	10,250,000.00	FHLMC K721 A2 3.09% Due 8/25/2022	0.00	26,393.75	26,393.75
08/25/2021	Paydown	3137B4WB8	6,545,000.00	FHLMC K033 A2 3.06% Due 7/25/2023	0.00	16,689.75	16,689.75
08/31/2021	Interest	912828ZC7	11,250,000.00	US Treasury Note 1.125% Due 2/28/2025	0.00	63,281.25	63,281.25
AUG 2021					3,226,280.99	778,127.63	4,004,408.62
09/05/2021	Interest	06051GHF9	8,310,000.00	Bank of America Corp Callable Note 1X 3/5/2023 3.55% Due 3/5/2024	0.00	147,502.50	147,502.50
09/06/2021	Interest	24422ETG4	6,268,000.00	John Deere Capital Corp Note 2.8% Due 3/6/2023	0.00	87,752.00	87,752.00
09/08/2021	Interest	3130AB3H7	3,000,000.00	FHLB Note 2.375% Due 3/8/2024	0.00	35,625.00	35,625.00
09/08/2021	Interest	313383YJ4	7,000,000.00	FHLB Note 3.375% Due 9/8/2023	0.00	118,125.00	118,125.00

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09/08/2021	Interest	3130A0XE5	8,000,000.00	FHLB Note 3.25% Due 3/8/2024	0.00	130,000.00	130,000.00
09/09/2021	Interest	313380GJ0	10,000,000.00	FHLB Note 2% Due 9/9/2022	0.00	100,000.00	100,000.00
09/10/2021	Interest	3130ADRG9	7,000,000.00	FHLB Note 2.75% Due 3/10/2023	0.00	96,250.00	96,250.00
09/10/2021	Maturity	313383ZU8	5,000,000.00	FHLB Note 3% Due 9/10/2021	5,000,000.00	75,000.00	5,075,000.00
09/11/2021	Interest	89114QCB2	1,500,000.00	Toronto Dominion Bank Note 3.25% Due 3/11/2024	0.00	24,375.00	24,375.00
09/12/2021	Interest	3135G0U43	8,205,000.00	FNMA Note 2.875% Due 9/12/2023	0.00	117,946.88	117,946.88
09/13/2021	Interest	3130AAUF3	5,430,000.00	FHLB Note 2.75% Due 3/13/2026	0.00	74,662.50	74,662.50
09/13/2021	Interest	3130A2UW4	9,350,000.00	FHLB Note 2.875% Due 9/13/2024	0.00	134,406.25	134,406.25
09/14/2021	Interest	4581X0CZ9	5,000,000.00	Inter-American Dev Bank Note 1.75% Due 9/14/2022	0.00	43,750.00	43,750.00
09/15/2021	Interest	084670BR8	4,232,000.00	Berkshire Hathaway Callable Note Cont 1/15/2023 2.75% Due 3/15/2023	0.00	58,190.00	58,190.00
09/15/2021	Maturity	68389XBK0	7,000,000.00	Oracle Corp Callable Note Cont 8/15/2021 1.9% Due 9/15/2021	7,000,000.00	66,500.00	7,066,500.00
09/15/2021	Paydown	477870AC3	2,305,000.00	John Deere Owner Trust 2019-B A3 2.21% Due 12/15/2023	62,759.64	3,328.99	66,088.63
09/15/2021	Paydown	58769EAC2	2,005,000.00	Mercedes-Benz Auto Lease Trust 2020-B A3 0.4% Due 11/15/2023	0.00	668.33	668.33
09/15/2021	Paydown	65479GAD1	3,552,696.94	Nissan Auto Receivables Trust 2018-B A3 3.06% Due 3/15/2023	232,125.68	2,965.80	235,091.48
09/15/2021	Paydown	47789KAC7	3,650,000.00	John Deere Owner Trust 2020-A A3 1.1% Due 8/15/2024	79,886.81	2,767.18	82,653.99
09/15/2021	Paydown	89236XAC0	2,635,000.00	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	56,515.68	635.18	57,150.86
09/15/2021	Paydown	43815NAC8	6,455,000.00	Honda Auto Receivables Trust 2019-3 A3 1.78% Due 8/15/2023	331,836.04	5,004.25	336,840.29

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
09/15/2021	Paydown	89238TAD5	3,182,247.57	Toyota Auto Receivables Trust 2018-B A3 2.96% Due 9/15/2022	150,017.36	4,952.56	154,969.92
09/15/2021	Paydown	44891RAC4	3,350,000.00	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	0.00	1,060.83	1,060.83
09/15/2021	Paydown	47788EAC2	2,512,011.71	John Deere Owner Trust 2018-B A3 3.08% Due 11/15/2022	107,594.38	4,285.52	111,879.90
09/15/2021	Paydown	65479JAD5	4,780,000.00	Nissan Auto Receivables Owner 2019-C A3 1.93% Due 7/15/2024	107,919.94	6,313.22	114,233.16
09/18/2021	Paydown	43813KAC6	3,350,000.00	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	0.00	1,032.92	1,032.92
09/20/2021	Paydown	92348AAA3	3,055,000.00	Verizon Owner Trust 2019-C A1A 1.94% Due 4/22/2024	72,503.85	4,010.62	76,514.47
09/23/2021	Interest	3137EAX3	13,000,000.00	FHLMC Note 0.375% Due 9/23/2025	0.00	24,375.00	24,375.00
09/25/2021	Interest	3137BM6P6	10,250,000.00	FHLMC K721 A2 3.09% Due 8/25/2022	0.00	26,393.75	26,393.75
09/25/2021	Interest	3137BYPQ7	8,321,483.35	FHLMC K726 A2 2.905% Due 4/25/2024	0.00	20,144.92	20,144.92
09/25/2021	Interest	3137B7MZ9	9,650,000.00	FHLMC K036 A2 3.527% Due 10/25/2023	0.00	28,362.96	28,362.96
09/25/2021	Interest	3137B5JM6	2,500,000.00	FHLMC K034 A2 3.531% Due 7/25/2023	0.00	7,356.25	7,356.25
09/25/2021	Paydown	3137B4WB8	6,545,000.00	FHLMC K033 A2 3.06% Due 7/25/2023	0.00	16,689.75	16,689.75
09/30/2021	Interest	912828L57	8,000,000.00	US Treasury Note 1.75% Due 9/30/2022	0.00	70,000.00	70,000.00
09/30/2021	Maturity	912828T34	7,000,000.00	US Treasury Note 1.125% Due 9/30/2021	7,000,000.00	39,375.00	7,039,375.00
SEP 2021					20,201,159.38	1,579,808.16	21,780,967.54
10/01/2021	Interest	13063DRK6	4,475,000.00	California State Taxable GO 2.4% Due 10/1/2024	0.00	53,700.00	53,700.00
10/05/2021	Interest	3135G0T45	6,000,000.00	FNMA Note 1.875% Due 4/5/2022	0.00	56,250.00	56,250.00

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
10/08/2021	Interest	3135G05Y5	6,700,000.00	FNMA Note 0.75% Due 10/8/2027	0.00	25,125.00	25,125.00
10/10/2021	Interest	02665WCQ2	1,000,000.00	American Honda Finance Note 3.625% Due 10/10/2023	0.00	18,125.00	18,125.00
10/12/2021	Maturity	3130AF5B9	8,000,000.00	FHLB Note 3% Due 10/12/2021	8,000,000.00	120,000.00	8,120,000.00
10/15/2021	Interest	3135G0W66	7,590,000.00	FNMA Note 1.625% Due 10/15/2024	0.00	61,668.75	61,668.75
10/15/2021	Paydown	477870AC3	2,305,000.00	John Deere Owner Trust 2019-B A3 2.21% Due 12/15/2023	62,890.39	3,213.41	66,103.80
10/15/2021	Paydown	89238TAD5	3,182,247.57	Toyota Auto Receivables Trust 2018-B A3 2.96% Due 9/15/2022	150,742.45	4,582.52	155,324.97
10/15/2021	Paydown	44891RAC4	3,350,000.00	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	0.00	1,060.83	1,060.83
10/15/2021	Paydown	65479GAD1	3,552,696.94	Nissan Auto Receivables Trust 2018-B A3 3.06% Due 3/15/2023	216,963.10	2,373.88	219,336.98
10/15/2021	Paydown	43815NAC8	6,455,000.00	Honda Auto Receivables Trust 2019-3 A3 1.78% Due 8/15/2023	319,888.55	4,512.02	324,400.57
10/15/2021	Paydown	47788EAC2	2,512,011.71	John Deere Owner Trust 2018-B A3 3.08% Due 11/15/2022	108,114.43	4,009.35	112,123.78
10/15/2021	Paydown	47789KAC7	3,650,000.00	John Deere Owner Trust 2020-A A3 1.1% Due 8/15/2024	80,106.50	2,693.95	82,800.45
10/15/2021	Paydown	89236XAC0	2,635,000.00	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	56,368.49	618.70	56,987.19
10/15/2021	Paydown	58769EAC2	2,005,000.00	Mercedes-Benz Auto Lease Trust 2020-B A3 0.4% Due 11/15/2023	0.00	668.33	668.33
10/15/2021	Paydown	65479JAD5	4,780,000.00	Nissan Auto Receivables Owner 2019-C A3 1.93% Due 7/15/2024	108,162.76	6,139.65	114,302.41
10/18/2021	Paydown	43813KAC6	3,350,000.00	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	0.00	1,032.92	1,032.92
10/20/2021	Paydown	92348AAA3	3,055,000.00	Verizon Owner Trust 2019-C A1A 1.94% Due 4/22/2024	72,666.98	3,893.41	76,560.39
10/25/2021	Interest	3137BM6P6	10,250,000.00	FHLMC K721 A2 3.09% Due 8/25/2022	0.00	26,393.75	26,393.75

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Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
10/25/2021	Interest	3137B7MZ9	9,650,000.00	FHLMC K036 A2 3.527% Due 10/25/2023	0.00	28,362.96	28,362.96
10/25/2021	Interest	3137BYPQ7	8,321,483.35	FHLMC K726 A2 2.905% Due 4/25/2024	0.00	20,144.92	20,144.92
10/25/2021	Interest	3137B5JM6	2,500,000.00	FHLMC K034 A2 3.531% Due 7/25/2023	0.00	7,356.25	7,356.25
10/25/2021	Paydown	3137B4WB8	6,545,000.00	FHLMC K033 A2 3.06% Due 7/25/2023	0.00	16,689.75	16,689.75
10/28/2021	Interest	06406RAG2	4,280,000.00	Bank of NY Mellon Corp Note 3.5% Due 4/28/2023	0.00	74,900.00	74,900.00
10/28/2021	Interest	459058JL8	5,915,000.00	Intl. Bank Recon & Development Note 0.5% Due 10/28/2025	0.00	14,787.50	14,787.50
10/31/2021	Interest	9128283D0	11,000,000.00	US Treasury Note 2.25% Due 10/31/2024	0.00	123,750.00	123,750.00
10/31/2021	Interest	912828T91	10,000,000.00	US Treasury Note 1.625% Due 10/31/2023	0.00	81,250.00	81,250.00
10/31/2021	Interest	912828X70	11,000,000.00	US Treasury Note 2% Due 4/30/2024	0.00	110,000.00	110,000.00
OCT 2021					9,175,903.65	873,302.85	10,049,206.50
11/07/2021	Interest	3135G06G3	12,900,000.00	FNMA Note 0.5% Due 11/7/2025	0.00	32,250.00	32,250.00
11/08/2021	Interest	14913Q3B3	8,820,000.00	Caterpillar Finl Service Note 2.15% Due 11/8/2024	0.00	94,815.00	94,815.00
11/15/2021	Paydown	58769EAC2	2,005,000.00	Mercedes-Benz Auto Lease Trust 2020-B A3 0.4% Due 11/15/2023	0.00	668.33	668.33
11/15/2021	Paydown	43815NAC8	6,455,000.00	Honda Auto Receivables Trust 2019-3 A3 1.78% Due 8/15/2023	307,912.13	4,037.53	311,949.66
11/15/2021	Paydown	47788EAC2	2,512,011.71	John Deere Owner Trust 2018-B A3 3.08% Due 11/15/2022	108,636.98	3,731.86	112,368.84
11/15/2021	Paydown	44891RAC4	3,350,000.00	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	0.00	1,060.83	1,060.83
11/15/2021	Paydown	65479JAD5	4,780,000.00	Nissan Auto Receivables Owner 2019-C A3 1.93% Due 7/15/2024	108,406.13	5,965.68	114,371.81

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11/15/2021	Paydown	89238TAD5	3,182,247.57	Toyota Auto Receivables Trust 2018-B A3 2.96% Due 9/15/2022	151,471.03	4,210.69	155,681.72
11/15/2021	Paydown	477870AC3	2,305,000.00	John Deere Owner Trust 2019-B A3 2.21% Due 12/15/2023	63,021.41	3,097.59	66,119.00
11/15/2021	Paydown	47789KAC7	3,650,000.00	John Deere Owner Trust 2020-A A3 1.1% Due 8/15/2024	80,326.79	2,620.52	82,947.31
11/15/2021	Paydown	65479GAD1	3,552,696.94	Nissan Auto Receivables Trust 2018-B A3 3.06% Due 3/15/2023	201,688.34	1,820.63	203,508.97
11/15/2021	Paydown	89236XAC0	2,635,000.00	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	56,219.67	602.26	56,821.93
11/18/2021	Interest	404280BS7	1,955,000.00	HSBC Holdings PLC Callable Note 1X 5/18/2023 3.95% Due 5/18/2024	0.00	38,611.25	38,611.25
11/18/2021	Paydown	43813KAC6	3,350,000.00	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	0.00	1,032.92	1,032.92
11/20/2021	Paydown	92348AAA3	3,055,000.00	Verizon Owner Trust 2019-C A1A 1.94% Due 4/22/2024	72,830.48	3,775.93	76,606.41
11/25/2021	Interest	313787MZ9	9,650,000.00	FHLMC K036 A2 3.527% Due 10/25/2023	0.00	28,362.96	28,362.96
11/25/2021	Interest	313785JM6	2,500,000.00	FHLMC K034 A2 3.531% Due 7/25/2023	0.00	7,356.25	7,356.25
11/25/2021	Interest	404280BA6	7,000,000.00	HSBC Holdings PLC Note 3.6% Due 5/25/2023	0.00	126,000.00	126,000.00
11/25/2021	Interest	31378M6P6	10,250,000.00	FHLMC K721 A2 3.09% Due 8/25/2022	0.00	26,393.75	26,393.75
11/25/2021	Interest	31378YPQ7	8,321,483.35	FHLMC K726 A2 2.905% Due 4/25/2024	0.00	20,144.92	20,144.92
11/25/2021	Paydown	313784WB8	6,545,000.00	FHLMC K033 A2 3.06% Due 7/25/2023	0.00	16,689.75	16,689.75
NOV 2021					1,150,512.96	423,248.65	1,573,761.61
12/04/2021	Interest	31378AFA2	9,225,000.00	FHLMC Note 0.25% Due 12/4/2023	0.00	11,531.25	11,531.25
12/08/2021	Interest	3130A0F70	7,000,000.00	FHLB Note 3.375% Due 12/8/2023	0.00	118,125.00	118,125.00

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12/12/2021	Interest	3130AGFP5	5,000,000.00	FHLB Note 2.5% Due 6/12/2026	0.00	62,500.00	62,500.00
12/14/2021	Interest	3130A1XJ2	11,175,000.00	FHLB Note 2.875% Due 6/14/2024	0.00	160,640.63	160,640.63
12/15/2021	Paydown	65479JAD5	4,780,000.00	Nissan Auto Receivables Owner 2019-C A3 1.93% Due 7/15/2024	108,650.04	5,791.33	114,441.37
12/15/2021	Paydown	89238TAD5	3,182,247.57	Toyota Auto Receivables Trust 2018-B A3 2.96% Due 9/15/2022	152,203.14	3,837.06	156,040.20
12/15/2021	Paydown	44891RAC4	3,350,000.00	Hyundai Auto Receivables Trust 2020-C A3 0.38% Due 5/15/2025	0.00	1,060.83	1,060.83
12/15/2021	Paydown	47788EAC2	2,512,011.71	John Deere Owner Trust 2018-B A3 3.08% Due 11/15/2022	109,162.07	3,453.02	112,615.09
12/15/2021	Paydown	47789KAC7	3,650,000.00	John Deere Owner Trust 2020-A A3 1.1% Due 8/15/2024	80,547.69	2,546.89	83,094.58
12/15/2021	Paydown	65479GAD1	3,552,696.94	Nissan Auto Receivables Trust 2018-B A3 3.06% Due 3/15/2023	186,300.70	1,306.33	187,607.03
12/15/2021	Paydown	89236XAC0	2,635,000.00	Toyota Auto Receivables 2020-D A3 0.35% Due 1/15/2025	56,069.22	585.86	56,655.08
12/15/2021	Paydown	43815NAC8	6,455,000.00	Honda Auto Receivables Trust 2019-3 A3 1.78% Due 8/15/2023	295,906.75	3,580.79	299,487.54
12/15/2021	Paydown	477870AC3	2,305,000.00	John Deere Owner Trust 2019-B A3 2.21% Due 12/15/2023	63,152.71	2,981.52	66,134.23
12/15/2021	Paydown	58769EAC2	2,005,000.00	Mercedes-Benz Auto Lease Trust 2020-B A3 0.4% Due 11/15/2023	0.00	668.33	668.33
12/18/2021	Paydown	43813KAC6	3,350,000.00	Honda Auto Receivables Trust 2020-3 A3 0.37% Due 10/18/2024	0.00	1,032.92	1,032.92
12/19/2021	Interest	3137EAEN5	6,000,000.00	FHLMC Note 2.75% Due 6/19/2023	0.00	82,500.00	82,500.00
12/20/2021	Paydown	92348AAA3	3,055,000.00	Verizon Owner Trust 2019-C A1A 1.94% Due 4/22/2024	72,994.35	3,658.19	76,652.54
12/25/2021	Interest	3137B7MZ9	9,650,000.00	FHLMC K036 A2 3.527% Due 10/25/2023	0.00	28,362.96	28,362.96
12/25/2021	Interest	3137B5JM6	2,500,000.00	FHLMC K034 A2 3.531% Due 7/25/2023	0.00	7,356.25	7,356.25

Cash Flow Report

As of December 31, 2020



Payment Date	Transaction Type	CUSIP	Quantity	Security Description	Principal Amount	Income	Total Amount
12/25/2021	Interest	3137BYPQ7	8,321,483.35	FHLMC K726 A2 2.905% Due 4/25/2024	0.00	20,144.92	20,144.92
12/25/2021	Interest	3137BM6P6	10,250,000.00	FHLMC K721 A2 3.09% Due 8/25/2022	0.00	26,393.75	26,393.75
12/25/2021	Paydown	3137B4WB8	6,545,000.00	FHLMC K033 A2 3.06% Due 7/25/2023	0.00	16,689.75	16,689.75
12/26/2021	Interest	931142EK5	6,575,000.00	Wal-Mart Stores Callable Note Cont 5/26/2023 3.4% Due 6/26/2023	0.00	111,775.00	111,775.00
12/31/2021	Interest	912828V23	10,000,000.00	US Treasury Note 2.25% Due 12/31/2023	0.00	112,500.00	112,500.00
12/31/2021	Interest	912828XX3	11,000,000.00	US Treasury Note 2% Due 6/30/2024	0.00	110,000.00	110,000.00
12/31/2021	Interest	912828N30	8,000,000.00	US Treasury Note 2.125% Due 12/31/2022	0.00	85,000.00	85,000.00
12/31/2021	Interest	912828XG0	10,000,000.00	US Treasury Note 2.125% Due 6/30/2022	0.00	106,250.00	106,250.00
DEC 2021					1,124,986.67	1,090,272.58	2,215,259.25
TOTAL					108,910,676.36	12,627,570.47	121,538,246.83



Chandler Asset Management, Inc. ("Chandler") is an SEC registered investment adviser. For additional information about our firm, please see our current disclosures (Form ADV). To obtain a copy of our current disclosures, you may contact your client service representative by calling the number on the front of this statement or you may visit our website at www.chandlerasset.com.

Information contained in this monthly statement is confidential and is provided for informational purposes only and should not be construed as specific investment or legal advice. The information contained herein was obtained from sources believed to be reliable as of the date of this statement, but may become outdated or superseded at any time without notice.

Custody: Your qualified custodian bank maintains control of all assets reflected in this statement and we urge you to compare this statement to the one you receive from your qualified custodian. Chandler does not have any authority to withdraw or deposit funds from/to the custodian account.

Valuation: Prices are provided by IDC, an independent pricing source. In the event IDC does not provide a price or if the price provided is not reflective of fair market value, Chandler will obtain pricing from an alternative approved third party pricing source in accordance with our written valuation policy and procedures. Our valuation procedures are also disclosed in Item 5 of our Form ADV Part 2A.

Performance: Performance results are presented gross-of-advisory fees and represent the client's Total Return. The deduction of advisory fees lowers performance results. These results include the reinvestment of dividends and other earnings. Past performance may not be indicative of future results. Therefore, clients should not assume that future performance of any specific investment or investment strategy will be profitable or equal to past performance levels. All investment strategies have the potential for profit or loss. Economic factors, market conditions or changes in investment strategies, contributions or withdrawals may materially alter the performance and results of your portfolio.

Source ice Data Indices, LLC ("ICE"), used with permission. ICE PERMITS USE OF THE ICE INDICES AND RELATED DATA ON AN "AS IS" BASIS; ICE, ITS AFFILIATES AND THEIR RESPECTIVE THIRD PARTY SUPPLIERS DISCLAIM ANY AND ALL WARRANTIES AND REPRESENTATIONS, EXPRESS AND/OR IMPLIED, INCLUDING ANY WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE OR USE, INCLUDING THE INDICES, INDEX DATA AND ANY DATA INCLUDED IN, RELATED TO, OR DERIVED THEREFROM. NEITHER ICE DATA, ITS AFFILIATES OR THEIR RESPECTIVE THIRD PARTY PROVIDERS GUARANTEE THE QUALITY, ADEQUACY, ACCURACY, TIMELINESS OR COMPLETENESS OF THE INDICES OR THE INDEX DATA OR ANY COMPONENT THEREOF, AND THE INDICES AND INDEX DATA AND ALL COMPONENTS THEREOF ARE PROVIDED ON AN "AS IS" BASIS AND LICENSEE'S USE IS AT LICENSEE'S OWN RISK. ICE DATA, ITS AFFILIATES AND THEIR RESPECTIVE THIRD PARTY DO NOT SPONSOR, ENDORSE, OR RECOMMEND CHANDLER, OR ANY OF ITS PRODUCTS OR SERVICES.

Index returns assume reinvestment of all distributions. Historical performance results for investment indexes generally do not reflect the deduction of transaction and/or custodial charges or the deduction of an investment management fee, the incurrence of which would have the effect of decreasing historical performance results. It is not possible to invest directly in an index.

Ratings: Ratings information have been provided by Moody's, S&P and Fitch through data feeds we believe to be reliable as of the date of this statement, however we cannot guarantee its accuracy.

Security level ratings for U.S. Agency issued mortgage-backed securities ("MBS") reflect the issuer rating because the securities themselves are not rated. The issuing U.S. Agency guarantees the full and timely payment of both principal and interest and carries a AA+/Aaa/AAA by S&P, Moody's and Fitch respectively.



PMIA/LAIF Performance Report as of 01/15/21



PMIA Average Monthly Effective Yields⁽¹⁾

Dec	0.540
Nov	0.576
Oct	0.620

Quarterly Performance Quarter Ended 12/31/20

LAIF Apportionment Rate ⁽²⁾ :	0.63
LAIF Earnings Ratio ⁽²⁾ :	0.00001719170547343
LAIF Fair Value Factor ⁽¹⁾ :	1.002271318
PMIA Daily ⁽¹⁾ :	0.49%
PMIA Quarter to Date ⁽¹⁾ :	0.58%
PMIA Average Life ⁽¹⁾ :	165

Pooled Money Investment Account Monthly Portfolio Composition ⁽¹⁾ 12/31/20 \$107.4 billion

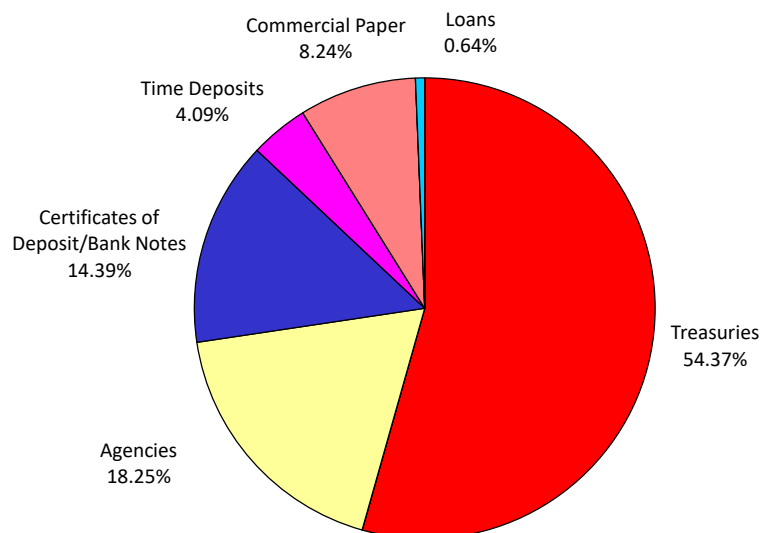


Chart does not include 0.01% of mortgages. Percentages may not total 100% due to rounding.

Daily rates are now available here. [View PMIA Daily Rates](#)

Notes: The apportionment rate includes interest earned on the CalPERS Supplemental Pension Payment pursuant to Government Code 20825 (c)(1) and interest earned on the Wildfire Fund loan pursuant to Public Utility Code 3288 (a).

Source:

⁽¹⁾ State of California, Office of the Treasurer

⁽²⁾ State of California, Office of the Controller

FY 2021 – Period 6

General Ledger Financial Inquiry - 01 945 0 0 0 0 0 2021 2021					
Dept: 01 City of Sunnyvale		Organization:			
Fund: 945 Treasurers Fund		Grant / Detail:			
Subfund:		Project / Detail:			
Fiscal Period: Month 06 2021		Account / Balance: Year Activity		Appn Yr: 2021	
GL Acct / Sub	Titles	Beginning Bal	Debit	Credit	Ending Bal
0100		0.00			0.00
	Total for: 0100	0.00	0.00	0.00	0.00
0300 1000		0.00			0.00
0300 16000		0.00			0.00
	Total for: 0300	0.00	0.00	0.00	0.00
1000	Union Bank General Account	-2,808,928,784.00	352,401,330.79	787,520,342.54	-3,244,047,795.75
	Total for: 1000	-2,808,928,784.00	352,401,330.79	787,520,342.54	-3,244,047,795.75
1010	Union Bank Payroll Account	0.00	12,265,879.63	12,265,879.63	0.00
	Total for: 1010	0.00	12,265,879.63	12,265,879.63	0.00
1050	Union Bank Interest Checking	4,838,187.96	6,038,937.35		10,877,125.31
	Total for: 1050	4,838,187.96	6,038,937.35	0.00	10,877,125.31
1100	Pooled Investments	744,688,753.62	257,142,556.67	312,476,223.33	689,355,086.96
	Total for: 1100	744,688,753.62	257,142,556.67	312,476,223.33	689,355,086.96
1102	Prem/Disc Allocation Clearing	2,907,183.49	-199,798.27	227,568.05	2,479,817.17
	Total for: 1102	2,907,183.49	-199,798.27	227,568.05	2,479,817.17
1103	Realized Gain/Loss Alloc Clearing	0.00		82,465.74	-82,465.74
	Total for: 1103	0.00	0.00	82,465.74	-82,465.74
1104	Equity in Non-Pooled Cash and In	0.00			0.00
	Total for: 1104	0.00	0.00	0.00	0.00
1105	Change in FV of City Investments	28,474,204.56			28,474,204.56
	Total for: 1105	28,474,204.56	0.00	0.00	28,474,204.56



City of Sunnyvale

Agenda Item

21-0105

Agenda Date: 3/30/2021

REPORT TO COUNCIL

SUBJECT

Approve Budget Modification No. 13 to Appropriate \$791,950 in FY 2020/21 Transportation Fund for Clean Air County Program Manager Funds for the Retiming of Traffic Signals and Authorize the City Manager to Execute All Grant-Related Documents

GRANT SUMMARY

In March 2020, staff submitted four traffic signal retiming project grant applications to the Valley Transportation Authority (VTA) for Transportation Fund for Clean Air (TFCA) County Program Manager grant funds for FY 2020/21 totaling \$712,755.00. These corridors were last retimed in FY 2016/17 through funding from the TFCA grant program.

TFCA is a Bay Area Air Quality Management District (BAAQMD) program funded by a surcharge of \$4.00 on motor vehicle registration fees within the Bay Area counties. BAAQMD administers 60% of the annual TFCA funds. The remaining 40% are returned to the nine Bay Area counties in the form of County Program Manager funds as grants to local governments. These grants are for projects that will reduce air pollution and improve bike and pedestrian facilities. In Santa Clara County, VTA administers these funds and awards them to individual cities on a competitive basis.

The intent of the Traffic Signals Retiming projects are to help reduce delays for all users, improve the efficiency of the transportation system and reduce vehicle emissions along these corridors. Below is the list of the corridors included in the applications:

- Fremont Avenue from Wright Avenue to Fieldfair Drive/Rembrandt Drive.
- Hollenbeck Avenue from Homestead Road to Danforth Drive.
- Mary Avenue from Homestead Road to Heatherstone Avenue, from Iowa Avenue to California Avenue and from Corte Madera Avenue to Maude Avenue.
- Remington Drive from Bernardo Avenue to Manet Drive.

In May 2020, VTA notified staff that the four projects City staff submitted were recommended for funding. The local match required for the four projects is \$79,195.

The funds will be appropriated to four separate projects - one for each corridor. Additionally, the grant will be used to purchase equipment to monitor the timing and traffic conditions for these intersections and install fiberoptic communications conduits to improve communications. New traffic data will be used to develop updated traffic signal timing plans. The timing plans will also incorporate pedestrian and bicycle intersection crossing timing needs.

Granting Agency

The VTA administers FY 2020/21 TFCA County Program Manager funds set aside for competitive local government grant awards.

EXISTING POLICY**Council Policy 7.1.5 Donations, Contributions and Sponsorships:**

The City Manager may apply for grants of any dollar amount, but shall notify the Council when grants are being pursued. Council approval of a budget modification to appropriate grant monies is required before funds can be expended by staff. Such a budget modification shall include the use to which the grant would be placed; the objectives or goals of the City which will be achieved through use of the grant; the local match required, if any, plus the source of the local match; any increased cost to be locally funded upon termination of the grant; and the ability of the City to administer the grant. For grants under the amount of \$5,000 that do not have any external reporting requirements or any local match requirement, Council approval of a budget modification is not required. The City Manager is authorized to accept and administratively appropriate the grant funds.

This grant does not meet all the criteria to be administratively appropriated by the City Manager; therefore, a budget modification is required. Grant funds from VTA have external reporting requirements and fall under the federal single audit guidelines.

ENVIRONMENTAL REVIEW

The budget modification does not constitute a "project" within the meaning of the California Environmental Quality Act ("CEQA") pursuant to CEQA Guidelines section 15378(b)(4) in that it is a fiscal activity that does not involve any commitment to any specific project which may result in a potential significant impact on the environment. It is anticipated that the projects funded by the grant will be a categorical exemption for existing facilities under CEQA Guidelines section 15301.

FISCAL IMPACT

Budget Modification No. 13 has been prepared to appropriate FY 2020/21 TFCA County Program Manager Funds in the amount of \$712,755 to four new projects for retiming of traffic signals. Matching funds are required for a total cost of \$791,950; however, no ongoing operating costs are required. The local match will be coming from Project 820180 - Traffic Signal Controller Replacement. Staff will reduce the existing FY 2020/21 project budget for the Traffic Signal Controller Replacement Project by \$79,195 and then allocate the funding to the 4 new proposed traffic signal projects in conjunction with the grant funding. The scope of the existing project (Traffic Signal Controller Replacement) is highly correlated to the new traffic signal timing projects, so an additional appropriation is not required to utilize the existing project funds. Since the new projects will utilize grant funds and existing project funds, there will be no fiscal impact on reserves.

**Budget Modification No. 13
FY 2020/21**

	Current	Increase/ (Decrease)	Revised
Capital Projects Fund –			
General Fund Assets Sub -			
Fund			
<u>Revenues</u>			
FY 2020/21 TFCA County	\$0	\$712,755	\$712,755
Program Manager Funds			
 <u>Expenditures</u>			
Project 820180 – Traffic Signal	\$702,349	(\$79,195)	\$623,154
Controller Replacement			
 New Project – Fremont Avenue	\$0	\$350,000	\$350,000
Traffic Signals Retiming			
 New Project – Hollenbeck	\$0	\$150,000	\$150,000
Avenue Traffic Signals Retiming			
 New Project – Mary Avenue	\$0	\$145,500	\$145,500
Traffic Signals Retiming			
 New Project – Remington Drive	\$0	\$146,450	\$146,450
Traffic Signals Retiming			

PUBLIC CONTACT

Public contact was made by posting the Council agenda on the City's official-notice bulletin board outside City Hall, Sunnyvale Public Library and Department of Public Safety. In addition, the agenda and report are available at the Office of the City Clerk and on the City's website.

RECOMMENDATION

Approve Budget Modification No. 13 to Appropriate \$791,950 in FY 2020/21 Transportation Fund for Clean Air County Program Manager Funds for the Retiming of Traffic Signals and Authorize the City Manager to Execute All Grant-Related Documents

Prepared by: Carmen Talavera, Senior Traffic Engineer

Reviewed by: Chip Taylor, Director, Public Works

Reviewed by: Timothy J. Kirby, Director of Finance

Reviewed by: Jaqui Guzmán, Deputy City Manager

Approved by: Kent Steffens, City Manager

ATTACHMENTS

1. Draft Sunnyvale FY2020/21 TFCA Grant Funding Agreement

**SANTA CLARA VALLEY TRANSPORTATION AUTHORITY
CONGESTION MANAGEMENT PROGRAM
TRANSPORTATION FUND FOR CLEAN AIR
AGREEMENT
FY 2020/21**

This agreement (“Agreement”) by and between the Santa Clara Valley Transportation Authority (“VTA”) and the City of Sunnyvale (“Sponsor”) shall be effective on the date that this Agreement is fully executed by the parties hereto (“Effective Date”). Hereinafter, Sponsor and VTA may be individually referred to as a “PARTY” or collectively referred to as the “PARTIES”.

RECITALS

This Agreement is made with reference to the following facts:

- A. VTA has been designated, by resolutions of the County of Santa Clara and a majority of the cities therein, as the Program Manager for Santa Clara County’s Transportation Fund for Clean Air (“TFCA”) funds under the State of California Health and Safety Code Section 44241.
- B. Pursuant to that designation, VTA is responsible for allocating and administering the County of Santa Clara’s TFCA County Program Manager Fund (“County Program Manager Fund”) to eligible project sponsors in accordance with the State of California Health and Safety Code Sections 44241 and 44242 and VTA’s current agreement with the Bay Area Air Quality Management District (“Air District”).
- C. On September 3, 2020, the VTA Board approved the programming of Fiscal Year (“FY”) 2020/21 Transportation Fund for Clean Air Program Manager funds for the xxx (“Project[s]”).
- D. This Agreement specifies the conditions under which VTA will allocate and administer a grant[s] from the County Program Manager Fund to Sponsor for FY 2020/21.

Now, therefore the Parties agree as follows:

AGREEMENT

Section 1. Grant of TFCA Funds; Description of Projects

- A. Subject to appropriation and receipt of TFCA funds (as further set forth in Section 10, below), VTA hereby agrees to allocate to Sponsor a TFCA grant in an amount not to exceed seven hundred twelve thousand, seven hundred fifty five dollars (\$712,755) (the “Grant Funds”) in consideration for Sponsor’s agreement to implement and complete the Project[s], as further set forth in the Project summary[ies] attached hereto as **Attachment A**, in accordance with the terms and conditions set forth in this Agreement.
- B. In consideration of VTA providing Sponsor with the Grant Funds, Sponsor hereby agrees to implement and complete the Project[s] in conformance with the terms of this Agreement. In implementing the Project[s], Sponsor shall comply with the Project schedule and monitoring requirements, as described in **Attachment A**.

Section 2. Proper Expenditure; Return of Funds

- A. Sponsor must assure that all Grant Funds received under this Agreement are expended only in accordance with all applicable provisions of federal, state, and local laws, and Sponsor shall require any other sub-recipients of Grant Funds for the Projects to do the same.
- B. Sponsor must comply with all TFCA Project requirements, as set forth in the Air District's *County Program Manager Fund Expenditure Plan Guidance Fiscal Year Ending (FYE) 2021* and the Funding Agreement between VTA and the Air District (21-SC). These documents, including appendices and revisions, are incorporated herein and made a part hereof by this reference as if fully set forth herein and will be provided by VTA to Sponsor upon request.
- C. Sponsor must return to VTA all Grant Funds that are not expended in accordance with the terms and conditions of this Agreement and/or applicable provisions of law.
- D. Sponsor must also return the Grant Funds to VTA if the Project[s] are not maintained and/or operated throughout and until the conclusion of the Project's years of effectiveness ("Years of Effectiveness"). This is the default value stated in the Air District's *County Program Manager Fund Expenditure Plan Guidance Fiscal Year Ending 2021* for the applicable project type, unless a different value was approved by VTA and shown to yield a Project that meets the cost-effectiveness requirement in the TFCA Guidance document cited above. The amount of Grant Funds returned to the Program Manager must be calculated on a prorated basis based on the length of the Project's Years of Effectiveness.

Section 3. Administrative project costs

Administrative project costs are costs associated with the administration of a TFCA project, and do not include project capital or operating costs. Sponsor must expend no more than six and a quarter percent (6.25%) of Grant Funds received hereunder on administrative costs.

Hourly labor charges for administrative project costs must be expressed on the basis of hours worked on the TFCA project. Administrative project costs are limited to the following activities that have documented hourly labor and overhead:

- A. Costs associated with administering the TFCA Funding Agreement (e.g., responding to requests for information from Air District and processing amendments). Note that costs incurred in preparation of a TFCA application or costs incurred prior to the execution of the Funding Agreement are not eligible for reimbursement;
- B. Accounting for TFCA funds;
- C. Fulfilling all monitoring, reporting, and record-keeping requirements specified in the TFCA Funding Agreement, including the preparation of reports, invoices, and final reports; and
- D. Documenting indirect administrative costs associated with administering the Project/s, including reasonable overhead costs of utilities, office supplies, reproduction, and managerial oversight.

The costs to prepare proposals and/or grant applications are not eligible.

If Sponsor requests reimbursement of administrative project costs, Sponsor must document and explain all such expenses in its invoices. Additionally, Sponsor must track these expenses, and provide auditable documentation to VTA, to ensure that they do not exceed 6.25% of total TFCA funds received. Sponsor must reimburse VTA for any administrative project costs deemed ineligible and returned by VTA to the Air District.

Section 4. Term

- A. The term of this Agreement will commence July 1, 2020 and will continue until either the Projects are completed or terminated in accordance with Section 15C, but no later than June 30, 2022, without written approval.
- B. Any requests for additional time to complete a Project beyond June 30, 2022 must be submitted in writing to VTA no later than sixty (60) days prior to that date. VTA, in its sole discretion, may approve or deny, in writing, two 12-month extension requests. In order to approve any time extensions, Sponsor must prove to VTA that significant progress has been made on implementing the Project. Any subsequent schedule extensions for the Project can only be approved in writing by the Air District on a case-by-case basis if Air District finds that Sponsor has made significant progress on the Project. A written amendment to this agreement for an extension is not required.
- C. In addition to the specific term of this Agreement, Sponsor must maintain each Project for the Years of Effectiveness prescribed in Section 2(E) herein.

Section 5. Work Product

Sponsor must place in the public domain any software, written document, or other product developed with funds received through this Agreement, to the extent not otherwise prohibited by law, and to the extent required by the California Public Records Act (California Government Code Sections 6250 et seq.).

Section 6. Acknowledgement of Funding Sources

- A. Sponsor must acknowledge both VTA and Air District as Project's funding sources during the implementation of the Projects and must use the VTA and the Air District approved logos as specified below:
 - (1) The logos must be used on signs posted at the site of any Project construction;
 - (2) The logos must be displayed on any vehicles or equipment operated with or obtained as part of the Projects;
 - (3) The logos must be used on any material intended for public consumption associated with the Projects, such as websites and printed materials, including Project-related transit schedules, brochures, handbooks, maps created for public distribution, and promotional material; and
 - (4) Sponsor will demonstrate to VTA, through evidence such as photographs of vehicles, equipment, construction signs, and copies of press releases, that the logos are used and displayed as required by this Section.

- B. VTA must provide a copy of Air District and VTA logos to Sponsor solely for use in fulfilling Sponsor's obligations under this Section.
- C. Sponsor must acknowledge VTA and Air District as a funding source in any related articles, news releases, or other publicity materials for the Projects that are produced or caused to be produced by Sponsor.

Section 7. Indemnity and Insurance Requirements

- A. Sponsor must indemnify, defend, and hold harmless VTA, the Air District, their respective officers, agents, employees, representatives, and successors-in-interest from any claim, liability, loss, expense, including reasonable attorneys' fees, or claims for injury or damage arising out of, or in connection with, performance of this Agreement by Sponsor and/or its agents or employees or subcontractors, excepting only loss, injury or damage caused by the gross negligence or willful misconduct of personnel employed by VTA.
- B. In any agreement between Sponsor and a third party for purposes related in any way to the subject matter of this Agreement ("Third Party Contract"), Sponsor must require that VTA be named as (i) additional insured on all policies of insurance required by CITY in the Third Party Contract and (ii) indemnified party in any indemnity provision contained in the Third Party Contract. Such Third-Party Contracts must contain requirements for General Liability, Automobile Liability, Workers' Compensation and Employer's Liability, and Pollution Liability.

Section 8. Invoicing

Sponsor must submit invoices at quarterly intervals to VTA for reimbursement of costs incurred to implement the Projects. Sponsor must email requests for reimbursement to VTA Accounts Payable at VTA.AccountsPayable@vta.org. Sponsor must include relevant, auditable back-up documentation (time sheets, bills, etc.) with each invoice.

Section 9. Reimbursement

- A. All funds allocated by VTA to Sponsor must be on a cost-reimbursement basis only. VTA will pay no funds in advance.
- B. Upon review and approval of invoices and documentation, VTA will, within fifteen (15) days of receipt of an invoice that conforms to the requirements set forth in this Agreement, reimburse Sponsor for all eligible expenditures up to the maximum amount described in Section 1 of this Agreement. Only those Project costs incurred by Sponsor on or after July 1, 2020 will be considered reimbursable expenditures.
- C. Funds for the Projects described in this Agreement, which are not submitted for reimbursement prior to June 30, 2022, will not be available to reimburse Project costs unless a Project schedule, which extends the Project completion date beyond June 30, 2022, has been approved by VTA and Air District, as set forth in Section 3, above.

Section 10. Funds Subject to Appropriation/Allocation of Funds Contingent on Appropriation

VTAs obligations under the terms of this Agreement are contingent upon and subject to the allocation of TFCA funds to VTA by the Air District under VTA's "21-SC" agreement with the Air District for approved projects during Fiscal Year 2020/21.

Section 11. Audit

This Agreement is subject to the examination and audit of the California State Auditor pursuant to California Government Code Section 8546.7 for a period of five (5) years after final payment. Audits may also be conducted by an auditor chosen by the Air District or VTA.

Section 12. Sponsor's Record Keeping

Sponsor must:

- A. Allow VTA and Air District staff, authorized representatives, and independent auditors, during the term of this Agreement and for five (5) years following completion of each Projects, to conduct performance and financial audits of the Projects and to inspect the Projects. During audits, the Sponsor must make available to the auditor, in a timely manner, all records relating to Sponsor's implementation of the Projects. During inspections, Sponsor will provide, at the request of VTA or the Air District, access to inspect the Projects and related records.
- B. Maintain employee time sheets documenting those hourly labor costs incurred in the implementation of the Projects, including both administrative and Project implementation costs, or to establish an alternative method to document staff costs charged to the funded Projects.
- C. Keep all financial and Project implementation records necessary to demonstrate compliance with this Agreement and the TFCA Program. Such records must include documentation that demonstrates significant progress made for those TFCA Program Projects seeking extensions to the completion date. Sponsor must keep such documents in a central location for a period of five (5) years following completion of the Projects.
- D. Submit an interim project report to VTA in each May and October until the Project [s] is/are completed and all closeout requirements have been fulfilled. The interim report must utilize Air District-approved report forms. One form should be submitted for each Project[s] listed in Attachment A. VTA will supply the Interim Project Report form to Sponsor for this requirement.

Section 13. Project Closeout

- A. Sponsor must submit a Final Report for each completed Project on the Air District-approved report form appropriate for the specific project type. Sponsor must also submit a final Cost-Effectiveness spreadsheet. VTA will provide the Final Report Forms and spreadsheets for this requirement.

Section 14. Review

- A. VTA will review Sponsor's progress in implementing the Projects at the end of the sixth (6th) quarter following execution of this Agreement. If progress at the sixth (6th) quarter review is insufficient to implement the Projects or to expend the funds within the period described in Section 3, VTA will develop an action plan with the Sponsor to ensure that the Grant Funds are not required to be repaid to VTA and/or the Air District, and the action plan may include reprogramming funds to other projects within Santa Clara County to ensure their expenditure prior to the term expiration date described in Section 3.
- B. VTA must provide Sponsor with all Air District-approved Program Manager reporting forms required pursuant to this Agreement.

Section 15. Non-Performance

- A. If Sponsor causes all or part of these Grant Funds to be subject to repayment to the County Program Manager Fund as a result of failure to complete the Projects according to the work scope described in Attachment A, Sponsor's next grant allocation of any kind that is from or passes through VTA shall be reduced by the amount that VTA repaid to the County Program Manager Fund.
- B. Sponsor will be ineligible for future funding under this program if Sponsor has five (5) or more Projects greater than two (2) years old on the Air District's annual "Funding Status Report."

Section 16. General Terms and Conditions

- A. **Notices.** Any notice required to be given by either Party, or which either Party may wish to give, must be in writing and served either by personal delivery or sent by certified or registered mail, postage prepaid, addressed as follows:

To VTA: Santa Clara Valley Transportation Authority
Director, Planning & Programming
3331 North 1st Street
San José, CA 95134-1906

To SPONSOR: City of Sunnyvale
City Manager
456 W. Olive Ave
Sunnyvale, CA, 94086

- B. **Program Liaison.** Within thirty (30) days from the Effective Date of this Agreement, Sponsor must notify VTA of Sponsor's "Program Liaison" and provide the Program Liaison's address, telephone number, and email address. The Program Liaison must be the contact to VTA pertaining to implementation of this Agreement and for information about

the Project[s]. Sponsor must notify VTA of the change of Program Liaison or of the Program Liaison's contact information in writing no later than thirty (30) days from the date of any change.

C. Termination.

Voluntary. Either Party may terminate this Agreement and/or a Project at any time by giving written notice of termination to the other Party which must specify the effective date thereof. Notice of termination under this paragraph must be given at least ninety (90) days before the effective date of such termination unless the Parties mutually agree to an earlier termination date. This Agreement will also terminate at the end of the fiscal year during which VTA loses its designation as County Program Manager for Santa Clara County.

If VTA terminates this Agreement and/or a Project pursuant to this provision, the Sponsor must cease all work under this Agreement and cease further expenditures of Grant Funds received under this Agreement for the terminated Project immediately upon receipt of the notice of termination, excepting any work permitted to continue that is specified in the notice of termination. VTA will reimburse Sponsor for eligible costs on the Project expended up to the effective date of the termination and no further Grant Funds will be provided for that Project.

If Sponsor terminates this Agreement and/or a Project pursuant to this provision, the Sponsor must return all Grant Funds provided by VTA for the specific Project up to and including the date of termination.

After Breach. VTA may terminate this Agreement and/or a Project for breach. Upon any breach, VTA will deliver a written notice of termination for breach that specifies the date of termination, which will be no less than ten (10) business days from delivery of such notice and will provide the Sponsor an opportunity to contest such breach within that period of time. If Sponsor contests the notice of termination for breach, VTA will provide written notice of VTA's determination of Sponsor's contestation. If VTA upholds the termination for breach, the written notice will specify the effective date of termination and Sponsor will have ten (10) business days to cure. If the breach is not cured within the allotted time, VTA will pursue the termination. The notice of termination will specify the Total Grant Funds VTA has paid to the Sponsor, which Sponsor must reimburse to the VTA within thirty (30) days of the effective date of termination.

D. Non-Waiver. The failure of either party to insist upon the strict performance of any of the terms, covenants, and conditions of this Agreement will not be deemed a waiver of any right or remedy that either party may have, and will not be deemed a waiver of their right to require strict performance of all of the terms, covenants, and conditions thereafter.

E. Assignment: Sponsor must not assign, sell, license, or otherwise transfer any rights or obligations under this Agreement without the prior written consent of VTA.

F. Integration. This Agreement, including all attachments and references, constitutes the entire Agreement between the Parties pertaining to the subject matter contained herein and supersedes all prior or contemporaneous agreements, representations, and understandings of the Parties relative thereto.

G. Amendments. Future amendments and modifications to this Agreement must be made in writing and signed by both parties.

- H. **Attachments.** Each attachment hereto is incorporated into this Agreement as if fully set forth herein.
- I. **Severability.** If any term, covenant, condition, or provision of this Agreement, or the application thereof to any person or circumstance, shall to any extent be held by a court of competent jurisdiction to be invalid, void, or unenforceable, the remainder of the terms, covenants, conditions, and provisions of this Agreement, or the application thereof to any person or circumstance, must remain in full force and effect and shall in no way be affected, impaired or invalidated thereby.
- J. **Warranty of Authority to Execute Agreement.** Each Party to this Agreement represents and warrants that each person whose signature appears hereon has been duly authorized and has the full authority to execute this Agreement on behalf of the entity that is a Party to this Agreement.
- K. **Survival.** Any provision that, by its nature, extends beyond the term or termination of this Agreement will survive the expiration or termination of this Agreement.

IN WITNESS WHEREOF, the Parties have executed this Agreement as of the date shown below.

City of Sunnyvale
(Sponsor)

Santa Clara Valley Transportation Authority
(VTA)

Dated: _____

Dated: _____

Kent Steffens
City Manager
City of Sunnyvale

Evelynn Tran
General Counsel and Interim GM/CEO
VTA

Approved as to Form:

Approved as to Form:

Rob Boco
Senior Assistant City Attorney

Shannon Smyth-Mendoza
Senior Assistant Counsel

ATTACHMENT A: PROJECT INFORMATION SUMMARY

- A. Project Number: 21SC05
- B. Project Title: Fremont Avenue Signal Timing
- C. TFCA Program Manager Funds Allocated: \$315,000
- D. TFCA Regional Funds Awarded (if applicable): \$0
- E. Total TFCA Funds Allocated (sum of C and D): \$315,000
- F. Total Project Cost: \$350,000
- G. Project Description:

Grantee will use TFCA funds to develop, optimize and implement signal timing plans along Fremont Avenue from Wright Avenue to Manet-Bobwhite.
- H. Final Report Content: Final Report Form for arterial management projects and final Cost Effectiveness Worksheet.
- I. Attach a completed Cost-effectiveness Worksheet and any other information used to evaluate the proposed project.
- J. Comments (if any): None.

ATTACHMENT A: PROJECT INFORMATION SUMMARY

A. Project Number: 21SC06

B. Project Title: Hollenbeck Avenue Signal Timing

C. TFCA Program Manager Funds Allocated: \$315,000

D. TFCA Regional Funds Awarded (if applicable): \$0

E. Total TFCA Funds Allocated (sum of C and D): \$315,000

F. Total Project Cost: \$350,000

G. Project Description:

Grantee will use TFCA funds to develop, optimize and implement signal timing plans along Fremont Avenue from Wright Avenue to Manet-Bobwhite.

H. Final Report Content: Final Report Form for arterial management projects and final Cost Effectiveness Worksheet.

I. Attach a completed Cost-effectiveness Worksheet and any other information used to evaluate the proposed project.

J. Comments (if any): None.

ATTACHMENT A: PROJECT INFORMATION SUMMARY

- A. Project Number: 21SC07
- B. Project Title: Mary Avenue Signal Timing
- C. TFCA Program Manager Funds Allocated: \$ 130,950
- D. TFCA Regional Funds Awarded (if applicable): \$0
- E. Total TFCA Funds Allocated (sum of C and D): \$ 130,950
- F. Total Project Cost: \$149,595
- G. Project Description:

Grantee will use TFCA funds to develop, optimize and implement signal timing plans along Mary Avenue from Maude Avenue to El Camino Real.
- H. Final Report Content: Final Report Form for arterial management projects and final Cost Effectiveness Worksheet.
- I. Attach a completed Cost-effectiveness Worksheet and any other information used to evaluate the proposed project.
- J. Comments (if any): None.

ATTACHMENT A: PROJECT INFORMATION SUMMARY

- A. Project Number: 21SC08
- B. Project Title: Remington Drive Signal Timing
- C. TFCA Program Manager Funds Allocated: \$ 131,805
- D. TFCA Regional Funds Awarded (if applicable): \$0
- E. Total TFCA Funds Allocated (sum of C and D): \$ 131,805
- F. Total Project Cost: \$146,355
- G. Project Description:

Grantee will use TFCA funds to develop, optimize and implement signal timing plans for Remington Drive from Bernardo Avenue to Manet Drive.
- H. Final Report Content: Final Report Form for arterial management projects and final Cost Effectiveness Worksheet.
- I. Attach a completed Cost-effectiveness Worksheet and any other information used to evaluate the proposed project.
- J. Comments (if any): None.

ATTACHMENT B

INSURANCE REQUIREMENTS

INSURANCE

Without limiting CITY's obligation to indemnify and hold harmless VTA, CITY must procure and maintain for the duration of the Agreement insurance against claims for injuries to persons or damages to property which may arise from or in connection with the performance of the Work by CITY, its agents, representatives, employees, or subcontractors. The cost of such insurance must be borne exclusively by CITY. In the event of any material change in the Agreement Scope of Services, VTA reserves the right to change the insurance requirements set forth herein. CITY must furnish complete copies of all insurance policies, within three (3) business days of any request for such by VTA.

A. Liability and Workers' Compensation Insurance

1. Minimum Scope of Coverage

Coverage must be at least as broad as:

- a. General Liability coverage; Insurance Services Office "occurrence" form CG 0001. General Liability insurance written on a "claims made" basis is not acceptable. Completed Operations coverage must be continuously maintained for at least two (2) years after Final Acceptance of the Work.
- b. Business Auto Coverage, Insurance Services Office form number CA 0001, covering Automobile Liability, code 1 "any auto." Auto Liability written on a "claims-made" basis is not acceptable.
- c. Workers' Compensation insurance, as required by the Labor Code of the State of California, and Employer's Liability insurance.

2. Minimum Limits of Insurance

- a. CITY must maintain limits no less than:
 1. General Liability (including umbrella/excess liability): \$4,000,000 limit per occurrence for bodily injury, personal injury, and property damage. If General Liability Insurance or other form with a general aggregate limit is used, either the general aggregate limit must apply separately to this project/location or the general aggregate limit must be twice the required occurrence limit. This requirement may be satisfied by a combination of General Liability with Excess or Umbrella, but in no event may the General Liability primary policy limit per occurrence be less than \$2,000,000, unless Umbrella/Excess policies feature inception and expiration dates concurrent with the underlying General Liability policy, "Follow Form" coverage, and a "Drop Down" provision.
 2. Automobile Liability (including umbrella/excess liability): \$4,000,000 limit per accident for bodily injury and property damage. This requirement may be satisfied

by a combination of Auto with Excess or Umbrella, but in no event may the Automobile Liability primary policy limit per occurrence be less than \$2,000,000, unless Excess policies feature inception and expiration dates concurrent with the underlying auto liability policy, "Follow Form" coverage, and a "Drop Down" provision.

3. Workers' Compensation and Employer's Liability: Statutory Workers' Compensation limits and Employer's Liability limits of \$1,000,000 per accident.
- b. Notwithstanding any language in this Agreement to the contrary, if CITY carries insurance limits exceeding the minima stated in Section 2(a)(1)-(4) immediately above, such greater limits will apply to this Agreement.

3. Self-Insured Retention

The certificate of insurance must disclose the actual amount of any deductible or self-insured retention for all coverages required herein. Any self-insured retention or deductible in excess of \$50,000 (\$100,000 if CITY is a publicly-traded company) must be declared to and approved by VTA. If CITY is a governmental authority such as a state, municipality or special district, self-insurance is permitted. To apply for approval for a level of retention or deductible in excess of \$50,000, CITY must provide a current financial report including balance sheets and income statements for the past three years, so that VTA can assess CITY's ability to pay claims falling within the self-insured retention or deductible. Upon review of the financial report, if deemed necessary by VTA in its sole discretion, VTA may elect one of the following options: to accept the existing self-insured retention or deductible; require the insurer to reduce or eliminate the self-insured retention or deductible as respects VTA, its directors, officers, officials, employees and volunteers; or to require CITY to procure a bond guaranteeing payment of losses and related investigations, claim administration and defense expenses. Applicable costs resulting therefrom will be borne solely by CITY. CITY may request execution of a nondisclosure agreement prior to submission of financial reports.

B. Reserved.

C. Claims Made Provisions

Claims-made coverage is never acceptable for General Liability or Auto Liability. Claims-made may be considered for Professional, Environmental/Pollution, or Cyber. For coverage written on a claims-made basis, it must be clearly stated on the Certificate of Insurance. In addition to all other coverage requirements, such policy must provide that:

1. The policy retroactive date must be no later than the date of this Agreement.
2. If any policy is not renewed or the retroactive date of such policy is to be changed, CITY must obtain or cause to be obtained the broadest extended reporting period coverage available in the commercial insurance market. This extended reporting provision must cover at least two (2) years.
3. No prior acts exclusion may be added to the policy during the Agreement period.
4. The policy allows for reporting of circumstances or incidents that might give rise to future claims.

D. Other Provisions

The policies must contain, or be endorsed to contain, the following provisions:

1. General Liability and Automobile Liability

- a. VTA, its directors, officers, officials, employees and volunteers are to be named as additional insureds as respects: liability arising out of activities performed by or on behalf of CITY, including VTA's general supervision of CITY; products and completed operations of CITY and its subcontractors; premises owned, occupied or used by CITY; or automobiles owned, leased, hired or borrowed by CITY. The coverage must contain no special limitations on the scope of protection afforded to VTA, its directors, officers, officials, employees, or volunteers. Additional Insured endorsements must provide coverage at least as broad as afforded by the combination of ISO CG 20 10 10 01 and CG 20 37 10 01.
- b. Any failure to comply with reporting provisions of the policies may not affect coverage provided to VTA, its directors, officers, officials, employees, or volunteers.
- c. CITY's insurance must apply separately to each insured against whom claim is made or suit is brought, except with respect to the limits of the insurer's liability.
- d. The General Liability General Aggregate limit must apply per project, not per policy.

2. All Coverages

- a. The insurer must agree to waive all rights of subrogation against VTA, its directors, officers, officials, employees, and volunteers for losses arising from work performed by CITY and its subcontractors for VTA.
- b. CITY's insurance coverage must be primary insurance as respects VTA, its directors, officers, officials, employees, and volunteers. Self-insurance or insurance that may be maintained by VTA, its directors, officers, officials, employees, or volunteers may apply only as excess to CITY's insurance. CITY's insurance must not seek contribution from VTA's insurance program.

3. Other Insurance Provisions

- a. For all lines of coverage, the Certificate must disclose the actual amount of the Deductible or Self-Insured Retention.
- b. If any coverage forms or endorsements required by this Agreement are updated by their publishers, whether they be the insurance carrier(s), the Insurance Services Office, or the American Association of Insurance Services, during the duration of this Agreement, VTA reserves the rights to require CITY to procure said coverage forms or endorsements using the updated versions upon the next renewal cycle.

E. Acceptability of Insurers

Insurance and bonds must be placed with insurers with an A.M. Best's rating of no less than A VII (financial strength rating of no less than A and financial size category of no less than VII), unless specific prior written approval has been granted by VTA.

F. Certificates of Insurance

City must furnish VTA with a Certificate of Insurance. The certificates for each insurance policy must be signed by an authorized representative of that insurer. The certificates must be issued on a standard ACORD Form. City must instruct their insurance broker/agent to submit all insurance certificates and required notices electronically in PDF format to real.estate@vta.org. All endorsements must be attached to the ACORD certificate in a single PDF document.

The certificates must (1) identify the insurers, the types of insurance, the insurance limits, the deductibles, and the policy term, (2) include copies of all the actual policy endorsements required herein, and (3) in the "Certificate Holder" box include:

Santa Clara Valley Transportation Authority ("VTA")
3331 North First Street
San Jose, CA 95134-1906

In the Description of Operations/Locations/Vehicles/Special Items Box, the VTA property leased must appear, the list of policies scheduled as underlying on the Umbrella/Excess policy must be listed, Certificate Holder must be named as additional insured, and Waiver of Subrogation must be indicated as endorsed to all policies as stated in the Agreement documents.

It is a condition precedent to granting of this Agreement that all insurance certificates and endorsements be received and approved by VTA before Agreement execution. No occupancy may be taken until insurance is in full compliance. VTA reserves the rights to require complete, certified copies of all required insurance policies, at any time.

If City receives notice that any of the insurance policies required by this Exhibit may be cancelled or coverage reduced for any reason whatsoever, City must immediately provide written notice to VTA that such insurance policy required by this Exhibit is canceled or coverage is reduced.

G. Maintenance of Insurance

If City fails to maintain insurance as required herein, VTA, at its option, may suspend the Agreement until a new policy of insurance is in effect.



City of Sunnyvale

Agenda Item

21-0412

Agenda Date: 3/30/2021

REPORT TO COUNCIL

SUBJECT

Adopt a Resolution Amending the City of Sunnyvale Local Conflict of Interest Code

BACKGROUND AND DISCUSSION

The Political Reform Act requires that every state and local agency adopt a local conflict of interest code that identifies all officials and employees within the agency who make governmental decisions based on the positions they hold. Individuals in the designated positions must then disclose their financial interests as specified in the agency's conflict of interest code.

Every local government agency is required to review its Conflict of Interest Code biennially to determine if the Code is accurate, or alternatively, that the Code must be amended. Amendments are necessary to include new qualifying positions which have been added to or delete obsolete positions from the City's classification plan and salary resolution, to revise titles of existing positions, or to revise disclosure categories. Local governmental agencies may also amend their Conflict of Interest Code at any other time to make the same types of changes.

The City's Conflict of Interest Code applies to the City, as well as designated "City agencies" (i.e., the Successor Agency to the Former Redevelopment Agency of the City of Sunnyvale and the Sunnyvale Financing Authority) and their officers and employees.

The City's Conflict of Interest Code was last amended on January 12, 2021 (Resolution No. 1041-21). After the adoption of the City's Conflict of Interest Code, the City Clerk in consultation with the Chief Information Officer and the Human Resources Department determined the Applications Analyst / Project Coordinator I/II and Senior Applications Analyst / Project Manager positions do not make governmental decisions and should not have been included in the Code as their job functions do not trigger the requirements of the Political Reform Act. As a result of that determination staff is asking that these positions be removed from the City's Conflict of Interest Code. Non-substantive changes, including alphabetizing the list of designated positions, are also included in this update.

EXISTING POLICY

Council Policy 7.3.7 - Standard Conflict of Interest Code

ENVIRONMENTAL REVIEW

The action being considered does not constitute a "project" within the meaning of the California Environmental Quality Act ("CEQA") pursuant to CEQA Guidelines section 15378(b)(5) in that it is a governmental, organizational or administrative activity that will not result in direct or indirect changes in the environment.

FISCAL IMPACT

None.

PUBLIC CONTACT

Public contact was made by posting the Council agenda on the City's official-notice bulletin board outside City Hall, Sunnyvale Public Library and Department of Public Safety. In addition, the agenda and report are available at the Office of the City Clerk and on the City's website.

RECOMMENDATION

Adopt a Resolution amending the City of Sunnyvale Local Conflict of Interest Code.

Prepared by: David Carnahan, City Clerk

Reviewed by: Teri Silva, Assistant City Manager

Reviewed by: Jaqui Guzmán, Deputy City Manager

Approved by: Kent Steffens, City Manager

ATTACHMENT

1. Proposed Resolution and Exhibit "A"

DRAFT 3/12/2021 JAN

RESOLUTION NO. _____

**A RESOLUTION OF THE CITY COUNCIL OF THE CITY
OF SUNNYVALE AMENDING THE CONFLICT OF
INTEREST CODE FOR DESIGNATED CITY OFFICERS
AND EMPLOYEES (RESOLUTION NO. 1041-21) AS
REQUIRED BY THE POLITICAL REFORM ACT AND
REGULATIONS OF THE FAIR POLITICAL PRACTICES
COMMISSION**

WHEREAS, the Political Reform Act requires certain City officials specified in section 87200 of the California Government Code, to file economic disclosure forms (“Form 700”) and abstain from making or participating in governmental decisions which have a reasonably foreseeable material effect on an economic interest; and

WHEREAS, the Political Reform Act requires the City to adopt a local conflict of interest code that enumerates specific City positions other than those specified in Government Code section 87200 which involve making or participating in making decisions which have a reasonably foreseeable material effect on an economic interest, and to designate for each position the types of investments, business positions, interests in real property and sources of income which are reportable based on the scope of the decision-making authority of the position; and

WHEREAS, on January 12, 2021, the City Council adopted a Conflict of Interest Code in compliance with the provisions of the Political Reform Act, Government Code Sections 81000, *et seq.*, through the adoption of Resolution No. 1041-21; and

WHEREAS, the City Council has reviewed and considered administratively suggested changes to the listing of designated positions of City officials and employees, along with assigned disclosure categories, in the Conflict of Interest Code.

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF SUNNYVALE THAT:

1. Adoption of Conflict of Interest Code. The City of Sunnyvale Conflict of Interest Code, attached hereto as Appendix A and incorporated herein by this reference, is hereby adopted and includes the following:

- (a) The Political Reform Act (Government Code Section 81000, *et seq.*) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 California Code of Regulations Section 18730) that contains the terms of a standard conflict of interest code, which can be incorporated by reference in an agency’s code. After public notice and hearing, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in

the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendix, designating positions and establishing disclosure categories, shall constitute the conflict of interest code of the City of Sunnyvale, the Successor Agency to the Former Sunnyvale Redevelopment Agency, and the Oversight Board for the Successor Agency to the Former Redevelopment Agency.

Public officials and employees shall file Statements of Economic Interests (FPPC Form 700) with the City Clerk, who is designated as the Filing Officer. The City Clerk shall administer this Conflict of Interest Code at the local level and shall notify each public official and employee of his or her filing obligation. Public officials and employees are required to file an Assuming Office Statement when they assume a designated position, an Annual Statement each year and a Leaving Office Statement within thirty days of resignation or termination. Once filed the FPPC Form 700 is a public record and the City Clerk shall make all statements available for public inspection and reproduction, pursuant to Government Code Section 81008. Individuals holding designated positions shall file their statements of economic interests with the City, which will make the statements available for public inspection and reproduction (Gov. Code Sec. 81008). All statements will be retained by the City.

- (b) As the code reviewing body for the Successor Agency to the Former Redevelopment Agency of the City of Sunnyvale and the Sunnyvale Finance Authority, the City Council has determined that the Successor Agency and Finance Authority (i) are not new agencies for the purposes of the Political Reform Act; (ii) do not require the adoption of separate conflict of interest codes; and (iii) will be covered by the City's Conflict of Interest Code as amended hereto.
- (c) Filing statements. Designated officials and employees shall file Statements of Economic Interests in compliance with the provisions of California Code of Regulations Section 18730(b)(5).

Adopted by the City Council at a regular meeting held on _____, by the following vote:

AYES:

NOES:

ABSTAIN:

ABSENT:

RECUSAL:

ATTEST:

APPROVED:

City Clerk
(SEAL)

Mayor

APPROVED AS TO FORM:

City Attorney

CITY OF SUNNYVALE – CONFLICT OF INTEREST CODE
DESIGNATED CITY POSITIONS REQUIRED TO FILE

Position	Disclosure Category
Accountant	1
Administrative Analyst	1
Administrative Librarian	1
Administrative Services Manager	1
Affordable Housing Manager	1
Applications Analyst/Project Coordinator I/II	1
Applications Development Manager	1
Assistant City Attorney	1
Assistant City Engineer	1
Assistant City Manager	1
Assistant Director of Community Development	1
Assistant Director of Finance	1
Assistant Director of Public Works/City Engineer	1
Assistant Planner	1
Associate Planner	1
Budget Analyst I/II	1
Building Inspector I/II	1
<u>Business Operations Manager</u>	<u>2</u>
Buyer I/II	1
Chief Building Official	1
Chief Information Officer	1
City Clerk	1
City Property Administrator	1
Civil Engineer	1
Civilian Assistant Fire Marshal	1
Civilian Fire Marshal	1
Communications Officer	1
Community Resources Manager	1
Deputy City Attorney	1
Deputy Chief Public Safety	1
<u>Deputy City Attorney</u>	<u>1</u>
Deputy City Clerk	1
Deputy City Manager	1
Director of Community Development	1
Director of Environmental Services	1
Director of Human Resources	1
Director of Library and Recreation Services	1
Director of NOVA Workforce Services	1
Director of Public Safety	1
Director of Public Works	1
Economic Development Manager	1
Employment Training Manager	1
Environmental Compliance Inspector	3
Environmental Engineering Coordinator	2

Environmental Programs Manager	1
Finance Manager	1
Fire Protection Engineer	3
Fire Protection Inspector	3
Fleet Manager	2
Golf Operations Manager	2
Hazardous Materials Inspector	3
Housing Programs Analyst	1
Housing Officer	1
<u>Housing Programs Analyst</u>	<u>1</u>
Housing Programs Technician	1
Human Resources Analyst	1
Human Resources Manager	1
Information Technology Coordinator	1
Information Technology Manager	1
<u>Job Seeker Services Manager</u>	<u>1</u>
Laboratory/Pretreatment Manager	1
Library Circulation Manager	1
Management Analyst	1
Business Operations Manager	2
Job Seeker Services Manager	1
Neighborhood Preservation Manager	1
Operations Manager: Facilities	3
Parks Manager	2
Payroll Supervisor	1
Permit Technician	1
Permit Center Coordinator	1
Permit Center Manager	1
<u>Permit Technician</u>	<u>1</u>
Plan Check Engineer	1
Plan Checker I/II	1
Planning Officer	1
Principal Accountant	1
<u>Principal Applications Analyst/Project Manager</u>	<u>1</u>
Principal Buyer	1
Principal Human Resources Analyst	1
Principal Network & Systems Engineer	1
Principal Planner	1
Principal Applications Analyst/Project Manager	1
Principal Storekeeper	1
Principal Transportation Engineer/Planner	1
Public Safety Captain	1
Public Safety Communications Manager	1
Public Safety Records Manager	1
Public Works Construction Inspector	3
Public Works Supervisor	2
Purchasing Officer	1
Recreation Manager	1
Regulatory Programs Division Manager	1

Risk Manager	1
Senior Accountant	1
Senior Assistant City Attorney	1
Senior Applications Analyst/Project Coordinator	1
Senior Building Inspector	1
Senior Buyer	1
Senior Construction Inspector/Coordinator	1
Senior Engineer	1
Senior Environmental Compliance Inspector	1
Senior Environmental Engineer	1
Senior Hazardous Materials Inspector	1
Senior Housing Rehabilitation Specialist	1
Senior Management Analyst	1
Senior Plan Check Engineer	1
Senior Planner	1
Senior Traffic Engineer	1
Senior Transportation Engineer	1
Senior Transportation Planner	2
Street Operations Manager	1
Solid Waste Contract Administrator	2
Solid Waste Programs Division Manager	1
<u>Storekeeper I/II</u>	<u>1</u>
Storekeeper/Buyer	1
Storekeeper I/II	1
Superintendent of Facilities Maintenance	1
Superintendent of Libraries	1
Superintendent of Parks and Golf	1
Superintendent of Public Works Operations	1
Superintendent of Recreation Services	1
Supervising Librarian	1
Transportation and Traffic Manager	1
Urban Landscape Manager	1
Utility Billing Manager	1
Wastewater Operations Manager	1
Water and Sewer Systems Division Manager	1
Water Operations Manager	1
Water Pollution Control Maintenance Manager	1
Water Pollution Control Operations Manager	1
Water Pollution Control Plant Division Manager	1
Youth and Family Resources Manager	1
Newly created positions between conflict code amendments	As applicable*
Consultants	As applicable**
Retired Annuitants	As applicable***
Casual Employees performing work similar to a designated position	As applicable***
Designated appointees:	
Member, Arts Commission	1
Member, Board of Building Code Appeals	1
Member, Heritage Preservation Commission	1

Member, Housing and Human Services Commission	1
Member, Executive Director, Successor Agency Attorney, Secretary and Treasurer to Successor Agency to the Former Sunnyvale Redevelopment Successor Agency	1
Member, Executive Director, Authority Attorney, Secretary and Treasurer to Sunnyvale Financing Authority	1
State Required Filers: The following positions are <u>NOT</u> covered by the code because they must file under Government Code Section 87200 and are listed for informational purposes only:	
Councilmembers	1
City Attorney	1
City Manager	1
Director of Finance	1
Member, Planning Commission	1
An individual holding one of the above listed positions (State Required Filers) may contact the Fair Political Practices Commission for assistance or written advice regarding their filing obligations if they believe that their position has been categorized incorrectly. The Fair Political Practices Commission makes the final determination whether a position is covered by section 87200.	

CITY'S CONFLICT OF INTEREST CODE
DISCLOSURE CATEGORIES

Category 1

A designated employee in this category must report all investments, interests in real property owned in the City of Sunnyvale, sources of income including gifts, loans and travel payments, and business entities in which he or she is a director, officer, partner, trustee, employee or holds any position of management.

Category 2

A designated employee in this category must report sources of income including gifts, loans and travel payments, and business entities in which he or she has an investment or is a director, officer, partner, trustee, employee or holds any position of management, if the business entity or source of income is of the type which has done business with the City of Sunnyvale within the previous two years.

Category 3

A designated employee in this category must report sources of income including gifts, loans and travel payments, and business entities in which he or she has an investment or is a director, officer, partner, trustee, employee or holds any position of management, if the business entity or source of income is of the type which within the previous two years has provided services, equipment, lease space, materials or supplies to the City.

*City Clerk to work with appropriate department and City Attorney to determine appropriate disclosure category for any newly created position.

**Consultants and/or Casual employees are included in the list of designated positions and shall disclose pursuant to Category 1, subject to the following limitation:

The City Manager may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The City Manager's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code. (Gov. Code Section 81008.)

***Retired annuitants may be included in the list of designated employees when the City Manager, or his or her designee, determines that they are performing work that is the functional equivalent of a designated position. If such a determination is made, then disclosure shall be pursuant to the disclosure category required by this Code for the comparable designated staff position.



City of Sunnyvale

Agenda Item

21-0319

Agenda Date: 3/30/2021

REPORT TO COUNCIL

SUBJECT

Adopt a Resolution Extending the City's Declaration of Local Emergency for COVID-19

DISCUSSION

On March 12, 2020, City Manager Kent Steffens declared a local health emergency pursuant to the authority granted to him as the Emergency Services Director in Sunnyvale Municipal Code Chapter 2.16 (Emergency Organization and Functions) and applicable state law. The City Council adopted a resolution ratifying that declaration (Resolution No. 979-20) on March 17, 2020, and resolutions extending the declaration on April 7, 2020, (Resolution No. 981-20), May 26, 2020, (Resolution No. 994-20), July 14, 2020, (Resolution No. 1010-20), August 25, 2020, (Resolution No. 1016-20), October 13, 2020 (Resolution No. 1025-20), December 8, 2020 (Resolution No. 1036-20) and February 2, 2021 (Resolution No. 1042-21).

Although COVID-19 cases and hospitalizations have improved significantly since the Council's last emergency extension, the emergency conditions related to COVID-19 continue. On March 3, 2021, Santa Clara County moved from the state's purple tier into the less restrictive red tier. It has also intensified vaccine distribution efforts. However, as of March 8, 2021, just 10% of the population in Santa Clara County had been fully vaccinated, and although the list of those eligible for vaccination has recently expanded, the vaccine is not yet available to the general public.

Staff anticipates that the COVID-19 emergency conditions will continue for some time before vaccination levels are sufficient for the public health orders to be reduced or rescinded. Staff recommends that Council adopt the attached resolution extending the City's declaration of a local emergency, to be reviewed again within 60 days pursuant to Government Code section 8630 (California Emergency Services Act). Extending the local health emergency empowers the City to continue to effectively respond to COVID-19, including staffing the EOC, coordinating with partner agencies, seeking and utilizing mutual aid, and pursuing potential federal and state reimbursement for costs related to response efforts.

PUBLIC CONTACT

Public contact was made by posting the Council agenda on the City's official-notice bulletin board outside City Hall, Sunnyvale Public Library and Department of Public Safety. In addition, the agenda and report are available at the Office of the City Clerk and on the City's website.

ENVIRONMENTAL REVIEW

The action being considered does not constitute a "project" with the meaning of the California Environmental Quality Act ("CEQA") pursuant to CEQA Guidelines sections 15320, 15378 and 15061 (b)(3) as it is an organizational structure change and does not have the potential to result in either a direct or reasonable foreseeable indirect physical change in the environment.

STAFF RECOMMENDATION

Adopt a Resolution Extending the City Manager/Director of Emergency Services' Proclamation of Existence of a Local Emergency (COVID-19).

Prepared by: David Carnahan, City Clerk

Reviewed by: Jaqui Guzmán, Deputy City Manager

Reviewed by: Teri Silva, Assistant City Manager

Approved by: Kent Steffens, City Manager

ATTACHMENTS

1. Draft Resolution Extending Emergency Proclamation
2. Resolution No. 1042-21
3. Resolution No. 1036-20
4. Resolution No. 1025-20
5. Resolution No. 1016-20
6. Resolution No. 1010-20
7. Resolution No. 994-20
8. Resolution No. 981-20
9. Resolution No. 979-20

DRAFT 3/9/2021 MCT

RESOLUTION NO. _____

**A RESOLUTION OF THE CITY COUNCIL OF THE CITY
OF SUNNYVALE EXTENDING THE CITY
MANAGER/DIRECTOR OF EMERGENCY SERVICES'
PROCLAMATION OF EXISTENCE OF A LOCAL
EMERGENCY (COVID-19)**

WHEREAS, on March 12, 2020, the City Manager acting as the Director of Emergency Services proclaimed the existence of a local emergency within the City due to the novel coronavirus (COVID-19), a respiratory disease that may result in serious illness or death and is easily transmissible from person to person; and

WHEREAS, the City Council unanimously ratified and confirmed that proclamation of local emergency on March 17, 2020 by adopting Resolution 979-20; and

WHEREAS, the City Council reviewed and unanimously extended the proclamation of local emergency on April 7, 2020 (Resolution 981-20); May 26, 2020 (Resolution 994-20); July 14, 2020 (Resolution 1010-20); August 25, 2020 (Resolution 1016-20); October 13, 2020 (Resolution 1025-20); December 8, 2020 (Resolution 1036-20); and February 2, 2021 (Resolution 1042-21); and

WHEREAS, the City Council has reviewed the need to continue the existence of a local emergency and finds that the conditions supporting the declaration of emergency related to COVID-19 continue to exist, for reasons including, but not limited to:

(1) All residents of the State of California and Santa Clara County continue to be subject to public health orders that limit or place restrictions on certain activities and require social distancing at all times; and

(2) Although COVID-19 case rates in California decreased from February to March 2021, as of March 8, 2021, Santa Clara County was still in the second most restrictive red tier of COVID-19 public health orders; and

(3) Although the COVID-19 vaccines have been determined to be extremely effective, and the state and county have intensified vaccination efforts, as of March 8, 2021, just 10% of Santa Clara County residents had been fully vaccinated. Vaccination is not expected to be available to all members of the public who want it until late May or June 2021; and

(4) As of March 8, 2021, the State of California recorded more than 3,500,000 cases of COVID-19 and more than 54,000 deaths; and

(5) As of March 8, 2021, Santa Clara County recorded more than 111,800 cases of COVID-19 and 1,800 deaths; and

(6) COVID-19 continues to impact families with school-aged children. Although the governor has taken action to encourage schools to reopen and many public schools have recently reopened or are planning to reopen in March and April 2021, many of the schools that reopen will do so under a “hybrid” format of partial in-person and partial distance learning; and

(7) Many Sunnyvale residents have experienced job loss or reduced hours due to COVID-19 closures and stay-at-home orders, and the unemployment rate in Santa Clara County in December 2020 (prior to implementation of the regional stay-at-home order, which required closure of certain businesses and limited others) was 6 percent, down from summer unemployment rates but nevertheless a substantial increase from pre-pandemic rates of 2.6 percent in January and February of 2020; and

(8) The City has and continues to experience a loss of revenue from several areas due to closures related to COVID-19; and

(9) The economic recovery from COVID-19 and its impacts are now estimated to be in terms of years not months; and

WHEREAS, the City Council finds that the COVID-19 situation, particularly with the dramatic increases in cases and deaths over the last two months, continues to create conditions of peril to the health, safety, and welfare of persons and property in the City of Sunnyvale and is still deemed to be beyond the control of normal protective services, personnel, equipment and facilities of and within the City.

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF SUNNYVALE THAT:

1. The City of Sunnyvale hereby proclaims the extension of the period of the Existence of a Local Emergency for an additional 60 days or unless sooner terminated.
2. The local emergency shall be deemed to continue to exist until its termination is proclaimed by the City Council of the City of Sunnyvale.
3. As required by California Government Code section 8630, the City Council shall review the need to continue the state of emergency every sixty (60) days until this resolution is terminated.

Adopted by the City Council at a regular meeting held on _____, by the following vote:

AYES:

NOES:

ABSTAIN:

ABSENT:

RECUSAL:

ATTEST:

APPROVED:

City Clerk
(SEAL)

Mayor

APPROVED AS TO FORM:

City Attorney

RESOLUTION NO. 1042-21**A RESOLUTION OF THE CITY COUNCIL OF THE CITY
OF SUNNYVALE EXTENDING THE CITY
MANAGER/DIRECTOR OF EMERGENCY SERVICES'
PROCLAMATION OF EXISTENCE OF A LOCAL
EMERGENCY (COVID-19)**

WHEREAS, on March 12, 2020, the City Manager acting as the Director of Emergency Services proclaimed the existence of a local emergency within the City due to the novel coronavirus (COVID-19), a respiratory disease that may result in serious illness or death and is easily transmissible from person to person; and

WHEREAS, the City Council unanimously ratified and confirmed that proclamation of local emergency on March 17, 2020 by adopting Resolution 979-20; and

WHEREAS, the City Council reviewed and unanimously extended the proclamation of local emergency on April 7, 2020 (Resolution 981-20); May 26, 2020 (Resolution 994-20); July 14, 2020 (Resolution 1010-20); August 25, 2020 (Resolution 1016-20); October 13, 2020 (Resolution 1025-20); and December 8, 2020 (Resolution 1036-20); and

WHEREAS, the City Council has reviewed the need to continue the existence of a local emergency and finds that the conditions supporting the declaration of emergency related to COVID-19 continue to exist, for reasons including, but not limited to:

(1) All residents of the State of California and Santa Clara County continue to be subject to public health orders that limit or place restrictions on certain activities and require social distancing at all times. As of January 14, 2021, residents of the twelve Bay Area counties, including Santa Clara County, were subject to a regional-stay-at-home order, the State's most restrictive order, which requires residents to stay home as much as possible, and close or limit operation of many service and retail businesses. Santa Clara County residents are also required to quarantine after any non-essential travel greater than 150 miles; and

(2) COVID-19 case rates in California in December 2020 and January 2021 significantly increased, with case rates in most areas of California significantly exceeding prior peak numbers; and

(3) The increases in case rates have led to increased hospitalizations that are straining the capacity of hospitals and ICUs throughout the region and state; as of January 13, 2021, ICU capacity for the Bay Area Region was less than 10%; and

(4) As of January 13, 2021, the State of California recorded more than 2,780,000 cases of COVID-19 and more than 31,000 deaths; and

(5) As of January 13, 2021, Santa Clara County recorded more than 87,000 cases of COVID-19 and 1000 deaths; and

(5) COVID-19 continues to impact families with school-aged children. Schools in counties subject to the regional stay-at home order or the Purple/Widespread Tier that have not reopened for in-person learning currently may not open without a waiver for in-person learning until the counties in which they are located have been in the Red/Substantial Tier for at least fourteen consecutive days. Most public schools in Sunnyvale have not returned to full-time in-person learning, and many continue full-time distance learning; and

(6) Many Sunnyvale residents have experienced job loss or reduced hours due to COVID-19 closures and stay-at-home orders, and the unemployment rate in Santa Clara County in November 2020 (prior to implementation of the regional stay-at-home order, which required closure of certain businesses and limited others) was 5.1 percent, down from summer unemployment rates but nevertheless a substantial increase from pre-pandemic rates of 2.6 percent in January and February of 2020; and

(7) The City has and continues to experience a loss of revenue from several areas due to closures related to COVID-19; and

(8) The economic recovery from COVID-19 and its impacts are now estimated to be in terms of years not months; and

WHEREAS, the City Council finds that the COVID-19 situation, particularly with the dramatic increases in cases and deaths over the last two months, continues to create conditions of peril to the health, safety, and welfare of persons and property in the City of Sunnyvale and is still deemed to be beyond the control of normal protective services, personnel, equipment and facilities of and within the City.

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF SUNNYVALE THAT:

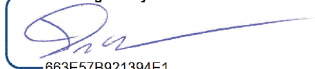
1. The City of Sunnyvale hereby proclaims the extension of the period of the Existence of a Local Emergency for an additional 60 days or unless sooner terminated.
2. The local emergency shall be deemed to continue to exist until its termination is proclaimed by the City Council of the City of Sunnyvale.
3. As required by California Government Code section 8630, the City Council shall review the need to continue the state of emergency every sixty (60) days until this resolution is terminated.

Adopted by the City Council at a regular meeting held on February 2, 2021, by the following vote:

AYES: KLEIN, HENDRICKS, LARSSON, MELTON, FONG, CISNEROS, DIN
NOES: NONE
ABSTAIN: NONE
ABSENT: NONE
RECUSAL: NONE

ATTEST:

DocuSigned by:



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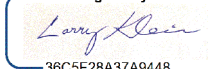
DAVID CARNAHAN

City Clerk

(SEAL)

APPROVED:

DocuSigned by:



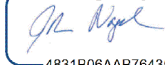
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LARRY KLEIN

Mayor

APPROVED AS TO FORM:

DocuSigned by:



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JOHN A. NAGEL

City Attorney

RESOLUTION NO. 1036-20**A RESOLUTION OF THE CITY COUNCIL OF THE CITY
OF SUNNYVALE EXTENDING THE CITY
MANAGER/DIRECTOR OF EMERGENCY SERVICES'
PROCLAMATION OF EXISTENCE OF A LOCAL
EMERGENCY (COVID-19)**

WHEREAS, on March 12, 2020, the City Manager acting as the Director of Emergency Services proclaimed the existence of a local emergency within the City due to the novel coronavirus (COVID-19), a respiratory disease that may result in serious illness or death and is easily transmissible from person to person; and

WHEREAS, the City Council unanimously ratified and confirmed that proclamation of local emergency on March 17, 2020 by adopting Resolution 979-20; and

WHEREAS, the City Council reviewed and unanimously extended the proclamation of local emergency on April 7, 2020 (Resolution 981-20); May 26, 2020 (Resolution 994-20); July 14, 2020 (Resolution 1010-20); August 25, 2020 (Resolution 1016-20); and October 13, 2020 (Resolution 1025-20); and

WHEREAS, the City Council has reviewed the need to continue the existence of a local emergency and finds that the conditions supporting the declaration of emergency related to COVID-19 continue to exist, for reasons including, but not limited to:

(1) All residents of the State of California and Santa Clara County continue to be subject to public health orders that continue to limit or place restrictions on certain activities and require social distancing at all times; and

(2) As of mid-November 2020, COVID-19 case rates throughout the United States, including California, were significantly increasing, with case rates in many areas exceeding prior peak numbers; and

(3) On November 16, 2020, Santa Clara County was moved from the State's COVID-19 Orange/Moderate Tier down to the Purple/Widespread Tier, due to increases in the test positivity rate and cases per day. These changes limit capacity or require closure of non-essential indoor operations, and impose more stringent health and safety requirements for activities than those required under the Orange Tier, for the purpose of limiting the spread of COVID-19; and

(4) As of November 16, 2020, the State of California recorded more than 1,019,000 cases of COVID-19 and more than 18,000 deaths; and

(5) As of November 16 2020, Santa Clara County recorded more than 28,300 cases of COVID-19 and 444 deaths; and

(6) All of the state and county case and death numbers are expected to continue to rise. Health officials at all levels of government are particularly concerned about the spread of COVID-19 during the upcoming winter holidays, and have urged people to limit holiday gatherings and nonessential travel; and

(5) COVID-19 continues to impact families with school-aged children. Schools in counties on the Purple/Widespread Tier that have not reopened for in-person learning may not open without a waiver for in-person learning until the counties in which they are located have been in the Red/Substantial Tier for at least fourteen consecutive days. Most public schools in Sunnyvale have not returned to full-time in-person learning, and many continue full-time distance learning; and

(6) Many Sunnyvale residents have experienced job loss or reduced hours due to COVID-19 closures and shelter-in-place orders, and the unemployment rate in Santa Clara County in September 2020 was 7.1 percent, down slightly from summer unemployment rates but still a substantial increase from pre-pandemic rates of 2.6 percent in January and February of 2020; and

(7) The City has and continues to experience a loss of revenue from several areas due to closures related to COVID-19; and

(8) The economic recovery from COVID-19 and its impacts are now estimated to be in terms of years not months; and

WHEREAS, the City Council finds that the COVID-19 situation is still causing conditions of peril to the health, safety, and welfare of persons and property in the City of Sunnyvale and is still deemed to be beyond the control of normal protective services, personnel, equipment and facilities of and within the City.

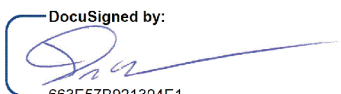
NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF SUNNYVALE THAT:

1. The City of Sunnyvale hereby proclaims the extension of the period of the Existence of a Local Emergency for an additional 60 days or unless sooner terminated.
2. The local emergency shall be deemed to continue to exist until its termination is proclaimed by the City Council of the City of Sunnyvale.
3. As required by California Government Code section 8630, the City Council shall review the need to continue the state of emergency every sixty (60) days until this resolution is terminated.

Adopted by the City Council at a regular meeting held on December 8, 2020, by the following vote:

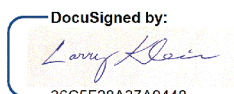
AYES: KLEIN, SMITH, LARSSON, HENDRICKS, MELTON, GOLDMAN, FONG
NOES: NONE
ABSTAIN: NONE
ABSENT: NONE
RECUSAL: NONE

ATTEST:

DocuSigned by:

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DAVID CARNAHAN
City Clerk
(SEAL)

APPROVED:

DocuSigned by:

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LARRY KLEIN
Mayor

APPROVED AS TO FORM:

DocuSigned by:

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JOHN A. NAGEL
City Attorney

RESOLUTION NO. 1025-20**A RESOLUTION OF THE CITY COUNCIL OF THE CITY
OF SUNNYVALE EXTENDING THE CITY
MANAGER/DIRECTOR OF EMERGENCY SERVICES'
PROCLAMATION OF EXISTENCE OF A LOCAL
EMERGENCY (COVID-19)**

WHEREAS, on March 12, 2020, the City Manager acting as the Director of Emergency Services proclaimed the existence of a local emergency within the City due to the novel coronavirus (COVID-19), a respiratory disease that may result in serious illness or death and is easily transmissible from person to person; and

WHEREAS, the City Council unanimously ratified and confirmed that proclamation of local emergency on March 17, 2020 by adopting Resolution 979-20; and

WHEREAS, the City Council reviewed and unanimously extended the proclamation of local emergency on April 7, 2020 by adopting Resolution 981-20; and

WHEREAS, the City Council reviewed and unanimously extended the proclamation of local emergency on May 26, 2020 by adopting Resolution 994-20; and

WHEREAS, the City Council reviewed and unanimously extended the proclamation of local emergency on July 14, 2020 by adopting Resolution 1010-20; and

WHEREAS, the City Council reviewed and unanimously extended the proclamation of local emergency on August 25, 2020 by adopting resolution 1016-20; and

WHEREAS, the City Council has reviewed the need to continue the existence of a local emergency and finds that the conditions supporting the declaration of emergency related to COVID-19 continue to exist, for reasons including, but not limited to:

- (1) All residents of the State of California and Santa Clara County are subject to public health orders that continue to limit or place restrictions on certain activities and require social distancing at all times; and
- (2) As of September 10, 2020, the State of California recorded more than 750,000 cases of COVID-19 and more than 13,900 deaths; and
- (3) As of September 10, 2020, Santa Clara County recorded more than 18,800 cases of COVID-19 and 263 deaths. On September 8, it was placed in the State's "Red Tier," meaning it has significant numbers of COVID-19 cases. Many non-essential indoor operations are required to be closed, and most other activities are subject to health and safety restrictions designed to limit the spread of COVID-19; and

- (4) All of the state and county case and death numbers are expected to continue to rise; and
- (5) The state has ordered that schools in counties on the watch list (now the “Purple Tier” for widespread COVID-19 cases), could not reopen for in-person learning until the counties in which they are located have been in the “Red Tier” for at least fourteen consecutive days; and
- (6) Many Sunnyvale residents have experienced job loss or reduced hours due to COVID-19 closures and shelter-in-place orders, and the unemployment rate in Santa Clara County in June 2020 was 10.8 percent, a substantial increase from pre-pandemic rates of 2.6 percent in January and February of 2020; and
- (7) The City has and continues to experience a loss of revenue from several areas due to closures related to COVID-19; and
- (8) The economic recovery from COVID-19 and its impacts are now estimated to be in terms of years not months; and

WHEREAS, the City Council finds that the COVID-19 situation is still causing conditions of peril to the health, safety, and welfare of persons and property in the City of Sunnyvale and is still deemed to be beyond the control of normal protective services, personnel, equipment and facilities of and within the City.

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF SUNNYVALE THAT:

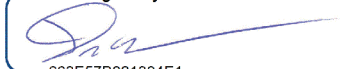
1. The City of Sunnyvale hereby proclaims the extension of the period of the Existence of a Local Emergency for an additional 60 days or unless sooner terminated.
2. The local emergency shall be deemed to continue to exist until its termination is proclaimed by the City Council of the City of Sunnyvale.
3. As required by California Government Code section 8630, the City Council shall review the need to continue the state of emergency every sixty (60) days until this resolution is terminated.

Adopted by the City Council at a regular meeting held on October 13, 2020, by the following vote:

AYES: KLEIN, SMITH, LARSSON, HENDRICKS, MELTON, GOLDMAN, FONG
NOES: NONE
ABSTAIN: NONE
ABSENT: NONE
RECUSAL: NONE

ATTEST:

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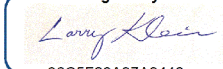
DAVID CARNAHAN

City Clerk

(SEAL)

APPROVED:

DocuSigned by:



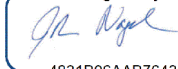
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LARRY KLEIN

Mayor

APPROVED AS TO FORM:

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JOHN A. NAGEL

City Attorney

RESOLUTION NO. 1016-20**A RESOLUTION OF THE CITY COUNCIL OF THE CITY
OF SUNNYVALE EXTENDING THE CITY
MANAGER/DIRECTOR OF EMERGENCY SERVICES'
PROCLAMATION OF EXISTENCE OF A LOCAL
EMERGENCY (COVID-19)**

WHEREAS, on March 12, 2020, the City Manager acting as the Director of Emergency Services proclaimed the existence of a local emergency within the City due to the novel coronavirus (COVID-19), a respiratory disease that may result in serious illness or death and is easily transmissible from person to person; and

WHEREAS, the City Council unanimously ratified and confirmed that proclamation of local emergency on March 17, 2020 by adopting Resolution 979-20; and

WHEREAS, the City Council reviewed and unanimously extended the proclamation of local emergency on April 7, 2020 by adopting Resolution 981-20; and

WHEREAS, the City Council reviewed and unanimously extended the proclamation of local emergency on May 26, 2020 by adopting Resolution 994-20; and

WHEREAS, the City Council reviewed and unanimously extended the proclamation of local emergency on July 14, 2020 by adopting Resolution 1010-20; and

WHEREAS, the City Council has reviewed the need to continue the existence of a local emergency and finds that the conditions supporting the declaration of emergency related to COVID-19 continue to exist, for reasons including, but not limited to:

- (1) All residents of the State of California and Santa Clara County are subject to public health orders that continue to limit or place restrictions on certain activities and require social distancing at all times; and
- (2) As of August 3, 2020, the State of California recorded more than 509,000 cases of COVID-19 and more than 9,000 deaths; and
- (3) As of August 3, 2020, Santa Clara County recorded more than 10,000 cases of COVID-19 and 191 deaths. It has been on the State COVID-19 "watch list" since July 12, in large part due to increased hospitalizations; and
- (4) All of the state and county case and death numbers are expected to continue to rise; and
- (5) On July 17, 2020, Governor Newsom ordered that schools in counties on the watch list, including Santa Clara County, could not reopen for in-person learning until the counties in which they are located are off the watch list for fourteen consecutive days; and
- (6) Many Sunnyvale residents have experienced job loss or reduced hours due to COVID-19 closures and shelter-in-place orders, and the unemployment rate in Santa Clara County in June 2020 was 10.8 percent, a substantial increase from pre-pandemic rates of 2.6 percent in January and February of 2020; and

- (7) The City has and continues to experience a loss of revenue from several areas due to closures related to COVID-19; and
- (8) The economic recovery from COVID-19 and its impacts are now estimated to be in terms of years not months; and

WHEREAS, the City Council finds that the COVID-19 situation is still causing conditions of peril to the health, safety, and welfare of persons and property in the City of Sunnyvale and is still deemed to be beyond the control of normal protective services, personnel, equipment and facilities of and within the City.

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF SUNNYVALE THAT:

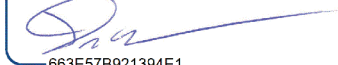
- 1. The City of Sunnyvale hereby proclaims the extension of the period of the Existence of a Local Emergency for an additional 60 days or unless sooner terminated.
- 2. The local emergency shall be deemed to continue to exist until its termination is proclaimed by the City Council of the City of Sunnyvale.
- 3. As required by California Government Code section 8630, the City Council shall review the need to continue the state of emergency every sixty (60) days until this resolution is terminated.

Adopted by the City Council at a regular meeting held on August 25, 2020, by the following vote:

AYES: KLEIN, SMITH, LARSSON, HENDRICKS, MELTON, GOLDMAN, FONG
NOES:
ABSTAIN:
ABSENT:
RECUSAL:

ATTEST:

DocuSigned by:



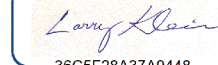
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DAVID CARNAHAN

City Clerk
(SEAL)

APPROVED:

DocuSigned by:



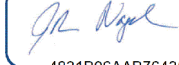
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LARRY KLEIN

Mayor

APPROVED AS TO FORM:

DocuSigned by:



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JOHN A. NAGEL

City Attorney

RESOLUTION NO. 1010-20**A RESOLUTION OF THE CITY COUNCIL OF THE CITY
OF SUNNYVALE EXTENDING THE CITY
MANAGER/DIRECTOR OF EMERGENCY SERVICES'
PROCLAMATION OF EXISTENCE OF A LOCAL
EMERGENCY (COVID-19)**

WHEREAS, on March 12, 2020, the City Manager acting as the Director of Emergency Services proclaimed the existence of a local emergency within the City due to the novel coronavirus (COVID-19), a respiratory disease that may result in serious illness or death and is easily transmissible from person to person; and

WHEREAS, the City Council unanimously ratified and confirmed that proclamation of local emergency on March 17, 2020 by adopting Resolution 979-20; and

WHEREAS, the City Council reviewed and unanimously extended the proclamation of local emergency on April 7, 2020 by adopting Resolution 981-20; and

WHEREAS, the City Council reviewed and unanimously extended the proclamation of local emergency on May 26, 2020 by adopting Resolution 994-20; and

WHEREAS, the City Council has reviewed the need to continue the existence of a local emergency and finds that the conditions supporting the City's original declaration of emergency still exist because all residents of the State of California and Santa Clara County are subject to public health orders that continue to limit or place restrictions on certain activities and require social distancing at all times; and

WHEREAS, the City Council finds that the COVID-19 situation is still causing conditions of peril to the health, safety, and welfare of persons and property in the City of Sunnyvale and is still deemed to be beyond the control of normal protective services, personnel, equipment and facilities of and within the City.

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF SUNNYVALE THAT:

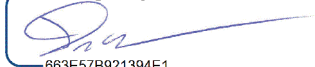
1. The City of Sunnyvale hereby proclaims the extension of the period of the Existence of a Local Emergency for an additional 60 days or unless sooner terminated.
2. The local emergency shall be deemed to continue to exist until its termination is proclaimed by the City Council of the City of Sunnyvale.
3. As required by California Government Code section 8630, the City Council shall review the need to continue the state of emergency every sixty (60) days until this resolution is terminated.

Adopted by the City Council of the City of Sunnyvale at a regular meeting held on July 14, 2020 by the following vote:

AYES: KLEIN, SMITH, LARSSON, HENDRICKS, MELTON, GOLDMAN, FONG
NOES:
ABSTAIN:
ABSENT:
RECUSAL:

ATTEST:

DocuSigned by:



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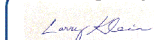
DAVID CARNAHAN

City Clerk

(SEAL)

APPROVED:

DocuSigned by:



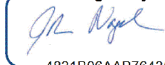
36C5F28A37A9448...

LARRY KLEIN

Mayor

APPROVED AS TO FORM:

DocuSigned by:



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JOHN A. NAGEL

City Attorney

RESOLUTION NO. 994-20**A RESOLUTION OF THE CITY COUNCIL OF THE CITY
OF SUNNYVALE EXTENDING THE CITY
MANAGER/DIRECTOR OF EMERGENCY SERVICES'
PROCLAMATION OF EXISTENCE OF A LOCAL
EMERGENCY (COVID-19)**

WHEREAS, on March 12, 2020, the City Manager acting as the Director of Emergency Services proclaimed the existence of a local emergency within the City due to the novel coronavirus (COVID-19), a respiratory disease that may result in serious illness or death and is easily transmissible from person to person; and

WHEREAS, the City Council unanimously ratified and confirmed that proclamation of local emergency on March 17, 2020 by adopting Resolution 979-20; and

WHEREAS, the City Council reviewed and unanimously extended the proclamation of local emergency on April 7, 2020 by adopting Resolution 981-20; and

WHEREAS, the City Council has reviewed the need to continue the existence of a local emergency and finds that the conditions supporting the City's original declaration of emergency still exist. Such conditions include, but are not limited to, the fact that all residents of the State of California are subject an executive order issued by Governor Newsom on March 19, 2020 to stay at home, and that all residents of Santa Clara County are subject to a revised public health order to stay at home through May 31, 2020 and practice social distancing at all times; and

WHEREAS, the City Council finds that the COVID-19 situation is still causing conditions of peril to the health, safety, and welfare of persons and property in the City of Sunnyvale and is still deemed to be beyond the control of normal protective services, personnel, equipment and facilities of and within the City.

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF SUNNYVALE THAT:

1. The City of Sunnyvale hereby proclaims the extension of the period of the Existence of a Local Emergency for an additional 60 days or unless sooner terminated.
2. The local emergency shall be deemed to continue to exist until its termination is proclaimed by the City Council of the City of Sunnyvale.
3. As required by California Government Code section 8630, the City Council shall review the need to continue the state of emergency every sixty (60) days until this resolution is terminated.

Adopted by the City Council at a regular meeting held on May 26, 2020, by the following vote:

AYES: KLEIN, SMITH, LARSSON, HENDRICKS, MELTON, GOLDMAN

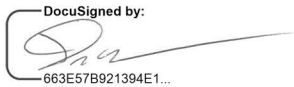
NOES:

ABSTAIN:

ABSENT: FONG

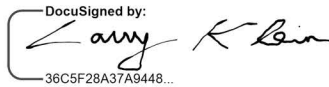
RECUSAL:

ATTEST:

DocuSigned by:

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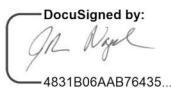
DAVID CARAHAN
City Clerk
(SEAL)

APPROVED:

DocuSigned by:

36C5F28A37A9448...

LARRY KLEIN
Mayor

APPROVED AS TO FORM:

DocuSigned by:

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JOHN A. NAGEL
City Attorney

RESOLUTION NO. 981-20

**A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF SUNNYVALE
EXTENDING THE CITY MANAGER/DIRECTOR OF EMERGENCY SERVICES'
PROCLAMATION OF EXISTENCE OF A LOCAL EMERGENCY (COVID-19)**

WHEREAS, section 2.16.040 of the Sunnyvale Municipal Code empowers the Director of Emergency Services/City Manager to proclaim a local emergency if the City Council is not in session and requires that the City Council shall take action to ratify the proclamation within seven days; and

WHEREAS, on March 12, 2020, the City Manager acting as the Director of Emergency Services proclaimed the existence of a local emergency within the City due to the novel coronavirus (COVID-19), a respiratory disease that may result in serious illness or death and is easily transmissible from person to person; and

WHEREAS, the City Council unanimously ratified and confirmed that proclamation of local emergency on March 17, 2020 by adopting Resolution 979-20; and

WHEREAS, Resolution 979-20 provided that the City would review the emergency declaration 30 days from March 17, 2020, and Section 8630 of the California Emergency Services Act requires that the City Council review, at least every 60 days until such local emergency is terminated, the need for continuing the local emergency; and

WHEREAS, the City Council has reviewed the need to continue the existence of a local emergency and finds that the conditions supporting the City's original declaration of emergency still exist. Such conditions include, but are not limited to, the fact that all residents of the State of California are subject an executive order issued by Governor Newsom on March 19, 2020 to stay at home, and that all residents of Santa Clara County are subject to a revised public health order issued on March 31, 2020 to stay at home through May 3, 2020 and practice social distancing at all times; and

WHEREAS, the City Council finds that the COVID-19 situation is still causing conditions of peril to the health, safety, and welfare of persons and property in the City of Sunnyvale and is still deemed to be beyond the control of normal protective services, personnel, equipment and facilities of and within the City.

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF SUNNYVALE THAT:

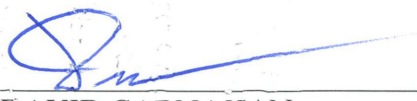
1. The City of Sunnyvale hereby proclaims the extension of the period of the Existence of a Local Emergency for an additional 60 days or unless sooner terminated.
2. The local emergency shall be deemed to continue to exist until its termination is proclaimed by the City Council of the City of Sunnyvale.

3. As required by California Government Code section 8630, the City Council shall review the need to continue the state of emergency every sixty (60) days until this resolution is terminated.

Adopted by the City Council at a regular meeting held on April 7, 2020 by the following vote:

AYES: KLEIN, MELTON, LARSSON, HENDRICKS, SMITH, GOLDMAN, FONG
NOES: NONE
ABSTAIN: NONE
ABSENT: NONE
RECUSAL: NONE

ATTEST:



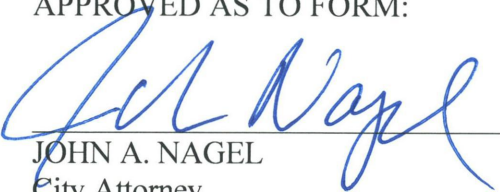
DAVID CARAHAN
City Clerk
(SEAL)

APPROVED:



LARRY KLEIN
Mayor

APPROVED AS TO FORM:



JOHN A. NAGEL
City Attorney

RESOLUTION NO. 979-20

**A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF SUNNYVALE
CONFIRMING THE CITY MANAGER/DIRECTOR OF EMERGENCY SERVICES'
PROCLAMATION OF EXISTENCE OF A LOCAL EMERGENCY (COVID-19)**

WHEREAS, section 2.16.040 of the Sunnyvale Municipal Code empowers the Director of Emergency Services/City Manager to proclaim a local emergency if the City Council is not in session and requires that the City Council shall take action to ratify the proclamation within seven days; and

WHEREAS, the novel coronavirus (COVID-19) is a respiratory disease that may result in serious illness or death and is easily transmissible from person to person; and

WHEREAS, on January 31, 2020, the United States Department of Public Health and Human Services Secretary Alex Azar declared a public emergency for the novel coronavirus (COVID-19) beginning on January 27, 2020; and

WHEREAS, on March 4, 2020, Governor Gavin Newsom declared a state of emergency in the State of California due to the number of confirmed cases of COVID-19 in the State; and

WHEREAS, on March 5, 2020, Santa Clara County declared an emergency due to the number of confirmed cases of COVID-19 in the County, thus enabling Santa Clara County to take extraordinary measures; and

WHEREAS, on March 9, 2020, the Santa Clara County Department of Public Health issued a mandatory order prohibiting public gatherings of more than 1000 people, effective March 11, 2020; and

WHEREAS, on March 11, 2020, the World Health Organization declared the COVID-19 outbreak a global pandemic; Santa Clara County announced that the number of confirmed COVID-19 cases in the County had increased to 48 from 24 on March 6, 2020; and the CDC and Governor of the State of California issued recommendations to cancel or postpone gatherings of more than 20 people; and

WHEREAS, on March 12, 2020, the City Manager acting as the Director of Emergency Services proclaimed the existence of a local emergency within the City; and

WHEREAS, as of March 12, 2020, more than 120,000 people in more than 100 countries have been infected by COVID-19, and Santa Clara County was among jurisdictions in the United States with the highest case numbers; and

WHEREAS, COVID-19 therefore has and will continue to cause conditions of peril to the health, safety, and welfare of persons and property in the City of Sunnyvale; and

WHEREAS, preparing for, responding to, mitigating, and recovering from the spread of COVID-19 requires the City to divert resources from normal day-to-day operations, and has and will continue to impose extraordinary requirements on and expenses to the City; and

WHEREAS, the City Council hereby finds that the above described conditions related to the COVID-19 outbreak did warrant and necessitate the proclamation of the existence of a local emergency in Sunnyvale.

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF SUNNYVALE THAT:

1. The Proclamation of Existence of a Local Emergency, as issued by the Director of Emergency Services/City Manager, and attached as Exhibit A to this Resolution, is hereby ratified and confirmed.
2. The local emergency shall be deemed to continue to exist until its termination is proclaimed by the City Council of the City of Sunnyvale.
3. As required by law, the City Council shall review the need to continue the state of emergency every thirty (30) days until this resolution is terminated.

Adopted by the City Council at a regular meeting held on March 17, 2020, by the following vote:

AYES: KLEIN, SMITH, LARSSON, HENDRICKS, SMITH, GOLDMAN, FONG


NOES:

ABSTAIN:

ABSENT:

RECUSAL:

ATTEST:



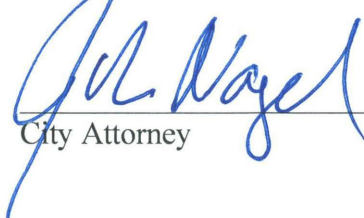
City Clerk
(SEAL)

APPROVED:



Mayor

APPROVED AS TO FORM:



City Attorney

EXHIBIT A

**PROCLAMATION OF A LOCAL EMERGENCY
BY THE CITY OF SUNNYVALE CITY MANAGER/EMERGENCY SERVICES DIRECTOR
REGARDING COVID-19**

WHEREAS, Chapter 2.16 of the Sunnyvale Municipal Code empowers the Director of Emergency Services to proclaim the existence or threatened existence of a local emergency when the City is affected by or likely to be affected by a public calamity and the City Council is not in session; and

WHEREAS, the novel coronavirus (COVID-19) is a respiratory disease that may result in serious illness or death and is easily transmissible from person to person; and

WHEREAS, on January 31, 2020, the United States Department of Public Health and Human Services Secretary Alex Azar declared a public emergency for the novel coronavirus (COVID-19) beginning on January 27, 2020; and

WHEREAS, on March 4, 2020, Governor Gavin Newsom declared a state of emergency in the State of California due to the number of confirmed cases of COVID-19 in the State; and

WHEREAS, on March 5, 2020, Santa Clara County declared an emergency due to the number of confirmed cases of COVID-19 in the County, thus enabling Santa Clara County to take extraordinary measures; and

WHEREAS, on March 11, the World Health Organization declared the novel coronavirus outbreak a global pandemic; and

WHEREAS, the health, safety and welfare of Sunnyvale residents, businesses, visitors and staff is of utmost importance to the City and additional future measures may be needed to protect the community; and

WHEREAS, the City may require additional assistance in the future, and a formal declaration of emergency allows additional resources to flow to the City in a timely fashion; and

WHEREAS, existing conditions related to the COVID-19 warrant the proclamation of emergency, as defined by Sunnyvale Municipal Code Chapter 2.16; and

WHEREAS, the Director of Emergency Services of the City of Sunnyvale does hereby find that extraordinary measures are required to protect the public health, safety, and of persons and property within the City of Sunnyvale, that are or are likely to be beyond the control or capability of the services, personnel, equipment, and facilities of the City; and

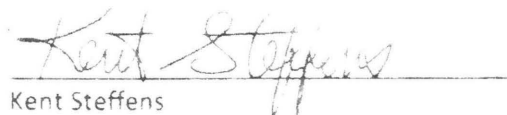
WHEREAS, the City Council is not in session and cannot be immediately called into session.

NOW, THEREFORE, IT IS HEREBY PROCLAIMED that a local emergency now exists throughout the City of Sunnyvale due to the outbreak of COVID-19; and

IT IS FURTHER PROCLAIMED AND ORDERED that during the existence of the local emergency the powers, functions, and duties of the Director of Emergency Services and the emergency organization of this City shall be those prescribed by state law, by the Charter, by ordinances and resolutions of this City, and by the City of Sunnyvale Emergency Plan, as approved by the City Council; and

IT IS FURTHER PROCLAIMED AND ORDERED that this emergency proclamation shall expire in 7 days after issuance unless confirmed and ratified by the City Council of the City of Sunnyvale.

Date: March 12, 2020



Kent Steffens

Director of Emergency Services/City Manager



City of Sunnyvale

Agenda Item

21-0364

Agenda Date: 3/30/2021

REPORT TO COUNCIL

SUBJECT

Adopt a Resolution Denouncing Anti-Asian Hate

BACKGROUND & DISCUSSION

On June 9, 2020, the City Council adopted Resolution No. 997-20 Denouncing Xenophobia and Anti-Asian Sentiment Due to the COVID-19 Pandemic and Affirming City of Sunnyvale Support of and Commitment to the Well-Being and Safety of Asian American Communities (See Attachment No. 2).

San Francisco State University's Asian American Studies Department and Asian American Pacific Islander (AAPI) civil rights groups launched the online reporting center Stop AAPI Hate (stopaapihate.org) to collect and track incidents of anti-AAPI hate violence, harassment, discrimination, shunning, and child bullying in California and nationwide. Since its launch on March 19, 2019 there have been nearly 3,800 incidents reported nationwide.

The recent spike in attacks against Asians in the Bay Area is alarming. A recent mass shooting at several Atlanta-area massage parlors has contributed to fears in the Asian American and Pacific Islander (AAPI) community.

The City wishes to reaffirm its commitment to the safety and well-being of AAPI community members and supporting victims of hate incidents. The City denounces xenophobia and all forms of hate and bigotry. This stance aligns with the City's Statement on Our Values and Public Service adopted by Council in 2017 (See Attachment No. 3).

EXISTING POLICY

General Plan, Chapter 2 Community Vision, Goal IV Safe and Healthy Community:

To maintain Sunnyvale's traditional high level of public health and safety, so all residents, employees and visitors feel safe at all times and in all places in the City.

General Plan, Chapter 6 Safety and Noise, Goal SN-3 Safe and Secure City:

Ensure a safe and secure environment for people and property in the community by providing effective public safety response and prevention and education services.

ENVIRONMENTAL REVIEW

The action being considered does not constitute a "project" with the meaning of the California Environmental Quality Act ("CEQA") pursuant to CEQA Guidelines section 15378 (a) as it has no potential for resulting in either a direct physical change in the environment, or a reasonably foreseeable indirect physical change in the environment.

FISCAL IMPACT

None

PUBLIC CONTACT

Public contact was made by posting the Council agenda on the City's official-notice bulletin board outside City Hall, Sunnyvale Public Library and Department of Public Safety. In addition, the agenda and report are available at the Office of the City Clerk and on the City's website.

ALTERNATIVES

1. Adopt a Resolution denouncing anti-Asian hate.
2. Adopt a Resolution denouncing anti-Asian hate, with modifications.
3. Do not adopt a Resolution denouncing anti-Asian hate.
4. Take another action as directed by Council.

RECOMMENDATION

Alternative 1: Adopt a Resolution denouncing anti-Asian hate.

Prepared by: Jaqui Guzmán, Deputy City Manager

Reviewed by: Teri Silva, Assistant City Manager

Approved by: Kent Steffens, City Manager

ATTACHMENTS

1. Draft Resolution
2. Resolution No. 997-20
3. Statement on Our City Values and Public Service

DRAFT 3/19/2021



RESOLUTION NO. _____

**A RESOLUTION OF THE CITY COUNCIL OF THE CITY
OF SUNNYVALE DENOUNCING ANTI-ASIAN HATE
ARISING DUE TO THE COVID-19 PANDEMIC AND
AFFIRMING ITS COMMITMENT TO THE WELL-BEING
AND SAFETY OF ASIAN-PACIFIC AMERICAN
COMMUNITIES**

WHEREAS, on February 7, 2017, the City of Sunnyvale adopted a Statement of Our Values and Public Service stating that we take pride in the incredible diversity of people who form the rich fabric of our city, and embracing our community of many backgrounds and beliefs; and

WHEREAS, Sunnyvale residents came together to strongly denounce hate and bigotry after a 2019 hate crime targeting residents perceived to be Muslim; and

WHEREAS, on June 9, 2020, the City of Sunnyvale adopted a resolution denouncing xenophobia and anti-Asian sentiment arising due to fears of the COVID-19 pandemic and affirming its commitment to the well-being and safety of Asian American communities; and

WHEREAS, the City of Sunnyvale wishes to affirm its commitment to the well-being and safety of Asian American community members and ensure they know they are not alone and that they can speak out to help stop the spread of bigotry; and

WHEREAS, a United Nations report released last August correlated a documented rise in attacks against Asian Americans to former President Donald Trump's seeming legitimization and normalization of racism and xenophobia against people from China, especially during the current global pandemic, through the use of inflammatory rhetoric including referring to COVID-19 publicly as the "Chinese Virus" and "Kung Flu"; and

WHEREAS, despite a new Presidential Administration in office since January 20, 2021, incidents of hate crimes against Asian Americans have continued, including a recent surge in reported attacks in our own San Francisco Bay Area, some notable for their violence and cruelty; and

WHEREAS, the recent rise of violence against Asian Americans is part of a larger history of violence against communities of color, and we must work together to create community-centered solutions that stop the violence in all communities; and

WHEREAS, in Santa Clara County, one of the first minority-majority counties in the nation, Asians have been the largest racial group since 2014, and currently, Asians make up 37 percent of the county's population and 47 percent of Sunnyvale's population.

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF SUNNYVALE THAT the City Council of the City of Sunnyvale stands with the Asian American and Pacific Islander (AAPI) community and calls on all constituents and leaders to join us in condemning racist attacks against Asian Americans, in all forms, and renewing our commitment to speak out against such attacks, defend and protect those targeted, and seek out and punish those who commit hate crimes against AAPI members of our community.

Adopted by the City Council at a regular meeting held on _____, by the following vote:

AYES:
NOES:
ABSTAIN:
ABSENT:
RECUSAL:

ATTEST:

APPROVED:

City Clerk
(SEAL)

Mayor

APPROVED AS TO FORM:

City Attorney

RESOLUTION NO. 997-20

**A RESOLUTION OF THE CITY COUNCIL OF THE CITY
OF SUNNYVALE DENOUNCING XENOPHOBIA AND
ANTI-ASIAN SENTIMENT ARISING DUE TO FEARS OF
THE COVID-19 PANDEMIC AND AFFIRMING ITS
COMMITMENT TO THE WELL-BEING AND SAFETY OF
ASIAN-PACIFIC AMERICAN COMMUNITIES**

WHEREAS, Asian-Pacific American communities are suffering acts of discrimination, hate crimes, and microaggressions due to fears of and misinformation related to COVID-19; and

WHEREAS, such anti-Asian rhetoric and sentiment is inaccurate and stigmatizing, tends to incite fear and xenophobia, and put the lives of Asian-Pacific Americans at risk; and

WHEREAS, since the December 2019 outbreak of the COVID-19 virus in Wuhan, China, the infection has grown to more than three million confirmed cases and more than 200,000 confirmed deaths worldwide, and in the United States, over one million cases have been reported as of May 3, 2020; and

WHEREAS, scientists have confirmed that the COVID-19 disease does not respect borders and is not caused by ethnicity, and the World Health Organization has cautioned against using geographic descriptors because they can fuel ethnic discrimination; and

WHEREAS, as the COVID-19 virus has spread, numerous Asian-Pacific Americans have reported experiencing microaggressions, racial profiling, hate incidents, and in some cases, hate violence; and

WHEREAS, in an effort to quantify and combat hate crimes targeting Asian-Pacific Americans stemming from people's reaction to the COVID-19 pandemic, the Asian Pacific Policy and Planning Council (A3PCON) and Chinese for Affirmative Action (CAA) established an online reporting center available at <http://www.asianpacificpolicyandplanningcouncil.org/stop-aapi-hate/> where people can fill out a form (available in different languages, including English, traditional Chinese, simplified Chinese, and Korean) to report the location and type of discrimination experienced and other details; and

WHEREAS, A3PCON and CAA plan to use the information reported to create targeted education and media campaigns, provide resources to those affected, and advocate for policies to curb racial targeting; and

WHEREAS, in Santa Clara County, one of the first minority-majority counties in the nation, Asians have been the largest racial group since 2014, and currently, Asians make up nearly 38 percent of the county's population.


NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF SUNNYVALE THAT:

1. The City Council of the City of Sunnyvale denounces xenophobia and anti-Asian sentiment. The City of Sunnyvale joins cities, counties, and states across the country in affirming its commitment to the safety and well-being of Asian-Pacific Americans and in combating hate crimes targeting Asian-Pacific Americans. The City of Sunnyvale remains committed to protecting residents and victims of hate.

Adopted by the City Council at a regular meeting held on June 9, 2020, by the following vote:

AYES: KLEIN, SMITH, LARSSON, HENDRICKS, MELTON, GOLDMAN, FONG
NOES:
ABSTAIN:
ABSENT:
RECUSAL:

ATTEST:




DAVID CARNAHAN
City Clerk
(SEAL)

APPROVED:



LARRY KLEIN
Mayor

APPROVED AS TO FORM:



JOHN A. NAGEL
City Attorney

CITY OF SUNNYVALE

The Heart of Silicon ValleySM

456 WEST OLIVE AVENUE SUNNYVALE, CALIFORNIA 94086 (408) 730-7473

Statement on Our City Values and Public Service

Glenn Hendricks
Mayor

Gustav Larsson
Vice Mayor

Jim Griffith
Councilmember

Larry Klein
Councilmember

Nancy Smith
Councilmember

Russ Melton
Councilmember

Michael S. Goldman
Councilmember

As the “Heart of the Silicon Valley,” Sunnyvale is a thriving community that is a highly desirable place to live, work, and play. Comprised of approximately 150,000 residents and about 9,000 active businesses, we are a community that emulates the spirit of all American ideals particularly worth noting now:

- Valuing our individual experiences and supporting and protecting people of any race, religion, ancestry, ethnicity, ability, gender, sexual orientation, or gender identity;
- Honoring cultural and religious traditions; and
- Valuing and embracing the diverse backgrounds, race, nationalities, ancestries, and ethnicities.

Sunnyvale has a large representation of immigrants from all over the world that are part of our thriving community. Per the Census 2015 American Community Survey (for 2011-2015), over 48% of Sunnyvale’s population is made up of foreign-born residents representing over 25 countries, with about 45% speaking languages other than English. Our local economy reflects both the diversity of our community, as reflected in the rich mixture of restaurants, small businesses, and availability of wide-ranging diverse goods and services. This is matched by our widely recognized global technology businesses with offices in Sunnyvale that depend on the talent and skills of members of the local and international community, such as: Amazon, Apple, GoDaddy, Google, LinkedIn, Lockheed Martin, Microsoft, Plug and Play Tech Center, Tesla, Yahoo!, and many more that contribute to the innovation economy.

We strongly believe that diversity and inclusiveness are strengths that are part of the foundation of Sunnyvale’s incredible local history. Recognized as the “nation’s safest city” (Smart Asset) and the “#1 best performing cities” (Milken Institute), Sunnyvale’s thriving, diverse community and local economy help shape the world’s innovation, and are key components of what make Sunnyvale a great place to live and work. We are proud of Sunnyvale’s record of leading the innovation economy and recognize the significant role that our immigrant population has played in advancing these efforts.

Likewise, Sunnyvale's municipal practices embrace these principles and our employees uphold them each day. The City's General Plan highlights our commitment to safety for all community members and specifically states the goal to ensure a safe and secure environment for people and property in the community by providing effective public safety response and prevention. Through strong local partnerships, the City's service delivery ethic ensures that we serve the needs of all community members with respect, professionalism, dignity, and fair and equitable treatment, regardless of race, religion, ancestry, ethnicity, ability, gender, sexual orientation, or gender identity. Specifically, all City employees are responsible to serve all members of the public with courtesy, impartiality, fairness, and equality under the law at all times¹. This model of inclusion and integration is critical to the general welfare of the City, and supports and protects our residents, business community, and quality of life. Indeed, this philosophy is the underpinning of the remarkable standard of public service that the community continues to receive and expect.

For these reasons, the City of Sunnyvale affirms its long history of inclusiveness and its public service ethic of helping our City continue to thrive as a culturally diverse community where all are welcome, safe and acknowledged.



Glenn Hendricks
Mayor

Authorized by a unanimous vote of the full City Council on February 7, 2017

¹ Administrative Policy, Chapter 1. General Management and Chapter 3. Personnel



City of Sunnyvale

Agenda Item

21-0347

Agenda Date: 3/30/2021

REPORT TO COUNCIL

SUBJECT

Introduce an Ordinance Establishing a Public Process for Redistricting

BACKGROUND

Sunnyvale voters adopted a six-district Council election system with a directly-elected mayor (Measure B) on March 3, 2020. As part of Measure B (Sunnyvale City Charter section 609(b)), Council must adopt an ordinance establishing a public process for redistricting. Staff provided an overview of the redistricting process at a study session on January 12. Council discussed options at its Council Strategic Session on January 28. It provided direction on key components of a draft ordinance on February 2, February 23, and March 16. The attached draft Ordinance (Attachment 1) includes the most recent changes directed by the Council on March 16.

At the March 16 Council Meeting, the City Council also directed staff to return to Council on March 30 for the consideration of the introduction of an Ordinance Establishing a Public Process for Redistricting.

EXISTING POLICY

Sunnyvale City Charter section 609(c): "The City Council shall adopt an ordinance establishing a public process for redistricting. Such ordinance shall be adopted in sufficient time for redistricting following release of the 2020 federal Census."

ENVIRONMENTAL REVIEW

The action being considered does not constitute a "project" with the meaning of the California Environmental Quality Act ("CEQA") pursuant to CEQA Guidelines sections 15320, 15378 and 15061 (b)(3) as it is an organizational structure change and does not have the potential to result in either a direct or reasonably foreseeable indirect physical change in the environment.

DISCUSSION

Staff prepared a draft ordinance establishing a restricting process based on Council direction. Council discussed the redistricting process at five public meetings, giving community members several opportunities to provide feedback. Council accepted many of the suggestions given by members of the public, which are incorporated into the draft ordinance.

The Ordinance Establishing a Public Process for Redistricting establishes a seven-member Redistricting Commission with three to six alternates. Commissioners would be appointed by Council using an open public process. The responsibilities of the Redistricting Commission will be to:

- Hold public hearings to gather public input on district boundary preferences, including communities of interest
- Accept map proposals from the public with the option of designing its own map proposal/s

- Evaluate and refine map proposals with community input
- Host one joint meeting with the City Council to discuss map proposals before the Commission makes its final map selections
- Recommend three to five map proposals to Council by the deadline established in a Redistricting Outreach Plan adopted by Council

The City Council would adopt the final district plan, consisting of a district boundary map and sequencing of elections for each district. Council would have the option of adopting a map recommended by the Redistricting Commission with a simple majority vote. Alternatively, Council, by supermajority vote, could send back one or more maps with comments to the Redistricting Commission for reconsideration. The Redistricting Commission would consider Council's feedback and have the option to revise and resubmit its map proposal/s or reaffirm its recommended map/s and resubmit without changes. Council would then be required to adopt one of the map options recommended by the Redistricting Commission.

Staff anticipates releasing the Redistricting Commissioner Application on April 12 with a deadline of May 31. Staff also plans to present a Redistricting Outreach Plan for Council consideration and approval on May 4.

FISCAL IMPACT

There is no fiscal impact at this time. Staff estimates that the public process for redistricting will cost approximately \$150,000. Staff will request funding at a later time.

PUBLIC CONTACT

Public contact was made by posting the Council agenda on the City's official-notice bulletin board outside City Hall, Sunnyvale Public Library and Department of Public Safety. In addition, the agenda and report are available at the Office of the City Clerk and on the City's website.

ALTERNATIVES

1. Introduce the Ordinance Establishing a Public Process for Redistricting as shown in Attachment 1.
2. Introduce the Ordinance Establishing a Public Process for Redistricting as shown in Attachment 1 with modifications.
3. Do not introduce the proposed ordinance and provide alternative direction to staff.

STAFF RECOMMENDATION

Alternative 1: Introduce the Ordinance Establishing a Public Process for Redistricting as shown in Attachment 1 to the report.

Prepared by: Jaqui Guzmán, Deputy City Manager and Melissa Tronquet, Assistant City Attorney
Reviewed by: Teri Silva, Assistant City Manager
Approved by: Kent Steffens, City Manager

ATTACHMENTS

1. Draft Ordinance
2. Incremental Redline of Draft Ordinance

ORDINANCE NO. _____

**AN ORDINANCE OF THE CITY COUNCIL OF THE CITY
OF SUNNYVALE TO ADD CHAPTER 2.31
(REDISTRICTING) OF TITLE 2 (ADMINISTRATION AND
PERSONNEL) OF THE SUNNYVALE MUNICIPAL CODE
TO ESTABLISH A PUBLIC PROCESS FOR
REDISTRICTING**

WHEREAS, on March 3, 2020, Sunnyvale voters approved an amendment to the Sunnyvale City Charter to implement a six-district Council election system with a directly-elected mayor (Measure B); and

WHEREAS, Sunnyvale City Charter section 609(b) requires the City Council to adopt an ordinance establishing a public process for redistricting; and

WHEREAS, the City Council discussed options for a public redistricting process at its Council Strategic Session on January 28, and provided direction on key components of a draft ordinance on February 2, February 23, and March 16; and

WHEREAS, to implement the requirement of Sunnyvale City Charter section 609(b), the City of Sunnyvale desires to amend Title 2 (Administration and Personnel) of the Sunnyvale Municipal Code to add a new chapter establishing a public process for redistricting.

NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF SUNNYVALE DOES ORDAIN AS FOLLOWS:

SECTION 1. Chapter 2.31 ADDED. Chapter 2.31 (Redistricting) of Title 2 (Administration and Personnel) of the Sunnyvale Municipal Code is hereby added to read as follows:

Chapter 2.31

REDISTRICTING

2.31.010. Purpose and intent.

The purposes and intent of this chapter are to:

- (a) Implement the requirement of Sunnyvale Charter Section 609(b) by establishing a public process for redistricting;
- (b) Establish a redistricting process that is open and transparent and allows public participation, including a process for the public to draw and submit draft maps for city council district boundaries;

- (c) Ensure that city council district boundaries are drawn according to the redistricting criteria set forth in applicable State and Federal laws; and
- (d) Facilitate a redistricting process that is conducted with integrity, fairness, and without personal or political considerations.

2.31.020 Duties and Authority of Redistricting Commission and City Council.

- (a) Redistricting Commission Established. A redistricting commission of seven members and three to six alternates is hereby established. The duties of the redistricting commission shall be to meet, hold public meetings and hearings as required by law, and recommend to the city council maps of six districts by which councilmembers will be elected, as further described in this Chapter.
- (b) City Council Approval of Redistricting Schedule, Outreach Plan, Budget. Within a reasonable period before the city receives the final Federal Decennial Census information, city staff shall prepare and submit to the city council for approval a community outreach plan and meeting schedule for the redistricting process. The plan and schedule will take into account all relevant state law related to redistricting. The city council shall appropriate adequate funds for the work of the redistricting commission, including funds necessary for community outreach, costs for city staff time associated with supporting the work of the redistricting commission, and hiring a demographer and any other necessary consultants or outside counsel.
- (c) City Council District Map Adoption Process. The redistricting commission shall recommend, and the city council shall adopt, new districts in the following manner:
 - (1) The redistricting commission shall hold public hearings as required by law. The redistricting commission shall select three to five proposed district maps to recommend to the city council (the “proposed district maps”). The redistricting commission shall also indicate the proposed district map that it prefers the city council adopt (the “preferred map”). Selection of the proposed district maps and the redistricting commission’s preferred map shall require the affirmative vote of four commissioners and shall occur consistent with the outreach plan and schedule. All other redistricting commission actions require only a majority vote of those present, provided that a majority of the members of the commission are present.
 - (2) Prior to the redistricting commission’s meeting to select proposed district maps and the preferred map, the redistricting commission and the city council shall hold a joint meeting to review map submissions and draft maps, and provide the city council the opportunity to comment on possible maps.
 - (3) Not earlier than seven days after the redistricting commission’s proposed district maps have been made available to the public, the city council shall hold at least one public meeting to receive input on the redistricting commission’s proposed district maps. After the public hearing, the city council may approve and adopt one of the proposed district maps. The city council may not make any changes to a proposed district map unless adjustments are required to comply with state law, the United

States Constitution, or the federal Voting Rights Act, commencing at 52 U.S.C. Section 10101. If the city council approves a proposed district map, it shall become the final district map and shall be implemented.

(4) If the city council fails to adopt one of the proposed district maps as provided in section 2.31.020(c)(3), it may take one or more of the following actions:

(i) Return one or more proposed district maps to the redistricting commission with comments. The redistricting commission shall then consider the city council's comments and may approve changes to the city council's returned district map(s) or reaffirm their approval of the returned map(s). The redistricting commission shall also select a new preferred map or reaffirm its prior decision on the preferred map. After such consideration, the redistricting commission shall resubmit to the city council the original maps returned by the council, any revised maps developed by the redistricting commission in response to the city council's comments, and its final preferred district map. The city council shall hold another public hearing not earlier than seven days after the proposed district maps have been made available to the public to select one of the redistricting commission's original proposed district maps, or one of the proposed district maps resubmitted by the commission.

(ii) Disapprove a proposed district map(s) with an affirmative vote of at least five council members. A map that is disapproved pursuant to this subsection shall not be reconsidered by the redistricting commission or the city council.

(5) Inaction by Redistricting Commission and/or City Council in Selecting Maps. Time is of the essence in completing the redistricting process. Notwithstanding any other provisions of this chapter, the following rules shall apply:

(i) If the redistricting commission fails to select proposed district maps and a preferred district map by the date set forth in the outreach plan and schedule approved by the city council, the latest maps considered by the redistricting commission shall be forwarded to the city council. The city council will review those maps, may make changes to any of those maps, and shall make a final decision on a district map.

(ii) If the city council fails to adopt one of the redistricting commission's proposed district maps and returns maps to the redistricting commission for reconsideration as provided in section 2.31.020(4)(i), and the redistricting commission does not complete the process to reconsider and resubmit maps to the city council by the date set forth in the outreach plan and schedule approved by the city council, the city council shall reconsider and choose from the proposed district maps originally submitted to the city council.

(iii) If the City Council fails to select a district map by the deadline set by election authorities for submitting district maps the most recently approved version of the redistricting commission's preferred district map shall be deemed adopted and shall go into effect immediately.

- (6) The redistricting map approved and adopted pursuant to this section shall be subject to referendum as provided in Article XIV of the City Charter.

2.31.030 Eligibility and appointment of Redistricting Commissioners.

(a) Composition of Redistricting Commission. The redistricting commission shall consist of seven commissioners and three to six alternates. The city council will use its best efforts to make appointments that achieve the goal of having at least one and not more than two members per district on the redistricting commission, based on residency at the time of application, and at least one but not more than two alternates per district. However, these numbers are not required if a majority of councilmembers agree to a different composition that achieves the other standards described in this section.

(b) Role of Alternates. Alternates are subject to the same terms of office, qualifications, restrictions, and standards of conduct as other commissioners under this section, and may fully participate in redistricting commission deliberations but may not vote and may not be counted towards the establishment of a quorum except as follows:

(1) Commissioner Absence. If a regular commission member is absent from a meeting of the redistricting commission, an alternate shall fulfill all responsibilities of that commissioner, including voting. Alternates shall be selected to fill the role of an absent commissioner based on the following criteria: (1) If an alternate is present who resides in the same district as the absent commissioner, that alternate shall fill the role of the absent commissioner. However, if more than one alternate resides in the same district, the Mayor shall designate a primary alternate for that district before the first redistricting commission meeting. (2) If there is not an alternate present who resides in the same district as the absent commissioner, the alternate to fill the role of an absent commissioner will be selected based on a ranked list of alternates established by the mayor before the first redistricting commission meeting

(2) Commissioner Vacancy. If one of the seven commissioners becomes unable to serve for any reason, the Mayor will appoint one of the alternates to fill the vacancy, considering the goal of achieving representation of at least one and not more than two members per district.

(c) Appointment of Redistricting Commissioners. Redistricting commission members and alternates shall be selected and appointed by the city council as provided in this section.

(1) Open Application Process. Commissioners shall be selected through an open application process that aligns with the city council policy for selecting members of other boards and commissions, except as provided in this section, except that the city council may choose a different voting process to achieve the goals described in subsection 2.31.030(a). Commissioners and alternates shall be appointed by the city council at a public hearing from the pool of qualified applicants.

(2) Desirable Qualifications of Applicants. The city council should select applicants that are best qualified to carry out the redistricting commission's duties and

that, as a group, reasonably reflect the diversity of the City, provided that no quotas, formulas, or ratios may be applied for this purpose. In evaluating applications, the city council shall consider each applicant's:

- (i) interest in and ability to carry out the responsibilities of the redistricting commission;
- (ii) demonstrated ability to serve with impartiality in a nonpartisan role;
- (iii) relevant analytical skills;
- (iv) familiarity with the City's neighborhoods and communities;
- (v) appreciation for the diverse demographics of the City, including but not limited to geography, race and ethnicity, gender, age, and economic class;
- (vi) demonstrated ability to work cooperatively with other commissioners;
- (vii) relevant civic and/or volunteer activities; and
- (viii) ability to devote time required of a commissioner under the approved redistricting schedule and outreach plan.

(3) Required Qualifications of Applicants. To be eligible for appointment to the redistricting commission, a person must, at the time of their appointment, be a resident of the city; have resided in the city for at least one year; and will be at least eighteen years of age by the date of the city council election for which the redistricting will be effective.

(4) Ineligibility to Serve on Redistricting Commission. A person is not eligible for appointment if:

- (i) The person or his or her spouse has, in the four years preceding the person's application, served as an officer of, employee of, or paid consultant to, a campaign committee or a candidate's campaign for elective office of the local jurisdiction.
- (ii) The person or his or her spouse has, in the four years preceding the person's application, contributed one thousand five hundred dollars (\$1,500) or more in a year to any single candidate other than the candidate him/her self, for an elective office of the local jurisdiction, which the city will adjust by the cumulative change in the California Consumer Price Index, or its successor, in every year ending in zero.

(5) Redistricting Commissioner Restrictions During and After Service. To promote public confidence in the redistricting process, redistricting commissioners and alternates shall be designated employees for purposes of the conflict of interest code adopted by the city council and shall perform their service on the redistricting commission with impartiality and integrity. In addition, redistricting commissioners and alternates shall not:

- (i) While serving on the redistricting commission, endorse, work for, volunteer for, or make a campaign contribution to, a candidate for an elective office of the city.
- (ii) Be a candidate for an elective office of the city if less than four years has elapsed since the date of the commissioner's appointment to the redistricting commission.
- (iii) For four years commencing with the date of his or her appointment to the redistricting commission, receive a noncompetitively bid contract with the city.
- (iv) For four years commencing with the date of his or her appointment to the redistricting commission, accept an appointment to the office of City Manager, City Attorney, or department head.

(6) Redistricting Commissioner Terms. The term of office of each redistricting commissioner and alternate begins with their appointment to the redistricting commission and ends when a new final district map is adopted. Commissioners and alternates may be removed in accordance with the city council policy for boards and commissions.

2.31.040 Redistricting Criteria.

After ensuring that proposed districts comply with the United States Constitution, California Constitution, and applicable federal and state laws, including the federal Voting Rights Act, commencing at 52 U.S.C. Section 10101, the redistricting commission may consider the following criteria in determining the boundaries of each city council district in its final proposed district maps and preferred alternative:

- (1) Districts are composed of whole census units as developed by the United States Bureau of the Census; and
- (2) Ensure to the extent practicable that voters who did not vote for a city council candidate in 2020 are able to vote in a district that will be on the ballot in 2022.

2.31.050 Severability.

If any portion of this chapter is held to be invalid, unconstitutional, or unenforceable by a court of competent jurisdiction, that decision shall not affect the validity of the remaining portions of this chapter. The city council declares that this chapter and each portion would have been adopted without regard to whether any portion of this chapter would be later declared invalid, unconstitutional, or unenforceable.

SECTION 2. CEQA - EXEMPTION. The City Council finds, pursuant to Title 14 of the California Code of Regulations, sections 15320, 15378 and 15061(b)(3), that this ordinance is exempt from the requirements of the California Environmental Quality Act (CEQA) because it is not "project" within the meaning of the California Environmental Quality Act ("CEQA"), as it is an organizational structure change and does not have the potential to result in either a direct or reasonably foreseeable indirect physical change in the environment.

SECTION 3. CONSTITUTIONALITY; SEVERABILITY. If any section, subsection, sentence, clause or phrase of this ordinance is for any reason held to be invalid, such decision or decisions shall not affect the validity of the remaining portions of this ordinance. The City Council hereby declares that it would have passed this ordinance, and each section, subsection, sentence, clause and phrase thereof irrespective of the fact that any one or more sections, subsections, sentences, clauses or phrases be declared invalid.

SECTION 4. EFFECTIVE DATE. This ordinance shall be in full force and effect thirty (30) days from and after the date of its adoption.

SECTION 5. POSTING AND PUBLICATION. The City Clerk is directed to cause copies of this ordinance to be posted in three (3) prominent places in the City of Sunnyvale and to cause publication once in The Sun, the official publication of legal notices of the City of Sunnyvale, of a notice setting forth the date of adoption, the title of this ordinance, and a list of places where copies of this ordinance are posted, within fifteen (15) days after adoption of this ordinance.

Introduced at a regular meeting of the City Council held on _____, and adopted as an ordinance of the City of Sunnyvale at a regular meeting of the City Council held on _____, by the following vote:

AYES:
NOES:
ABSTAIN:
ABSENT:
RECUSAL:

ATTEST:

APPROVED:

City Clerk
Date of Attestation: _____

Mayor

(SEAL)

APPROVED AS TO FORM:

City Attorney

Redistricting Ordinance Establishing Public Process- Redline for 3/30/21 City Council Meeting

Chapter 2.31 Redistricting

2.31.010. Purpose and intent.

The purposes and intent of this chapter are to:

- (a) Implement the requirement of Sunnyvale Charter Section 609(b) by establishing a public process for redistricting;
- (b) Establish a redistricting process that is open and transparent and allows public participation ~~in~~ including drawing ~~of and submitting draft maps for city council~~ district boundaries;
- (c) Ensure that city council district boundaries are drawn according to the redistricting criteria set forth in applicable State and Federal laws; and
- (d) Facilitate a redistricting process that is conducted with integrity, fairness, and without personal or political considerations.

2.31.020 Duties and Authority of Redistricting Commission and City Council.

(a) Redistricting Commission Established. A redistricting commission of seven members and three to six alternates is hereby established. The duties of the redistricting commission shall be to meet, hold public meetings and hearings as required by law, and to recommend to the city council maps of six districts by which councilmembers will be elected, as further described in this Chapter.

(b) City Council Approval of Redistricting Schedule, Outreach Plan, Budget. Within a reasonable period before the city receives the final Federal Decennial Census information, city staff shall prepare and submit to the city council for approval a community outreach plan and meeting schedule for the redistricting process. The plan and schedule will take into account all relevant state law related to redistricting. The city council shall appropriate adequate funds for the work of the redistricting commission, including funds necessary for community outreach, costs for city staff time associated with supporting the work of the redistricting commission, and hiring a demographer and any other necessary consultants or outside counsel.

(c) City Council District Map Adoption Process. The redistricting commission shall recommend, and the city council shall adopt, new districts in the following manner:

(1) The redistricting commission shall hold public hearings as required by law. The redistricting commission shall select three to five proposed district maps to recommend to the city council (the “proposed district maps”). The redistricting commission shall also indicate the proposed district map that it prefers the city council adopt (the “preferred map”). Selection of the proposed district maps and the redistricting commission’s preferred map shall require the affirmative vote of four commissioners and shall occur consistent with the outreach plan and schedule. All other redistricting commission actions require only a majority vote of those present, provided that a majority of the members of the commission are present.

(2) Prior to the redistricting commission's meeting to select proposed district maps and the preferred map, the redistricting commission and the city council shall hold a joint meeting to review map submissions and draft maps, and provide the city council the opportunity to comment on possible maps.

(3) Not earlier than seven days after the redistricting commission's proposed district maps have been made available to the public, the city council shall hold at least one public meeting to receive input on the redistricting commission's proposed district maps. After the public hearing, the city council may approve and adopt one of the proposed district maps. The city council may not make any changes to a proposed district map unless adjustments are required to comply with state law, the United States Constitution or the federal Voting Rights Act, commencing at 52 U.S.C. Section 10101. If the city council approves a proposed district map, it shall become the final district map and shall be implemented.

(4) If the city council fails to adopt one of the proposed district maps as provided in section 2.31.020(c)(3), it may take one or more of the following actions:

(i) Return one or more proposed district maps to the redistricting commission with comments. The redistricting commission shall then consider the city council's comments and may approve changes to the city council's returned district map(s) or reaffirm their approval of the returned map(s). The redistricting commission shall also select a new preferred map or reaffirm its prior decision on the preferred map. After such consideration, the redistricting commission shall resubmit to the city council the original maps returned by the council, any revised maps developed by the redistricting commission in response to the city council's comments, and its final preferred district map. The city council shall hold another public hearing not earlier than seven days after the proposed district maps have been made available to the public to select one of the redistricting commission's original proposed district maps, or one of the proposed district maps resubmitted by the commission.

(ii) Disapprove a proposed district map(s) with an affirmative vote of at least five council members. A map that is disapproved pursuant to this subsection shall not be reconsidered by the redistricting commission or the city council.

(5) Inaction by Redistricting Commission and/or City Council in Selecting Maps. Time is of the essence in completing the redistricting process. Notwithstanding any other provisions of this chapter, the following rules shall apply:

(i) If the redistricting commission fails to select proposed district maps and a preferred district map by the date set forth in the outreach plan and schedule approved by the city council, the latest maps considered by the redistricting commission shall be forwarded to the city council. The city council will review those maps, may make changes to any of those maps, and shall make a final decision on a district map.

(ii) If the city council fails to adopt one of the redistricting commission's proposed district maps and returns maps to the redistricting commission for reconsideration as provided in section 2.31.020(4)(i), and the redistricting commission does not complete the process to reconsider and resubmit maps to the city council by the date set forth in the outreach plan and schedule approved by the city council, the city council shall reconsider and choose from the proposed district maps originally submitted to the city council.

(iii) If the City Council fails to select a district map by the deadline set by election authorities for submitting district maps the most recently approved version of the redistricting commission's preferred district map shall be deemed adopted and shall go into effect immediately.

(6) The redistricting map approved and adopted pursuant to this section shall be subject to referendum as provided in Article XIV of the City Charter.

2.31.030 Eligibility and appointment of Redistricting Commissioners.

(a) Composition of Redistricting Commission. The redistricting commission shall consist of seven commissioners and three to six alternates. The city council will use its best efforts to make appointments that achieve the goal of having at least one and not more than two members per district on the redistricting commission, based on residency at the time of application, and at least one but not more than two alternates per district. However, these numbers are not required if a majority of councilmembers agree to a different composition that achieves the other standards described in this section.

(b) Role of Alternates. Alternates are subject to the same terms of office, qualifications, restrictions, and standards of conduct as other commissioners under this section, and may fully participate in redistricting commission deliberations but may not vote and may not be counted towards the establishment of a quorum except as follows:

(1) Commissioner Absence. If a regular commission member is absent from a meeting of the redistricting commission, an alternate shall fulfill all responsibilities of that commissioner, including voting. Alternates shall be selected to fill the role of an absent commissioner based on the following criteria: (1) If an alternate is present who resides in the same district as the absent commissioner, that alternate shall fill the role of the absent commissioner. However, if more than one alternate resides in the same district, the Mayor shall designate a primary alternate for that district before the first redistricting commission meeting. (2) If there is not an alternate present who resides in the same district as the absent commissioner, the alternate to fill the role of an absent commissioner will be selected based on a ranked list of alternates established by the mayor before the first redistricting commission meeting

(2) Commissioner Vacancy. If one of the seven commissioners becomes unable to serve for any reason, the Mayor will appoint one of the alternates to fill the vacancy, considering the goal of achieving representation of at least one and not more than two members per district.

(c) Appointment of Redistricting Commissioners. Redistricting commission members and alternates shall be selected and appointed by the city council as provided in this section.

(1) Open Application Process. Commissioners shall be selected through an open application process that aligns with the city council policy for selecting members of other boards and commissions, except as provided in this section, except that the city council may choose a different voting process to achieve the goals described in subsection 2.31.030(a). Commissioners and alternates shall be appointed by the city council at a public hearing from the pool of qualified applicants.

(2) Desirable Qualifications of Applicants. The city council should select applicants that are best qualified to carry out the redistricting commission's duties and that, as a group, reasonably reflect the diversity of the City, provided that no quotas, formulas, or ratios may be applied for this purpose. In evaluating applications, the city council shall consider each applicant's:

- i. interest in and ability to carry out the responsibilities of the redistricting commission;
- ii. demonstrated ability to serve with impartiality in a nonpartisan role;
- iii. relevant analytical skills;
- iv. familiarity with the City's neighborhoods and communities;
- v. appreciation for the diverse demographics of the City, including but not limited to geography, race and ethnicity, gender, age, and economic class;
- vi. demonstrated ability to work cooperatively with other commissioners; and
- vii. relevant civic and/or volunteer activities.
- viii. ability to devote time required of a commissioner under the approved redistricting schedule and outreach plan.

(3) Required Qualifications of Applicants. To be eligible for appointment to the redistricting commission, a person must, at the time of their appointment, be a resident of the city; have resided in the city for at least one year; and will be at least eighteen years of age by the date of the city council election for which the redistricting will be effective.

(4) Ineligibility to Serve on Redistricting Commission. A person is not eligible for appointment if:

- i. The person or his or her spouse has, in the ~~eight~~four years preceding the person's application, served as an officer of, employee of, or paid consultant to, a campaign committee or a candidate's campaign for elective office of the local jurisdiction.
- ii. The person or his or her spouse has, in the four years preceding the person's application, contributed one thousand five hundred dollars (\$1,500) or more in a year to any single candidate other than the candidate him/her self, for an elective office of the local jurisdiction,

which the city will adjust by the cumulative change in the California Consumer Price Index, or its successor, in every year ending in zero.

(5) Redistricting Commissioner Restrictions During and After Service. To promote public confidence in the redistricting process, redistricting commissioners and alternates shall be a designated employees for purposes of the conflict of interest code adopted by the city council and shall perform their service on the redistricting commission with impartiality and integrity. In addition, redistricting commissioners and alternates shall not:

i. While serving on the redistricting commission, endorse, work for, volunteer for, or make a campaign contribution to, a candidate for an elective office of the city.

ii. Be a candidate for an elective office of the city if less than ~~five~~four years has elapsed since the date of the commissioner's appointment to the redistricting commission.

iii. For four years commencing with the date of his or her appointment to the redistricting commission, receive a noncompetitively bid contract with the city.

iv. For ~~two~~four years commencing with the date of his or her appointment to the redistricting commission, accept an appointment to the office of City Manager, City Attorney, or department head.

(6) Redistricting Commissioner Terms. The term of office of each redistricting commissioner and alternate begins with their appointment to the redistricting commission and ends when a new final district map is adopted. Commissioners and alternates may be removed in accordance with the city council policy for boards and commissions.

2.31.040 Redistricting Criteria.

After ensuring that proposed districts comply with the United States Constitution, California Constitution, and applicable federal and state laws, including the federal Voting Rights Act, commencing at 52 U.S.C. Section 10101, the redistricting commission may consider the following criteria in determining the boundaries of each city council district in its final proposed district maps and preferred alternative:

(1) Districts are composed of whole census units as developed by the United States Bureau of the Census; and

(2) Ensure to the extent practicable that voters who did not vote for a city council candidate in 2020 are able to vote in a district that will be on the ballot in 2022.

2.31.040 Severability.

If any portion of this chapter is held to be invalid, unconstitutional, or unenforceable by a court of competent jurisdiction, that decision shall not affect the validity of the remaining portions of this chapter. The city council declares that this chapter and each portion would have been adopted without

regard to whether any portion of this chapter would be later declared invalid, unconstitutional, or unenforceable.



City of Sunnyvale

Agenda Item

21-0381

Agenda Date: 3/30/2021

REPORT TO COUNCIL

SUBJECT

Approval of Third Substantial Amendment to the 2019 HUD Annual Action Plan to Award CDBG-CV Funding

SUMMARY OF COMMISSION ACTION

The Housing and Human Services Commission considered this item on February 24, 2021 and voted 7-0 to approve the Third Substantial Amendment to the FY 2019/20 Action Plan as shown in Attachment 3 of the staff report.

PUBLIC CONTACT

Public contact was made by posting the Council agenda on the City's official-notice bulletin board outside City Hall, Sunnyvale Public Library and Department of Public Safety. In addition, the agenda and report are available at the Office of the City Clerk and on the City's website.

ALTERNATIVES

1. Approve the Third Substantial Amendment to the 2019 HUD Action Plan as shown in Attachment 3 of the staff report.
2. Approve the Third Substantial Amendment to the 2019 HUD Action Plan as shown in Attachment 3 of the staff report with modifications.

STAFF RECOMMENDATION

Alternative 1: Approve the Third Substantial Amendment to the 2019 HUD Action Plan as shown in Attachment 3 of the staff report.

The Third Substantial Amendment to the 2019 Action Plan is required to allocate the remaining CDBG-CV funding, which are CDBG funds designated solely for programs related to the COVID-19 pandemic response. The staff recommendation for the amendment, which includes the allocation of the CDBG-CV funding, supports three additional CARES related community services and continues support for rent relief through Sunnyvale Community Services.

Prepared by: Leif Christiansen, Housing Programs Analyst

Reviewed by: Jenny Carloni, Housing Officer

Reviewed by: Trudi Ryan, Director of Community Development

Reviewed by: Teri Silva, Assistant City Manager

Approved by: Kent Steffens, City Manager

ATTACHMENTS

1. Report to Housing and Human Services Commission 21-0067, February 24, 2021 (without attachments)

2. Draft Summary of the Substantial Amendment to the 2019 Action Plan
3. Draft Substantial Amendment to the 2019 Action Plan

Additional Attachments for Report to Council

4. Excerpt of Draft Minutes of the Housing and Human Services Commission Meeting of February 24, 2021



City of Sunnyvale

Agenda Item

21-0067

Agenda Date: 2/24/2021

REPORT TO HOUSING AND HUMAN SERVICES COMMISSION

SUBJECT

Consider Approval of Draft Third Substantial Amendment to the 2019 Housing and Urban Development (HUD) Action Plan

BACKGROUND

Sunnyvale receives an annual allocation of Community Development Block Grants (CDBG) and Home Investment Partnership Program (HOME) funds from the federal government to be used for community development programs and local housing strategies designed to increase opportunities for low and very low-income residents. As a result of the COVID-19 pandemic, on March 27, 2020 the Federal Government signed the Coronavirus Aid, Relief and Economic Security Act (CARES Act). The CARES Act provides up to \$5 billion in CDBG supplemental funding. Of that amount, \$2 billion are being distributed to states and entitlement jurisdictions using the same statutory formula used to distribute the annual CDBG allocations.

Sunnyvale is an entitlement jurisdiction; the City received, in two allocation rounds, about \$1.7 million from HUD in CDBG CARES ("CDBG-CV") funds through the CARES Act. The CARES Act funds are designed to provide emergency assistance and healthcare response for individuals, families and businesses affected by the 2020 Coronavirus pandemic. Of the funds received to date, \$350,000 have been awarded to Sunnyvale Community Services (SCS) for rent relief.

Since the beginning of the 2020 Coronavirus pandemic, the City, County and other local jurisdictions with CDBG programs have been coordinating on bi-weekly conference calls to discuss priority needs in each community, specific to the 2020 Coronavirus response, and coordination around utilization of CDBG-CV funds. Several priority needs have been identified including, but not limited to, rent relief, financial assistance (i.e., basic needs), hotel/motel vouchers, vaccinations/testing, food/hot meal distribution, small business support, and protective equipment for frontline workers.

All CDBG-CV funds received must be allocated and planned under the 2019 Action Plan, meaning any funding award made, no matter what year the funds are actually received in, must amend the 2019 Action Plan using the approved HUD streamlined approach. The City made the first substantial amendment to the 2019 Action Plan in December 2019 to assist Sunnyvale Community Services in acquiring a new, larger facility to administer their services to the Sunnyvale community. The second amendment occurred shortly after receiving the first allocation of CDBG-CV funds, and the City awarded Sunnyvale Community Services \$350,000 to launch an emergency financial assistance and rent relief program. Funding recommendations approved by the Housing and Human Services Commission (HHSC) were used to draft the third amendment to the 2019 Annual Action Plan (Attachment 3). The City Council is scheduled to consider this item on March 30, 2021.

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EXISTING POLICY

2015-2020 HUD Consolidated Plan:

Goal A: Assist in the creation, improvement, and preservation of affordable housing

Goal B: Alleviation of Homelessness

Goal C: Provide essential human services, particularly for special needs populations

Goal D: Expanding Economic Opportunities

ENVIRONMENTAL REVIEW

The action being considered does not constitute a “project” with the meaning of the California Environmental Quality Act (“CEQA”) pursuant to CEQA Guidelines section 15378 (b) (4) in that it is a fiscal activity that does not involve any commitment to any specific project which may result in a potential significant impact on the environment. The action is also exempt under the National Environmental Policy Act (“NEPA”) pursuant to 24 CFR 58.34 (a)(1) and (3) as it relates to the development of plans and strategies and is an administrative and managerial activity. Although site-specific projects may be identified in the Action Plan, approval of the Plan does not constitute a formal commitment for those projects.

DISCUSSION

The City received approximately \$1.7 million from HUD in CDBG CARES (“CDBG-CV”) funds through the CARES Act. Of those funds, \$350,000 was awarded to Sunnyvale Community Services (SCS) for rent relief on June 9, 2020 after City Council (RTC No. 20-0526) approved a second Substantial Amendment to the 2019 Action Plan.

The RFP released in December 2020 included \$1.3 million available for new programs that support those impacted by COVID-19.

Funds Available	CDBG-CV
Round 1 Funding Allocation	\$696,975
<i>Round 1 Funding Awarded (RTC No. 20-0526)</i>	<i>(\$350,000)</i>
Round 1 Funding Remaining	\$346,975
Round 2 Funding Allocation	\$1,014,190
Total Available	\$1,361,165

CDBG-CV Funding Proposals Received

The projects proposed to be funded through the Third Substantial Amendment to the FY 2019/20 Action Plan are shown in Table 1 below. The activities are eligible for CDBG funding based on federal regulations associated with the funding source, including new unique guidance on the use of CDBG-CV funds; and that activity meets one or more priority needs as identified in the City’s 2020-2025 Consolidated Plan.

Proposed CDBG-CV Activities

- *Ravenswood Family Health Network (RFHN) - COVID-19 Testing and Vaccine Administration*
RFHN, a federally qualified health center that offers a full scope of healthcare services to its patients, applied for \$203,930 in CDBG-CV funds to build the capacity to administer COVID-19 tests and vaccines at MayView Community Health Center sites, including their Sunnyvale

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location at the Columbia Neighborhood Center (785 Morse Ave). RFHN will provide vaccines to both their patients and residents of Sunnyvale two-days per week from their mobile health center, which will be parked at the Sunnyvale site. The requested funding will ensure RFHN has the personnel dedicated to administering COVID-19 tests and vaccines to primarily low-income residents of Sunnyvale.

- *Silicon Valley Independent Living Center (SVILC) - Financial Assistance for Persons with Disabilities*

SVILC, a local non-profit organization that serves persons with disabilities and/or chronic health conditions, applied for \$91,671 in funding to operate a financial assistance program that focuses on providing aid for individuals with disabilities who have been impacted by the pandemic. The program intends to assist about 22-households (up to 60 residents) by issuing rent/mortgage/utility payments to avoid evictions - and SVILC will assess each case to evaluate other household needs (i.e. food, protective equipment, etc.). SVILC has been operating a financial assistance program throughout the pandemic - and has systems in place to continue administering the program.

- *WeHope - Portable Showers, Laundry, Restrooms and Case Management*

WeHope, a local non-profit organization with the tag-line: "Healthy, Employed and Housed", applied for \$50,000 to continue operating their Dignity on Wheels program at Trinity Church (477 North Mathilda Avenue) in Sunnyvale. Dignity on Wheels plays a crucial role in ensuring that unhoused residents in Sunnyvale, and other Bay Area cities have an opportunity to take a shower, launder their clothes, use the restroom and meet with a Case Manager. The Dignity on Wheels team operates a mobile unit and serves about 20-homeless individuals once a week in Sunnyvale. The requested funding will assist in filling a funding gap, and ensure this important service continues in Sunnyvale, with City and Federal support.

- *2020 Coronavirus Rent Relief and Community Support Program*

Sunnyvale Community Services (SCS), a local non-profit organization with a mission to prevent homelessness and hunger in the local community applied for \$1.3 million in funds to continue administering a rent relief and community support program for Sunnyvale households directly impacted by the 2020 Coronavirus pandemic. The program will continue to support households by first assessing their situation, then creating an individual plan for each household to resolve their crisis. Support can include, but is not limited to, rental assistance, basic needs (i.e., food), ongoing case management and protective equipment for outreach workers.

- *Santa Clara County Isolation Quarantine Program*

The City, in partnership with Santa Clara County's Office of Supportive Housing, is partnering to minimize the spread of COVID-19 by assisting low-income residents with isolating and quarantining resources if they have tested positive for COVID-19 or have been exposed to the virus. The City is currently partnering with the County on this program through April 2021. This new allocation of \$135,000 in CV funding will allow the City to extend the program throughout 2021 and continue to assist low income household by funding motel vouchers so they can quarantine safely for up to 14-days. Note that this is a new funding allocation previously not considered by the HHSC in February, but was recently identified by the City as a critical program

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in need of additional funds to continue operating.

In addition, the City set-aside \$104,399 in administrative expenses (about 8% of total CDBG-CV funds) to cover the staff cost for action items associated with staff administering this significant increase in funding and new programs. The City can allocate up to 20% of the total CDBG-CV funding toward administrative expenses.

Overall, the City received four proposals, totaling about \$1.6 million in funding requests. The available allocation is about \$1.3 million, which is the full amount cited in the RFP. It is important to note that despite SCS receiving a high-score for the Rent Relief and Financial Assistance Program, the Evaluation Committee did not recommend fully funding the request. The Evaluation Committee made that decision because SCS previously received a \$350,000 allocation of CDBG-CV funds for the same program and the other proposals evaluated requested a smaller amount of funding, and offered other forms of support (i.e. vaccines, testing, mobile hygiene) that will assist in alleviating the impact of the pandemic for local residents. Reducing funds from these smaller projects has a bigger risk of jeopardizing the feasibility of the proposed projects.

Table 1

FY 2019/20 CDBG-CV Draft Funding Recommendations

Agency Name	Program	Average Staff Rating	Funding Amount Requested	STAFF RECOMMENDATION
Ravenswood Healthcare	COVID-19 Testing and Vaccinations	90	\$ 203,930	\$ 203,930
Sunnyvale Community Services CDBO	Rent Relief and Financial Support	89	\$ 1,300,000	\$ 776,165
Silicon Valley Independent Living Center	Emergency Assistance for Households W/ Disabilities	89	\$ 91,671	\$ 91,671
Project WeHope	Mobile Showers and Laundry	80	\$ 50,000	\$ 50,000
City of Sunnyvale	Santa Clara County Isolation and Quarantine Program	N/A	N/A	\$ 135,000
CDBG-CV Administrative Expenses	Administrative and Contract Management Cost	N/A	N/A	\$ 104,399
Total Funding Recommendations				\$ 1,361,165
Total Funds Available				\$ 1,361,165
Remaining Funds *				\$ -

FISCAL IMPACT

This action has no impact on the General Fund. Approval of the Third Substantial Amendment to the FY 2019/20 Action Plan is necessary for the City to continue programming the CDBG-CV funds, in an effort to provide relief to households directly impacted by the 2020 Coronavirus pandemic. These CDBG-CV funds will be managed by the City's Housing Division, along with our annual CDBG entitlement.

PUBLIC CONTACT

Public contact was made through posting of the Housing and Human Services Commission agenda on the City's official-notice bulletin board, on the City's website, and the availability of the agenda and report in the Office of the City Clerk. While HUD requires a 5-day public comment and review period, staff published the public comment period in the Sunnyvale Sun newspaper on March 19, 2021 which will be open until the Council considers this item on March 30, 2021. The draft Third Substantial Amendment to the FY 2019/20 Action Plan was also made available for public review on the City's website for well in excess of the required review period.

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ALTERNATIVES

Recommend that the City Council:

1. Approve the Third Substantial Amendment to the FY 2019/20 Action Plan as shown in Attachment 3 of the staff report.
2. Approve the Third Substantial Amendment to the 2019 Action Plan as shown in Attachment 3 of the staff report with modifications.

RECOMMENDATION

Alternative 1: Recommend that the City Council Approve the Third Substantial Amendment to the 2019 Action Plan as shown in Attachment 3 of the staff report.

The Third Substantial Amendment to the 2019 Action Plan is required to allocate the remaining CDBG-CV funding. The staff recommendation for the amendment, which includes the allocation of the CDBG-CV funding, supports three additional CARES related community services and continues support for rent relief and financial support from Sunnyvale Community Services.

Prepared by: Leif Christiansen, Housing Programs Analyst

Reviewed by: Jenny Carloni, Housing Officer

Reviewed by: Trudi Ryan, Director of Community Development

Reviewed by: Teri Silva, Assistant City Manager

Approved by: Kent Steffens, City Manager

ATTACHMENTS

1. Reserved for Report to Council
2. Draft Summary of the Substantial Amendment to the 2019 Action Plan
3. Draft Substantial Amendment to the 2019 Action Plan

City of Sunnyvale
Third Substantial Amendment to the 2019-2020 Annual Action Plan Summary

Background

The City of Sunnyvale's Annual Action Plan describes the funding strategy for the Community Development Block Grant (CDBG) and HOME Investment Partnership programs each year. The Annual Action Plan is a tool to assist in implementing the City's five-year Consolidated Plan, and each Annual Action Plan is developed through public input, analyses and planning.

The City's Citizen Participation Plan outlines the steps the City will take to encourage its residents to participate in developing each Annual Action Plan, and any substantial amendments to the Annual Action Plan that are proposed. The following change to the 2019-2020 Annual Action Plan justifies a substantial amendment – initiating the public notice requirements described in the Citizen Participation Plan.

Immediate Need for Substantial Amendment to the 2019-2020 Annual Action Plan

On March 27, 2020 -- President Trump signed the Coronavirus Aid, Relief, and Economic Security Act (CARES Act) into law, providing assistance to communities throughout the nation as the country works to respond to the public health and economic impacts of the 2020 Coronavirus. HUD made available \$3.064 billion through its Community Development Block Grant, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS programs – which represents the CDBG-CV funding the City is proposing to utilize through this substantial amendment.

To date, the City has received about \$1.7 million in CDBG-CV funds – and the Third Substantial Amendment to the 2019-2020 Annual Action Plan will allocate the remaining amount of CDBG-CV funds awarded to the City. The Second Substantial Amendment made in 2020 allocated the initial \$350,000 in CDBG-CV funds.

Proposed Substantial Amendment

The City is proposing the following amendment:

1. To continue a previously approved rent relief and community support program, specifically serving households impacted by the 2020 Coronavirus pandemic, by adding \$776,165 of the City's CARES Act allotment to the program.
 - a. Sunnyvale Community Services, a local non-profit organization that provides a wide-range of safety net services (food distribution, homeless/housing/youth services, case management, workforce development, etc.) applied for an additional \$911,165 to continue administering a rent relief and community support program. Services include but are not limited to: rental and emergency financial assistance, ongoing case management and basic needs (i.e. food).
2. To add a new project focused on COVID-19 Testing and Vaccine Administration, serving low-income residents of Sunnyvale, by allocating \$203,930 of the City's CARES Act allotment to the project.
 - a. Ravenswood Family Health Network (RFHN), a federally qualified health care center, applied for \$203,930 in CDBG-CV funds to administer COVID-19 tests and vaccines at Mayview Community Health Center in Sunnyvale. RFHN will provide vaccines to their patients and residents of Sunnyvale two-days per week from their mobile health center. The requested funding will ensure RFHN has the capacity to administer the program to low-income residents of Sunnyvale.

3. To add a new project focused on Financial Assistance for Persons with Disabilities by allocating \$91,671 of the City's CARES Act allotment to the project.
 - a. Silicon Valley Independent Living Center (SVILC), a local non-profit organization that serves persons with disabilities and/or chronic health conditions, applied for \$91,671 in funding to operate a financial assistance program that focuses on providing aid for individuals with disabilities who have been impacted by the pandemic. The program will provide assistance, including but not limited to: rent/mortgage assistance and wrap around services (i.e. food, case management, benefits counseling, etc.).
4. To add a new project focused on Portable Showers, Laundry, Restrooms and Case Management for the Unhoused Population in Sunnyvale, by allocating \$50,000 of the City's CARES Act allotment to the project.
 - a. WeHope, a local non-profit organization with the tag-line "Healthy, Employed and Housed" applied for \$50,000 to continue operating their Dignity on Wheels program at Trinity Church in Sunnyvale. Dignity on Wheels, now more than ever, plays a crucial role in ensuring that unhoused residents of Sunnyvale have the opportunity to take a shower, launder their clothes, use the restroom and meet with a Case Manager. The Dignity on Wheels team operates a mobile unit, and will serve about 20-unhoused individuals each week in Sunnyvale.
5. To add a new project focused on adding funds to a Countywide Quarantine and Isolation Program that provides hotel/motel vouchers for low-income residents who have been exposed to COVID-19. The City will allocate \$135,000 to this project.
 - a. The County of Santa Clara and the City of Sunnyvale are partnering to minimize the spread of COVID-19 by assisting low-income residents with isolating and quarantining if they have tested positive for COVID-19 or have been exposed to the virus. The CDBG-CV funds will cover the cost of motel/hotel stays for qualifying clients for up to 14-days.
6. To add a new project focused on providing funds for administrative and contract management expenses, by allocating \$104,399 of the City's CARES Act allotment to the project.
 - a. The City set-aside \$104,399 (about 8% of total CDBG-CV funds) to cover the staff cost for action items associated with administering the additional \$1.7 million the City received in HUD funding for Program Year 2019.

Analysis

This proposal qualifies as a substantial amendment because it meets the criteria described in the City's Citizen Participation Plan:

- To carry out an activity using funds from any program covered by the Consolidated Plan not previously described by the Annual Action Plan.
- To revise the budgeted amount for a specific activity if it is changed by 30-percent (i.e. previously funded Rent Relief and Community Support Program).

Public Process

The City, due to the 2020 Coronavirus pandemic, utilized available waivers (waiver #8 and #9, Consolidated Plan Requirements) per HUD guidance contained in the memo *“Availability of Waivers of Community Planning and Development (CPD) Grant Program and Consolidated Plan Requirements to Prevent the Spread of COVID-19 and Mitigate Economic Impacts Caused by COVID-19.”* Therefore, the City provided public notice in the Sunnyvale Sun on March 19, 2021 – and provided opportunity to comment on the substantial amendment for a 11-day period. The City Council is scheduled to consider the amendment at a virtual public hearing on March 30, 2021.

Comments may be submitted to Leif Christiansen by email (lchristiansen@sunnyvale.ca.gov) or by phone: 408-569-8318 (mobile).

(Process Note: additions/revisions to the 2019-2020 Annual Action Plan are underlined, and red – and deletions have a horizontal line through the center, a strikethrough)

1. On page 17 of the approved Annual Action Plan, amend “Expected Resources – Introduction” as follows: Introduction (AP-15 Expected Resources)

As shown in Table A below, the City will receive \$1,127,120 in 2019 entitlement CDBG funds and \$400,650 in HOME funds. In addition, the City estimates that it will also receive approximately \$1,050,000 in CDBG program income in FY 2019, to be determined at end of fiscal year, and has approximately \$150,000 in disencumbered funds from prior years. **In response to the 2020 Coronavirus (COVID-19) Pandemic, the Federal Government approved the Coronavirus Aid, Relief and Economic Security Act (CARES Act). In this Action Plan, Sunnyvale is allocating \$1,711,165 in CDBG-CV funds - which brings the total CDBG funding for FY 2019 to \$4,038,285.** This amount may increase slightly, as additional program income may be received before the end of this fiscal year. Total available HOME funding for FY 2019 will be \$980,889, which includes the new allocation, plus estimated program income administrative reserves and unencumbered funds from the prior program year.

2. On page 18 and 19 of the approved Annual Action Plan, amend Table 6 “Resources – Priority Table” as follows:

Program	Source of Funds	Uses of Funds	Amount Available Year 5					Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Estimate Program Income: \$	Prior Year Resource : \$	CARES Act Allocation \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	1,127,120	1,050,000	150,000	<u>\$1,711,165</u>	<u>\$4,038,285</u>	0	Housing Rehabilitation; Public Infrastructure and Facilities; Public Services; Economic Development; Alleviation of Homelessness; Administration

3. On Page 24 of the approved Annual Action Plan, add the CDBG-CV Projects to Table 8 – “Project Information”:

#	Project Name
<u>1</u>	<u>CDBG Administration and Monitoring</u>
<u>2</u>	<u>Minor Home Improvement Grants/Loans (Home Improvement Program)</u>
<u>3</u>	<u>Plaza de la Flores Energy Efficiency and Rehabilitation</u>
<u>4</u>	<u>WorkFirst Sunnyvale CBDO Activity</u>
<u>5</u>	<u>Public Services [5 projects]</u>
<u>6</u>	<u>ADA Curb Ramps</u>
<u>7</u>	<u>Home Administration and Monitoring</u>
<u>8</u>	<u>CHDO Set-Aside</u>
<u>9</u>	<u>Public Facility Acquisition Project (Sunnyvale Community Services)</u>
<u>10</u>	<u>2020 Coronavirus Rent Relief and Community Support Program</u>
<u>11</u>	<u>Ravenswood COVID-19 Testing and Vaccines</u>
<u>12</u>	<u>Emergency Assistance for Households w/ Disabilities</u>
<u>13</u>	<u>Project WeHope – Mobile Showers, Laundry and Case Management</u>
<u>14</u>	<u>Santa Clara County Isolation Quarantine Program</u>
<u>15</u>	<u>CDBG-CV Administration and Monitoring</u>

4. On Page 30 of the approved annual action plan, add the 4 additional CDBG-CV project to the “Project Summary”:

<u>10</u>	<u>Project Name</u>	<u>2020 Coronavirus Rent Relief and Community Support Program</u>
	<u>Target Area</u>	<u>Citywide</u>
	<u>Goals Supported</u>	<u>Alleviation of Homelessness and Other Community Development Efforts</u>
	<u>Needs Addressed</u>	<u>Safety net services for extremely and very low-income residents</u>
	<u>Funding</u>	<u>CDBG-CV: \$350,000 + \$776,165 = \$1,261,165 (total)</u>
	<u>Description</u>	<u>To provide funding to administer a rent relief and community assistance (i.e., food, case management) program</u>
	<u>Target Date</u>	<u>6/30/2020</u>
	<u>Estimate the number and type of families that will benefit from the proposed activities</u>	<u>Up to 186 additional extremely and very low-income families will be served annually.</u>
	<u>Location Description</u>	<u>Citywide</u>
	<u>Planned Activities</u>	<u>Assist clients through administering rent payments and other forms of financial assistance, in conjunction with case management/basic needs services, to households impacted by COVID-19</u>

<u>11</u>	<u>Project Name</u>	<u>Ravenswood – COVID-19 Testing and Vaccines (CDBG-CV)</u>
	<u>Target Area</u>	<u>Citywide</u>
	<u>Goals Supported</u>	<u>Other Community Development Efforts</u>
	<u>Needs Addressed</u>	<u>Minimizing the impacts of COVID-19 on the community</u>
	<u>Funding</u>	<u>CDBG-CV: \$203,930</u>
	<u>Description</u>	<u>To provide funding to administer a rent relief and community assistance (i.e., food, case management) program</u>
	<u>Target Date</u>	<u>12/30/2021</u>
	<u>Estimate the number and type of families that will benefit from the proposed activities</u>	<u>Up to 1,800 extremely and very low-income families will be served through the COVID-19 testing and vaccines program.</u>
	<u>Location Description</u>	<u>Citywide</u>
	<u>Planned Activities</u>	<u>Provide up to 400 unduplicated patients with COVID-19 testing – and 2,634 COVID vaccinations to 1,317 unduplicated patients.</u>
<u>12</u>	<u>Project Name</u>	<u>Emergency Assistance for Households with Disabilities</u>
	<u>Target Area</u>	<u>Citywide</u>
	<u>Goals Supported</u>	<u>Alleviation of Homelessness and Other Community Development Efforts</u>
	<u>Needs Addressed</u>	<u>Safety net services for extremely and very low-income residents</u>
	<u>Funding</u>	<u>CDBG-CV: \$91,671</u>
	<u>Description</u>	<u>To provide funding to administer a financial assistance program, specifically serving households with disabilities.</u>
	<u>Target Date</u>	<u>12/30/2021</u>
	<u>Estimate the number and type of families that will benefit from the proposed activities</u>	<u>Up to 22 extremely and very low-income families will be served annually.</u>
	<u>Location Description</u>	<u>Citywide</u>
	<u>Planned Activities</u>	<u>Assist clients through administering rent payments and other forms of financial assistance, in conjunction with case management/basic needs services, to households impacted by COVID-19</u>

<u>13</u>	<u>Project Name</u>	<u>Project WeHope – Mobile Showers, Laundry and Case Management</u>
	<u>Target Area</u>	<u>Citywide</u>
	<u>Goals Supported</u>	<u>Alleviation of Homelessness and Other Community Development Efforts</u>
	<u>Needs Addressed</u>	<u>Safety net services for extremely and very low-income residents</u>
	<u>Funding</u>	<u>CDBG-CV: 50,000</u>
	<u>Description</u>	<u>To provide funding to reduce the spread of COVID-19 within the unhoused community by providing ongoing opportunities to shower and launder clothes.</u>
	<u>Target Date</u>	<u>12/30/2021</u>
	<u>Estimate the number and type of families that will benefit from the proposed activities</u>	<u>Up to 20 extremely low-income, unhoused clients will be served weekly.</u>
	<u>Location Description</u>	<u>Citywide</u>
	<u>Planned Activities</u>	<u>Assist clients in having opportunities to focus on their hygiene on a regular basis by providing opportunities to take a shower, launder clothes and meet with a Case Manager.</u>
<u>14</u>	<u>Project Name</u>	<u>Santa Clara County Isolation and Quarantine Program</u>
	<u>Target Area</u>	<u>Citywide</u>
	<u>Goals Supported</u>	<u>Affordable Housing</u>
	<u>Needs Addressed</u>	<u>Minimizing the spread of COVID-19 in the local community.</u>
	<u>Funding</u>	<u>CDBG-CV: \$135,000</u>
	<u>Description</u>	<u>To provide hotel/motel vouchers, for quarantine purposes, for individuals exposed to COVID-19.</u>
	<u>Target Date</u>	<u>6/30/2022</u>
	<u>Estimate the number and type of families that will benefit from the proposed activities</u>	<u>Up to 75 extremely low-income households will be served throughout the duration of the program.</u>
	<u>Location Description</u>	<u>Citywide</u>
	<u>Planned Activities</u>	<u>Hotel/motel vouchers for individuals exposed to or tested positive for COVID-19.</u>
<u>14</u>	<u>Project Name</u>	<u>CDBG-CV Administration and Monitoring</u>
	<u>Target Area</u>	<u>Citywide</u>
	<u>Goals Supported</u>	<u>Affordable Housing</u>
	<u>Needs Addressed</u>	
	<u>Funding</u>	<u>CDBG-CV: \$104,399</u>

<u>Description</u>	<u>Administrative activities related to contract management.</u>
<u>Target Date</u>	<u>6/30/2022</u>
<u>Estimate the number and type of families that will benefit from the proposed activities</u>	<u>To be determined by the conclusion of the program year.</u>
<u>Location Description</u>	<u>Citywide</u>
<u>Planned Activities</u>	<u>Request for Proposal processes, monitoring, contract preparation and coordination efforts.</u>

2019-2020 Action Plan City of Sunnyvale

Community Development Block Grant Program
Home Investment Partnership Program

April 2019

Amended November 2019

Amended May 2020

[Amended March 2021](#)



Sunnyvale

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AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b) Introduction

This FY2019/20 Action Plan serves as an application for funds under two formula grant programs administered by the U.S. Department of Housing and Urban Development (HUD). These grants provide the City of Sunnyvale (City) with an average of roughly \$1.4 million annually. For 2019, the City is receiving an allocation of Community Development Block Grant (CDBG) in the amount of \$1,127,120, and a HOME Investment Partnerships (HOME) Program grant of \$400,650. This Action Plan covers the fiscal year beginning July 1, 2019 and ending June 30, 2020, the fifth and final year of the City's 2015- 2020 Consolidated Plan (ConPlan) period. The Action Plan describes the eligible activities that the City intends to undertake in FY2019/20 to address the priority needs and implement the strategies identified in the Plan, using the City's CDBG and HOME grants. The activities described in this Action Plan are intended to primarily benefit the lower-income residents of Sunnyvale, affirmatively further fair housing choice, and meet priority needs. In addition to these grants, the City has a local Housing Mitigation Fund (HMF), which is used to support local affordable housing programs, including some that are not eligible for HUD funding. This fund is derived from fees received occasionally in varying amounts, and there is no guaranteed annual funding amount, therefore less emphasis is placed on these funds as a regular funding source in this Action Plan.

In addition to these grants, the City has a local Housing Mitigation Fund (HMF) which is used to support local affordable housing programs, including some that are not eligible for HUD funding. This fund is derived from fees received occasionally in varying amounts, and there is no guaranteed annual funding amount, therefore less emphasis is placed on these funds as a regular funding source in this Action Plan.

2. Summarize the objectives and outcomes identified in the Plan

The City has organized its priority needs based on the structure presented in HUD regulations (24 CFR 91.215): affordable housing, alleviation of homelessness, economic development, and non-housing community development. Priority is assigned based on the level of need that is demonstrated by the data that has been collected during the preparation of the Plan, specifically in the Needs Assessment and Market Analysis; the information gathered during the consultation and citizen participation process; current City policy, federal laws and regulations related to the HUD grants, and the availability of resources to address these needs. Based on these components, housing needs are considered a high priority, as well as alleviation of homelessness, economic development, and non-housing community development needs. The City's goals and objectives are organized into four categories: A. Affordable Housing (including housing for special needs households) B. Alleviation of Homelessness C. Other Community Development Efforts (Public Services, Community Facilities and Infrastructure) Page 2 D. Expanding Economic Opportunities Table 2 on page 15 summarizes the objectives and outcomes that will be addressed in this Action Plan

3. Evaluation of past performance

The City is responsible for ensuring compliance with all rules and regulations associated with the CDBG and HOME entitlement grant programs. The City's Annual Action Plans and CAPERs, posted on the City's website, provide details about the goals, projects and programs completed by the City. The City recognizes that the evaluation of past performance is critical to ensure the City and its sub-recipients are implementing activities effectively and that those activities align with the City's overall strategies and goals. The City evaluates the performance of subrecipients on a quarterly basis. Sub-recipients are required to submit quarterly progress reports, which include client data, performance objectives, as well as data on outcome measures. Before the start of the program year, program objectives are developed collaboratively by the sub-recipient and the City, ensuring that they are aligned with the City's overall goals and strategies. The City utilizes the quarterly reports to review progress towards annual goals. During the past year, the City successfully implemented its planned programs and projects. Some projects take several years to complete, so in some cases they may not be completed in the same year in which they were initially funded, such as the Persian Drive sidewalk project. This is not unusual for projects involving major construction work.

4. Summary of Citizen Participation Process and consultationprocess

The City, in collaboration with other local jurisdictions and the consultant team, launched a comprehensive outreach strategy to enhance and broaden citizen participation in the preparation of the Consolidated Plan. The team informed the public that it was in the process of creating the 2015-2020 Consolidated Plan, and encouraged public participation in the process by conducting a Regional Needs Survey and hosting regional forums in collaboration with other jurisdictions in the County, and conducting local hearings. In addition to the extensive consultation conducted for the ConPlan several years ago, the City solicited additional input on this draft Action Plan. The City provides multiple opportunities for public review and comment on the Action Plan and on any substantial amendments to it. Public hearings on this Plan were held (or will be held) on April 3, 2019; April 17, 2019; and May 7, 2019. A notice of public hearings and an announcement of the 30-day public comment period was published in the Sunnyvale Sun on April 5, 2019. An initial draft of the Action Plan was released on April 5, 2019, and comments were accepted through May 7, 2019. It is the policy of the City of Sunnyvale to encourage and engage residents to participate in planning, implementation, and evaluation of its housing and community development programs.

5. Summary of publiccomments

All comments were accepted. Please refer to Attachment: "Proof of Publication and Citizen Participation Comments."

6. Summaryof commentsor views not accepted and the reasonsfor not accepting them

N/A

PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Table 1 – Responsible Agencies

Agency Role	Name	Department/Agency
CDBG Administrator	SUNNYVALE	Department of Community Development
HOME Administrator	SUNNYVALE	Department of Community Development

The City of Sunnyvale is the Lead and Responsible Agency for HUD entitlement programs in Sunnyvale. The Housing Division (HD), a division of the City's Community Development Department (CDD), is responsible for administering the City's HUD entitlement grants, including the Community Development Block Grant (CDBG) and HOME Investment Partnerships Program (HOME) grant. In order to continue receiving these grants, the City must develop and submit to HUD a five-year Consolidated Plan (ConPlan) and Annual Action Plans listing priorities and strategies for the use of these federal funds. The ConPlan describes how the City plans to use its CDBG and HOME funds to meet the housing and community development needs of its residents in the next five years. To update its 2015-2020 ConPlan, the City collaborated with the County of Santa Clara (County) and other entitlement jurisdictions in the County to identify and prioritize housing and community development needs across the region, and to develop strategies to meet those needs. The 2019 Action Plan covers the fifth year of the 2015-2020 ConPlan period.

Consolidated Plan & Action Plan Public Contact Information

City of Sunnyvale Housing Division

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Email: Housing@Sunnyvale.ca.gov

Housing Division website: <https://sunnyvale.ca.gov/property/housing/default.htm>

AP-10 Consultation – 91.100, 91.200(b), 91.215(l)

1. Introduction

Consolidated Plan Development

The City has a long history of coordination and partnership with the local public housing provider, which is the Housing Authority of the County of Santa Clara (HACSC), and various assisted housing providers, such as Charities Housing, MidPen Housing Corp., First Community Housing, and others. The City also has a long history of coordinating with and/or providing funding to various local private and public health, mental health, and human services agencies, such as the County Mental Health Department, the local Valley Medical Center, and a variety of service providers that serve seniors, disabled clients, homeless clients, and other lower-income and at-risk clients.

In addition, in preparing the ConPlan, the City collaborated with seven other entitlement grantee jurisdictions (Entitlement Jurisdictions), including the cities of Cupertino, Gilroy, Mountain View, Palo Alto, San Jose, Santa Clara, and the County of Santa Clara (Urban County).

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l))

Public participation played a central role in the development of the ConPlan. The Entitlement Jurisdictions launched an in-depth, collaborative regional effort to consult with community stakeholders, many of whom represented public or assisted housing providers, health and service agencies, as well as elected officials, City and County staff, and beneficiaries of entitlement programs, to inform and develop the priorities and strategies contained within the ConPlan. The City, in partnership with LeSar Development Consultants (LDC) and MIG, Inc. (MIG) and the other Entitlement Jurisdictions, facilitated a comprehensive outreach process to enhance coordination with these stakeholders and discuss new approaches to using the HUD entitlement grant funds for eligible activities, projects, and programs throughout the county and/or in specific cities.

LDC and MIG, with direction from the Entitlement Jurisdictions, conducted a Regional Needs Survey to solicit input from residents, workers, and stakeholders in the region, which consisted of all of Santa Clara County. Survey respondents were informed that the purpose of this survey was to help the Entitlement Jurisdictions determine local priorities for use of their HUD entitlement funds, and that these funds must be used to fund projects or programs that primarily serve lower income residents or neighborhoods with a higher percentage of lower-income residents. The Survey polled respondents about the level of need in their respective neighborhoods for various types of improvements, projects or programs that could possibly be funded by the HUD entitlement funds.

Action Plan Development

In addition to the extensive consultation in 2015 noted above, the City solicited additional input for the FY2019-20 Annual Action Plan from community members at public hearings held on April 3, April 17, May 7, 2019. The City and other community development organizations in the County coordinate frequently on a variety of initiatives. City Housing staff participates in a collaborative of HUD entitlement grantees within the County.

Quarterly meetings are held to discuss activities, technical assistance issues, and identify future opportunities for coordination and cooperation. The City also coordinates with other regional agencies, such as the Housing Trust of Silicon Valley, SV@Home, Joint Venture Silicon Valley, NOVA, Page 5 the County-wide Fair Housing Task Force, and the Valley Transportation Authority, among others, to achieve the goals described within this Action Plan.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

The City has coordinated with the Santa Clara County Continuum of Care (CoC) for many years on its efforts to end and prevent homelessness in the County. The CoC, a group of stakeholders from throughout the County, plans and implements many of these efforts. The CoC is governed by the CoC Board, which takes a systems-change approach to preventing and ending homelessness. The City's Housing Officer participated on the CoC's Review and Rank Panel in several recent years. This panel spends about a week reviewing and ranking local proposals for HUD funding through the federal CoC program. The committee's funding recommendations were approved by HUD, resulting in nearly \$20 million in HUD funding being awarded to support transitional and permanent housing programs, and some supportive services, in the County in the past several years.

Members of the CoC meet monthly to plan CoC programs, identify gaps in homeless services, establish funding priorities, and pursue a systematic approach to addressing homelessness. City staff, including the Housing Officer and Housing Analyst, as well as staff of other cities, meet and consult with the County's CoC staff during the quarterly county-wide "CDBG Coordinators Group" meetings, and communicate more frequently via email and/or phone on joint efforts. Destination:Home (D:H), a public-private partnership committed to ending chronic homelessness, is the governing organization for the CoC and implements by-laws and operational protocols for the CoC. D:H is also responsible for ensuring that the CoC complies with the Homeless Emergency Assistance and Rapid Transition to Housing Act of 2009 (HEARTH).¹

In 2014, D:H released a draft "Community Plan to End Homelessness in Santa Clara County" (Community Plan), which outlines strategies for ending homelessness in the County by 2020. These strategies were informed by those who participated in a series of community summits conducted between April and

August 2014, including Sunnyvale HD staff and local stakeholders, among others. The Community Plan addresses the needs of homeless people in the County, including chronically homeless people, families with children, veterans, and youth, as well as those at risk of homelessness.

Over a five-year period, the D:H Plan aims to house 2,518 homeless individuals, 718 homeless veterans, and more than 2,333 children, unaccompanied youth, and homeless individuals living in families. The City's current ConPlan and 2015-2023 Housing Element incorporate many policies and programs that are consistent with the Community Plan and "Housing First" policies in general.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS:

The City is not an ESG entitlement jurisdiction.

The CoC's HMIS is administered by the County Office of Supportive Services, using Clarity Human Services software. The project meets and exceeds HUD's requirements for HMIS and complies with HUD HMIS Standards. The County's HMIS is used by many service providers to record information and report outcomes.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities:

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	Abilities United
	Agency/Group/Organization Type	Services-Persons with Disabilities
	What section of the Plan was addressed by Consultation?	Needs Assessment and Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Agency attended Community Forum(s) on September 25, 2014
2	Agency/Group/Organization	Bill Wilson Center
	Agency/Group/Organization Type	Counseling, Housing for Homeless Youth
	What section of the Plan was addressed by Consultation?	Needs Assessment and Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Agency attended Community Forum(s) on September 27, 2014 and 5 other dates
3	Agency/Group/Organization	Catholic Charities of Santa Clara County
	Agency/Group/Organization Type	Services-Elderly Persons
	What section of the Plan was addressed by Consultation?	Needs Assessment and Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Agency attended Community Forum(s) on October 2, 2014
4	Agency/Group/Organization	City of Sunnyvale
	Agency/Group/Organization Type	Other government – Local
	What section of the Plan was addressed by Consultation?	Needs Assessment and Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Agency attended Community Forum (s) on September 25 and November 5, 2014
5	Agency/Group/Organization	Destination:Home
	Agency/Group/Organization Type	Homeless Advocacy/Policy
	What section of the Plan was addressed by Consultation?	Needs Assessment and Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Agency attended Community Forum(s) on September 25, 2014 November 1, 2014 November 5, 2014

6	Agency/Group/Organization	INNVISION-EMERGENCY SHELTER
	Agency/Group/Organization Type	Services-homeless
	What section of the Plan was addressed by Consultation?	Needs Assessment and Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Agency attended Community Forum(s) on October 22, 2014
7	Agency/Group/Organization	Law Foundation of Silicon Valley
	Agency/Group/Organization Type	Service-Fair Housing and Legal
	What section of the Plan was addressed by Consultation?	Needs Assessment and Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Agency attended Community Forum(s) on October 23, 2014
8	Agency/Group/Organization	LIVE OAK ADULT DAY SERVICES
	Agency/Group/Organization Type	Services-Elderly Persons
	What section of the Plan was addressed by Consultation?	Needs Assessment and Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Agency attended Community Forum(s) on October 23, 2014
9	Agency/Group/Organization	Midpen Housing Corporation
	Agency/Group/Organization Type	Affordable Housing Developer
	What section of the Plan was addressed by Consultation?	Needs Assessment and Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Agency attended Community Forum(s) on September 30, 2014
10	Agency/Group/Organization	PROJECT SENTINEL
	Agency/Group/Organization Type	Service-Fair Housing and Legal Services
	What section of the Plan was addressed by Consultation?	Needs Assessment and Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Agency attended Community Forum (s) September 25, 2014

11	Agency/Group/Organization	SENIOR ADULTS LEGAL ASSISTANCE
	Agency/Group/Organization Type	Service-Fair Housing and Legal Services
	What section of the Plan was addressed by Consultation?	Needs Assessment and Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Agency attended Community Forum (s) on September 27, 2014
12	Agency/Group/Organization	SUNNYVALE COMMUNITY SERVICES
	Agency/Group/Organization Type	Community/Family Services and Organizations
	What section of the Plan was addressed by Consultation?	Needs Assessment and Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Agency attended Community Forum (s) on September 25, 2014

Identify any Agency Types not consulted and provide rationale for not consulting

There were no agency types that were not consulted as part of the 2015-2020 Consolidated Plan process.

Other local/regional/state/federal planning efforts considered when preparing the Plan

Table 3 – Other local / regional / federal planning efforts

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Destination:Home	The D:H plan provides strategies for ending homelessness and increasing affordable housing stock in the County. This effort aligns with the Strategic Plan's goals to increase/support Affordable Housing and Alleviate Homelessness.
City of Sunnyvale General Plan, 2015-2023 Housing	City of Sunnyvale	Two of the primary goals of each of these plans are essentially the same: Increase/Support Affordable Housing; and Addressing Homelessness. Both plans also have goals related to improving local housing stock and neighborhoods, and addressing priority needs (non-housing community development needs). About 80% of the content of the two plans are very similar.
2012-2014 Comprehensive HIV Prevention & Care Plan	Santa Clara County HIV Planning Council for Prevention and Care	This plan guides the Santa Clara County HIV Planning Council for Prevention and Care in its development of a compassionate system of HIV prevention and care for the County. This effort aligns with the Strategic Plan's goal to address Other Community Development Needs
Regional Housing Need Plan for the San Francisco B	Association of Bay Area Governments	This plan quantifies the needs of the 9-county Bay Area, which includes Sunnyvale, primarily for new housing units to address population growth projected to occur in the next 8 years. The regional housing need is further divided into an allocation or RHNA for each county and city in the region. The plan indicates Sunnyvale needs nearly 5,500 new housing units during this period. This plan aligns with the Strategic Plan's goals to increase/support Affordable Housing and Alleviate Homelessness. Sunnyvale's Housing Element describes how the City can accommodate the new units through its zoning and General Plan, and provide some affordable units by using its special funds for affordable housing.

The City ensures that all Action Plan projects and programs are consistent with the City's General Plan and the ConPlan. Regional planning efforts include Plan Bay Area and related statewide planning efforts.

Stakeholder Participation and Consultations with Agencies

The City consulted with various groups and organizations as part of the 2015-2020 Consolidated Plan process. Those stakeholders are listed in the Appendices of the ConPlan. A number of the same stakeholders participated in one or more hearings or funding workshops related to the development of the 2019 Action Plan.

Identify any Agency Types not consulted and provide rationale for not consulting

Not applicable.

Other local/regional/state/federal planning efforts considered when preparing the Plan

Please see Table 3 provided in the Appendix of the ConPlan. The City ensures that its Action Plan projects and programs are consistent with the City's General Plan and the ConPlan. Regional planning efforts include Plan Bay Area and related statewide planning efforts.

AP-12 Participation – 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation
Summarize citizen participation process and how it impacted goal-setting

It is the policy of the City of Sunnyvale to encourage and engage residents to participate in planning, implementation, and evaluation of its housing and community development programs.

The City encourages low- and moderate-income residents, minorities, those with disabilities, and non-English-speaking residents to attend community meetings and/or provide written comments on its plans. The City provides a statement in the languages identified in its Language Access Plan on all public notices regarding HUD-funded activities, and sends public notices to organizations representing the groups listed above. In accordance with the Citizen Participation Plan, the City will provide translation services to any resident who requests such services in advance at such hearings and meetings. The City has translated its primary Housing Program brochures into Spanish, in accordance with the Language Access Plan.

Sunnyvale Request for Proposals

The City has a long-standing practice of issuing a request for proposals (RFP), annually for capital/housing projects and every second year for human services grants, to solicit applications for CDBG and HOME funds available to the City. This process aids in gathering community input on local needs and helps the City identify projects and programs that are eligible for and interested in HUD funding, and have demonstrated capacity for successful implementation and compliance with HUD requirements. Staff issues the RFP, evaluates proposals for eligibility, scores the proposals according to criteria approved by the Housing and Human Services Commission, and provides its scores and funding recommendations to the Commission and Council for review. The Commission holds a public hearing before making its own recommendations on the proposed funding allocations, which are advisory to the Council, which approves the final funding awards as part of the annual Action Plan hearing. These programs and projects are also addressed in the ConPlan, as it is assumed that similar types of projects are likely to be implemented in additional years, depending on funding availability.

Public Notice and Availability of the Action Plan

As required by HUD, the City provides multiple opportunities for public review and comment on the Action Plan and on any substantial amendments to it. Community members had opportunities to attend public hearings on April 3, April 17, and May 7, 2019. A notice of public hearings and an announcement of the 30-day public comment period was published in the Sunnyvale Sun on April 5, 2019. An initial draft of the Action Plan was released on April 5, 2018, and comments were accepted through May 7, 2019. The proposed Plan was available for review at the library, City Hall, and on the City's website.

Table 4 – Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted
1	Public Meeting	Non-targeted/ broad community	The HHSC met on October 24, 2018 for a Biennial Review of Priority Needs for Human Services for Recommendation to City Council.	Attachment - "Proof of Publication and Citizen Participation Comments." Five members of the public, each representing human services agencies, spoke; four agencies submitted written correspondence.	All comments were accepted
2	Public Meeting	Non-targeted/ broad community	The City council held a public hearing on November 27, 2018 and approved the list of priority needs, excerpted from the 2015-2020 ConPlan.	Attachment - "Proof of Publication and Citizen Participation Comments."	All comments were accepted.
3	Public Meeting	Non-targeted/ broad community	The Housing and Human Services Commission (HHSC) met on February 23, 2019 to hear presentations from non-profit agencies, in response to the Request for Proposals for CDBG and HOME funding for FY2019.	The presenters answered questions of the commissioners and urged them to support their project/funding request.	All comments were accepted.
4	Public Meeting	Non-targeted/ broad community	The HHSC met on April 3, 2019 to discuss funding recommendations for FY 2019/20 CDBG/HOME grants. The HHSC recommended funding the HS proposals and capital project proposal in the amounts recommended by staff.	13 agencies representatives spoke during this meeting. See Attachment - "Proof of Publication and Citizen Participation Comments."	All comments were accepted.
6	Newspaper Ad	Non-targeted/ broad community	A display ad was published in the Sunnyvale Sun on April 5, 2019.	Attachment - "Proof of Publication and Citizen Participation Comments."	Not applicable
7	website	Non-targeted/ broad community	Draft Action Plan posted at: https://sunnyvale.ca.gov/property/housing/default.htm	Attachment - "Proof of Publication and Citizen Participation Comments."	Not applicable

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted
8	Public Meeting	Non-targeted/broad community	The HHSC held a public hearing on April 17, 2019 to recommend to the City Council to approve the 2019 Action Plan.	No public or written comments received.	Not applicable
9	Public Meeting	Non-targeted/broad community	The City council will hold a public hearing on May 7, 2019 to consider the Action Plan, consistent with the 2015-2020 Consolidated Plan.	Attachment - "Proof of Publication and Citizen Participation Comments."	Not applicable

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

As shown in Table A below, the City will receive \$1,127,120 in 2019 entitlement CDBG funds and \$400,650 in HOME funds. In addition, the City estimates that it will also receive approximately \$1,050,000 in CDBG program income in FY 2019, to be determined at end of fiscal year, and has approximately \$150,000 in disencumbered funds from prior years. In response to the 2020 Coronavirus (COVID-19) Pandemic, the Federal Government approved the Coronavirus Aid, Relief and Economic Security Act (CARES Act). In this Action Plan, Sunnyvale is allocating \$1,711,165 in CDBG-CV funds - which brings the total CDBG funding for FY 2019 to ~~\$\$2,677,120~~ \$4,038,285. This amount may increase slightly, as additional program income may be received before the end of this fiscal year. Total available HOME funding for FY 2019 will be \$980,889, which includes the new allocation, plus estimated program income administrative reserves and unencumbered funds from the prior program year.

Local (City) Resources

The City has a local Housing Mitigation Fund (HMF) and Below Market Rate (BMR) In-Lieu Funds for the development, rehabilitation and/or acquisition of affordable housing. In 2014, \$8.1 million in HMF was provided to the development of two new affordable rental projects, Parkside Studios and Onizuka Crossing, with a total of include 117 new affordable units. In 2017, \$7.43 million in HMF, plus \$600,000 in Home Funds, was committed to the 66-unit Benner Plaza project, completed in December 2018. The City is currently working on a new 90-unit affordable housing development on City owned land which will include over \$9 million in HMF funding in 2019. In addition, the City has funds available in its Housing Successor Agency Low-Mod Housing Fund, these funds are allocated outside of the Action Plan process, but with a similar goal of expanding and preserving the City's affordable housing stock.

Other Public Resources

Low-Income Housing Tax Credit Program (LIHTC)

The LIHTC program provides federal and state tax credits for developers and investors who agree to set aside all or a percentage of their rental units for low-income households for no less than 30 years. MidPen Housing applied for and received an award of federal low-income housing tax credits in 2016 for its Benner Plaza project, a new 66-unit affordable rental housing development.

Homeless Emergency and Rapid Transition to Housing (HEARTH) and Emergency Shelter Grants (ESG)

Santa Clara County serves as lead agency for the County's Continuum of Care (CoC), which receives Hearth Act, ESG, and similar federal grants to provide shelter, housing, and supportive services to homeless people residing anywhere in the County. The City has contributed some of its local funds to various county-wide projects and programs that often receive CoC support as well, such as shelter facilities, transitional and permanent supportive housing, tenant-based rental assistance, and supportive

services.

Private Resources

Most of the City's housing and human services programs leverage various sources of private financing. The City encourages the participation of local lenders in its housing and/or community development projects as needed. The City is a founding member of the Housing Trust of Santa Clara County, which raises voluntary contributions from the public and private sectors for affordable housing projects within the County. The City has contributed some of its local housing funds to the Trust, which then uses those seed funds to seek matching contributions from corporations and various other sources.

Table 6 - Resources – Priority Table

Program	Source of Funds	Uses of Funds	Amount Available Year 5					Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Estimate Program Income: \$	Prior Year Resource : \$	CARES Act Allocation: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	1,127,120	1,050,000	150,000	<u>\$1,711,165</u>	<u>\$4,038,285</u>	0	Housing Rehabilitation ; Public Infrastructure and Facilities; Public Services; Economic Development ; Alleviation of Homelessness; Administration

Program	Source of Funds	Uses of Funds	Amount Available Year 5				Amount Available Remainder of ConPlan	Narrative Description
			Annual Allocation: \$	Estimate Program Income: \$	Prior Year Resource: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	400,650		580,239	980,889	980,889	Administration and Planning Activities To be programmed in FY 2020

Funds Available and Activities Proposed for Funding in FY 2019/20

AVAILABLE FUNDS	CDBG	HOME
FY 2019-20 Entitlement Grants	\$ 1,127,120	\$ 400,650
HOME PA (Admin Reserves)	\$ 0	\$ 51,094
Estimated Program Income	\$ 1,050,000	\$ 0
Projected Disencumbered/Fund Balance	\$ 150,000	\$ 529,145
<u>CDBG-CV Funds</u>	<u>\$ 1,711,165</u>	<u>\$ 0</u>
TOTAL	<u>\$ 4,038,285</u>	\$ 980,889

Explain how federal funds will leverage those additional resources(private, state and local funds), including a description of how matching requirements will be satisfied:

The City pursues and encourages its partner agencies to seek all available public and private funding sources in order to achieve the goals of the Consolidated Plan. Most projects and activities secure

funding from a variety of sources, including public and private sector donations, grants, loans, and in-kind materials and services.

Most of the City's housing and human services programs leverage various sources of private financing. The City encourages the participation of local lenders in its housing and/or community development projects as needed. Tax credit projects such as Benner Plaza and Onizuka Crossing, required millions of dollars in private equity and financing for construction and related costs. The City requires all applicants for CDBG and HOME funds for capital projects to provide a match of at least 25% to receive funding. For CDBG public services proposals, this match may consist of in-kind materials and services. Most applicants provide a match in excess of 25%.

Matching funds may include other available financing sources, such as tax credits, other public sector loans or grants, private capital or donations, rent proceeds, and/or the value of in-kind services (i.e., volunteer and staff services or pro-bono professional services used to implement the capital project). The City often provides more local funds than CDBG or HOME funds to assist major affordable housing projects, since those local funds are often available in larger amounts than federal funds.

Discussion:

In recent years, the City has made several publicly owned properties available for affordable housing development, which is one of the needs identified in this plan. These projects included the Fair Oaks Plaza, Onizuka Crossing, Habitat Homes, and Parkside Studios. Another City-owned site known as Block 15 of the Downtown Specific Plan is currently under Planning Entitlement review for 90 units of affordable housing; construction is anticipated in late 2020. The City's Public Works Department handles all City property management and surplus property matters.

AP-20 Annual Goals and Objectives

Goals Summary Information

Table 7 – Goals Summary

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding 19/20	Goal Outcome Indicator
1	Affordable Housing	2019	2020	Affordable Housing	Citywide	Affordable Housing	CDBG: \$358,646	Homeowner Housing Rehabilitated: 10 Affordable Rental Rehabilitation Housing Unit: 100 Units
2	Alleviation of Homelessness	2019	2020	Homeless	Citywide	Homeless or at Imminent Risk of Homelessness	HOME: \$0 CDBG: \$900,000	Public facility acquisition: 9,000 Households Assisted. City currently has large Tenant-based rental assistance / Rapid Rehousing contract in place with 2018 HOME funds: 50 Households Assisted
3	Other Community Development Efforts	2019	2020	Non-Homeless Special Needs Non-Housing Community Development	Citywide	Public Services or Facilities	CDBG: \$380,557	Public Service Grants and ADA Curb Ramps. Persons Assisted Other: 1900+
4	Expand Economic Opportunities	2019	2020	Expand Economic Opportunities	Citywide	Economic Opportunities for Lower Income People	CDBG: \$432,493	Jobs created/retained: 20 Jobs Other: 80 Other

Goal Descriptions

1	Goal Name	Affordable Housing
	Goal Description	<p>Goal A: Affordable Housing</p> <p>Needs Addressed</p> <p>Assist in the creation, improvement, and preservation of affordable housing for lower-income and special needs households by supporting affordable rental housing, providing the Home Improvement Program, and promoting fair housing choice.</p> <p>Geographic Area</p> <p>Affordable housing assistance is provided throughout the City, in order to avoid concentration of poverty, and to ensure fair access to affordable housing, rehabilitation assistance, and homeownership opportunities in all neighborhoods.</p> <p>Goal Outcome Indicator</p> <p>1. Home:</p> <ul style="list-style-type: none"> CHDO Set-aside (statutory requirement, project site TBD) <p>2. CDBG:</p> <ul style="list-style-type: none"> Home improvement program: 10 Units Fair Housing Services : 25 Households Rehabilitate 100 units of senior affordable housing
2	Goal Name	Alleviation of Homelessness
	Goal Description	<p>Goal B: Alleviation of Homelessness</p> <p>Needs Addressed</p> <p>Help people who are currently homeless or at imminent risk of homelessness to obtain housing, employment or other sources of income, and adequate support services/networks to achieve stability.</p> <p>Geographic Area</p> <p>City-wide</p> <p>Goal Outcome Indicator</p> <p>Assist 50 households with job training and/or rental assistance.</p>

3	Goal Name	Other Community Development Efforts
	Goal Description	<p>Goal C: Other Community Development Efforts</p> <p>Needs Addressed</p> <ol style="list-style-type: none"> 1. The needs of very low, extremely low, and/or special needs households(seniors, disabled, homeless people, children, youth, victims of domestic violence, etc. for services and assistance to help them meet basic needs. 2. Need to improve infrastructure and facilities to improve accessibility and address other goals. <p>Geographic Area</p> <p>City-wide</p> <p>Goal Outcome Indicator(s)</p> <ol style="list-style-type: none"> 1. Assist 410 Households with human services. 3. Construct 40 ADA curb ramps on City sidewalks
4	Goal Name	Expand Economic Opportunities
	Goal Description	<p>Goal D: Expand Economic Opportunities</p> <p>Support economic development activities that promote employment growth and help lower-income and/or homeless people secure and maintain jobs.</p> <p>Geographic Area</p> <p>City-wide</p> <p>Goal:</p> <p>55 Households assisted annually</p> <p>Job Placements: Assist 20 clients to obtain jobs.</p> <p>Job skills/classes: Assist 80 homeless or very low income clients with job training/skills and classes to enable them to obtain or improve their employment and housing opportunities.</p>

AP-35 Projects – 91.220(d)

Introduction

The activities that the City will undertake in FY 2019/20 using CDBG and HOME funds include: human services grants; rental housing rehabilitation and energy efficiency; employment development services for homeless and at-risk clients; access improvements, fair housing services, and program administration, as shown below. All of these activities are eligible for the indicated funding type based on federal regulations associated with these grants, and all of them meet one or more priority needs as identified through the City's citizen participation process.

Projects

Table 8 - Project Information	
#	Project Name
1	CDBG Administration and Monitoring
2	Minor Home Improvement Grants/Loans (Home Improvement Program)
3	Plaza de la Flores Energy Efficiency and Rehabilitation
4	WorkFirst Sunnyvale CBDO Activity
5	Public Services [5 projects]
6	ADA Curb Ramps
7	Home Administration and Monitoring
8	CHDO Set-Aside
9	Public Facility Acquisition Project (Sunnyvale Community Services)
<u>10</u>	<u>2020 Coronavirus Rent Relief and Community Support Program</u>
<u>11</u>	<u>Ravenswood COVID-19 Testing and Vaccines</u>
<u>12</u>	<u>Emergency Assistance for Households with Disabilities</u>
<u>13</u>	<u>Project WeHope – Mobile Showers, Laundry and Case Management</u>
<u>14</u>	<u>Santa Clara County Quarantine Program</u>
<u>15</u>	<u>CDBG-CV Administration and Monitoring</u>

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

Funding Priorities:

The projects listed above were selected based on the needs identified in the Consolidated Plan, and in response to the proposals for funding received, and an evaluation of project feasibility.

Each year the City solicits proposals from local organizations for CDBG and HOME funding for eligible housing and community development programs or projects in Sunnyvale. The Request for Proposals sets forth the types of public services (human services) programs, capital and housing projects that are eligible for CDBG or HOME funding from the City, and explains the City's process for evaluating and scoring proposals, determining award amounts, and approving awards. The City provides human services grants

for public services which address identified priority needs and principally benefit lower-income people in Sunnyvale.

Applications for the human services grants are solicited every other year, following hearings held by the Housing and Human Services Commission (HHSC) and Council to determine the City's current "priority human service needs" The "priority needs" for the City of Sunnyvale's Community Development Block Grant Program were determined through a series of community meetings held between September 2014 and April 2015, and were adopted as part of the 2015-2020 Consolidated Plan in accordance with regulations established by HUD.

FY 2019/20 is the first year of the two-year funding cycle for human services and the City confirmed in November 2018 that the list of Priority Needs in the 2015 Consolidated Plan continues to be valid.

Obstacles to Meeting Underserved Needs:

In the past several years, the primary obstacle to meeting underserved local needs in the near term has been the significant delays and continued uncertainty regarding federal appropriations for the CDBG and HOME programs, and other HUD programs, such as the Section 8 program and public housing funding, as well as changes to the LIHTC created by the recent federal tax reform bill. The region also continues to struggle finding a qualified CHDO to allocate funds toward.

AP-38 Project Summary

1	Project Name	CDBG Administration and Monitoring
	Target Area	Citywide
	Goals Supported	Affordable Housing
	Needs Addressed	
	Funding	CDBG: \$250,000
	Description	Program administration for CDBG Program activities.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	N/A. Final amount of CDBG administration will depend on final program income received for prior program year.
	Location Description	Citywide
2	Project Name	Minor Home Improvement Grants/Loans (Home Improvement Program)
	Target Area	Citywide
	Goals Supported	Affordable Housing
	Needs Addressed	Affordable Housing
	Funding	CDBG: \$50,000
	Description	Minor Improvement Grants/Loans
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	Assist at least 10 households with minor home improvement grants or loans.
	Location Description	Citywide
3	Project Name	Plaza de las Flores Energy Efficiency and Rehabilitation
	Target Area	Citywide

	Goals Supported	Affordable Housing
	Needs Addressed	Affordable Housing
	Funding	CDBG: \$308,646
	Description	Replace all windows in existing senior affordable housing community with energy efficient windows for efficiency and noise purposes.
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	The project will assist in rehabilitation of a senior affordable housing complex which has 100 one bedroom apartments affordable to those making 50% AMI or less. Approximately 110 persons reside in this complex.
	Location Description	233 Carroll St, Sunnyvale, CA 94086
	Planned Activities	Rehabilitate senior affordable housing community built in the 1980's to incorporate energy efficient and noise reducing windows throughout the development.
4	Project Name	WorkFirst Sunnyvale CBDO Activity
	Target Area	Citywide
	Goals Supported	Expand Economic Opportunities
	Needs Addressed	Economic Opportunities for Lower Income People
	Funding	CDBG: \$432,493
	Description	Economic Development/CDBO Activity
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	Assist 60 homeless or very low income clients with job training and job placement services, to enable them to obtain or improve their employment and housing opportunities.
	Location Description	Citywide
	Planned Activities	This program provides work-readiness training, job placement assistance, and supportive services for homeless and at-risk clients.
5	Project Name	Public Services
	Target Area	Citywide
	Goals Supported	Other Community Development Efforts
	Needs Addressed	Public Services or Facilities
	Funding	CDBG: \$191,568

	Description	Human Services Grants to non-profit agencies
	Target Date	6/30/2019
	Estimate the number and type of families that will benefit from the proposed activities	Assist approximately 550 individuals and/or households with human services through 5 separate non-profit programs.
	Location Description	Citywide
	Planned Activities	Assist clients with basic needs, such as food, shelter, transportation, health & mental health care, employment assistance/training, legal aid, etc.
6	Project Name	ADA Curb Ramps
	Target Area	Citywide
	Goals Supported	Other Community Development Efforts
	Needs Addressed	Public Services or Facilities
	Funding	CDBG: \$188,989
	Description	Construct curb ramps and related ADA retrofits or improvements to pedestrian infrastructure
	Target Date	6/30/2021
	Estimate the number and type of families that will benefit from the proposed activities	40 curb ramps installed. Funding from previous years of unallocated CDBG.
	Location Description	Citywide
	Planned Activities	Construct an estimated 40 curb ramps on city sidewalks
7	Project Name	Home Administration and Monitoring
	Target Area	Citywide
	Goals Supported	Affordable Housing
	Needs Addressed	Affordable Housing
	Funding	HOME: \$91,159
	Description	Administration of HOME Activities : Planning and Monitoring (Includes authorized program income (PA))
	Target Date	6/30/2020

	Estimate the number and type of families that will benefit from the proposed activities	N/A
	Location Description	Citywide
	Planned Activities	Funds are used for administering the HOME program, includes costs of HOME capital project management, tracking grant funds and program income, subrecipient monitoring, reporting, and compliance with federal requirements such as environmental, labor, and anti-discrimination. Indirect costs (overhead) are also included in program administration.
8	Project Name	CHDO Set-Aside
	Target Area	Countywide
	Goals Supported	Affordable Housing
	Needs Addressed	Affordable Housing
	Funding	HOME: \$60,097
	Description	To provide funding to a qualified Sunnyvale CHDO with a project site
	Target Date	6/30/2020
	Estimate the number and type of families that will benefit from the proposed activities	N/A. No CHDO application was received for 2019 funds.
	Location Description	TBD
	Planned Activities	Identify eligible Sunnyvale CHDO with a project site
9	Project Name	Public Facility Acquisition Project (Sunnyvale Community Services)
	Target Area	Citywide
	Goals Supported	Alleviation of Homelessness and Other Community Development Efforts
	Needs Addressed	Safety net services for extremely and very low-income residents
	Funding	CDBG: \$900,000
	Description	Purchase a new facility for Sunnyvale Community Services, to expand and enhance their services to the lower income members of the community
	Target Date	6/30/2020

	Estimate the number and type of families that will benefit from the proposed activities	9,000 to 12,000 extremely and very low-income families will be served annually by Sunnyvale Community Services.
	Location Description	Citywide
	Planned Activities	CDBG funds will be used towards acquisition of a new facility. Purchase of the facility will allow Sunnyvale Community Services to increase their capacity in assisting clients with food, transportation, housing/financial assistance, case management/benefits counseling, employment assistance, etc.
<u>10</u>	<u>Project Name</u>	<u>2020 Coronavirus Rent Relief and Community Support Program</u>
	<u>Target Area</u>	<u>Citywide</u>
	<u>Goals Supported</u>	<u>Alleviation of Homelessness and Other Community Development Efforts</u>
	<u>Needs Addressed</u>	<u>Safety net services for extremely and very low-income residents</u>
	<u>Funding</u>	<u>CDBG-CV: \$350,000 + \$776,165 = \$1,126,165 (total)</u>
	<u>Description</u>	<u>To provide funding to administer a rent relief and community assistance (i.e., food, case management) program</u>
	<u>Target Date</u>	<u>6/30/2020</u>
	<u>Estimate the number and type of families that will benefit from the proposed activities</u>	<u>Up to 60 additional extremely and very low-income families will be served annually.</u>
	<u>Location Description</u>	<u>Citywide</u>
	<u>Planned Activities</u>	<u>Assist clients through administering rent payments and other forms of financial assistance, in conjunction with case management/basic needs services, to households impacted by COVID-19</u>

<u>11</u>	<u>Project Name</u>	<u>Ravenswood – COVID-19 Testing and Vaccines (CDBG-CV)</u>
	<u>Target Area</u>	<u>Citywide</u>
	<u>Goals Supported</u>	<u>Other Community Development Efforts</u>
	<u>Needs Addressed</u>	<u>Minimizing the impacts of COVID-19 on the community</u>
	<u>Funding</u>	<u>CDBG-CV: \$203,930</u>
	<u>Description</u>	<u>To provide funding to administer a rent relief and community assistance (i.e., food, case management) program</u>
	<u>Target Date</u>	<u>12/30/2021</u>
	<u>Estimate the number and type of families that will benefit from the proposed activities</u>	<u>Up to 1,800 extremely and very low-income families will be served through the COVID-19 testing and vaccines program.</u>
	<u>Location Description</u>	<u>Citywide</u>
	<u>Planned Activities</u>	<u>Provide up to 400 unduplicated patients with COVID-19 testing – and 2,634 COVID vaccinations to 1,317 unduplicated patients.</u>
<u>12</u>	<u>Project Name</u>	<u>Emergency Assistance for Households with Disabilities</u>
	<u>Target Area</u>	<u>Citywide</u>
	<u>Goals Supported</u>	<u>Alleviation of Homelessness and Other Community Development Efforts</u>
	<u>Needs Addressed</u>	<u>Safety net services for extremely and very low-income residents</u>
	<u>Funding</u>	<u>CDBG-CV: \$91,671</u>
	<u>Description</u>	<u>To provide funding to administer a financial assistance program, specifically serving households with disabilities.</u>
	<u>Target Date</u>	<u>12/30/2021</u>
	<u>Estimate the number and type of families that will benefit from the proposed activities</u>	<u>Up to 22 extremely and very low-income families will be served annually.</u>
	<u>Location Description</u>	<u>Citywide</u>
	<u>Planned Activities</u>	<u>Assist clients through administering rent payments and other forms of financial assistance, in conjunction with case management/basic needs services, to households impacted by COVID-19</u>

13	<u>Project Name</u>	<u>Project WeHope – Mobile Showers, Laundry and Case Management</u>
	<u>Target Area</u>	<u>Citywide</u>
	<u>Goals Supported</u>	<u>Alleviation of Homelessness and Other Community Development Efforts</u>
	<u>Needs Addressed</u>	<u>Safety net services for extremely and very low-income residents</u>
	<u>Funding</u>	<u>CDBG-CV: 50,000</u>
	<u>Description</u>	<u>To provide funding to reduce the spread of COVID-19 within the unhoused community by providing ongoing opportunities to shower and launder clothes.</u>
	<u>Target Date</u>	<u>12/30/2021</u>
	<u>Estimate the number and type of families that will benefit from the proposed activities</u>	<u>Up to 20 extremely low-income, unhoused clients will be served weekly.</u>
	<u>Location Description</u>	<u>Citywide</u>
14	<u>Planned Activities</u>	<u>Assist clients in having opportunities to focus on their hygiene on a regular basis by providing opportunities to take a shower, launder clothes and meet with a Case Manager.</u>
	<u>Project Name</u>	<u>Santa Clara County Quarantine Program</u>
	<u>Target Area</u>	<u>Citywide</u>
	<u>Goals Supported</u>	<u>Affordable Housing</u>
	<u>Needs Addressed</u>	<u>Minimizing the spread of COVID-19 in the local community.</u>
	<u>Funding</u>	<u>CDBG-CV: \$135,000</u>
	<u>Description</u>	<u>To provide hotel/motel vouchers, for quarantine purposes, for individuals exposed to or positive for COVID-19 who cannot afford to quarantine away from household members.</u>
	<u>Target Date</u>	<u>6/30/2022</u>
	<u>Estimate the number and type of families that will benefit from the proposed activities</u>	<u>Up to 75 low-income households will be served throughout the duration of the program.</u>
	<u>Location Description</u>	<u>Citywide</u>
	<u>Planned Activities</u>	<u>Hotel/motel vouchers and placement services for individuals exposed to COVID-19.</u>

15	<u>Project Name</u>	<u>CDBG-CV Administration and Monitoring</u>
	<u>Target Area</u>	<u>Citywide</u>
	<u>Goals Supported</u>	<u>Affordable Housing</u>
	<u>Needs Addressed</u>	
	<u>Funding</u>	<u>CDBG-CV: \$104,399</u>
	<u>Description</u>	<u>Administrative activities related to contract management.</u>
	<u>Target Date</u>	<u>6/30/2022</u>
	<u>Estimate the number and type of families that will benefit from the proposed activities</u>	<u>To be determined by the conclusion of the program year.</u>
	<u>Location Description</u>	<u>Citywide</u>
	<u>Planned Activities</u>	<u>Request for Proposal processes, monitoring, contract preparation and coordination efforts.</u>

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed:

Investments will be allocated citywide for affordable housing services and related capital projects, homeless services, fair housing, and public services.

Geographic Distribution

Table 5 - Geographic Distribution

Target Area	Percentage of Funds
Citywide	100%

Rationale for the priorities for allocating investments geographically:

Most of the CDBG and/or HOME-funded programs and services are provided on a city-wide basis to income-eligible and/or special needs households. Certain capital projects are assisted at a specific site, based on the location of the project, but projects may be proposed in any area of the City. Projects that qualify for CDBG funding based on the area benefit method are limited to areas with the required proportion of lower- and moderate-income residents as determined by HUD.

Human services are supported in a number of facilities and locations throughout the City, and in some cases just outside the City, as long as Sunnyvale residents are being served by the program. Affordable housing assistance is generally provided anywhere in the City, as opportunities arise, in order to avoid concentration of poverty, and to ensure fair access to affordable rental housing, rehabilitation assistance, and homeownership opportunities in all neighborhoods. The City does not have any blighted areas requiring major redevelopment, and private development is occurring throughout the City.

AP-55 Affordable Housing – 91.220(g)

Introduction

The City's housing programs are intended to prevent and/or end homelessness, improve the quality, affordability, and/or accessibility of housing, and preserve, maintain, and extend the useful life of existing affordable housing. The goals below include only the new CDBG and HOME activities to be funded in FY 2019/20. Other activities funded in prior years' Action Plans through non-HUD programs, will continue to be funded using carry-over funds, and will assist additional households.

Table 6 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households to be Supported	
Homeless	20
Non-Homeless	110
Special-Needs	10
Total	140

Table 7 - One Year Goals for Affordable Housing by Support Type

One Year Goals for the Number of Households Supported	
Rental Assistance	0
The Production of New Units	0
Rehab of Existing Units	110
Acquisition of Existing Units	0
Total	110

AP-60 Public Housing – 91.220(h)

Actions planned during the next year to address the needs to public housing:

Not applicable.

Actions to encourage public housing residents to become more involved in management and participate in homeownership:

Not applicable.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance:

Not applicable.

Discussion:

Needs of Public Housing

This section is not applicable as there is currently no official public housing in Sunnyvale. The City collaborates with the Housing Authority of the County of Santa Clara (HACSC) on its efforts to provide Section 8 vouchers, mortgage credit certificates, supportive services and other assistance to Sunnyvale residents. The City also supports the HACSC in its efforts to increase federal appropriations for Section 8 and other affordable housing programs in Sunnyvale and in the County.

The City has partnered with the Housing Authority on several efforts in recent years, including a joint TBRA program for clients on the Section 8 waiting list, and several Sunnyvale housing projects which were awarded project-based vouchers, including the Fair Oaks Senior Housing project, two senior group homes, Parkside Studios, Onizuka Apartments, and Edwina Benner Plaza.

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

This Action Plan includes a planned allocation of \$432,493 for a program that helps homeless people obtain jobs (WorkFirst Sunnyvale), as well as funding for various public services, several of which address homelessness. The City continues to participate in the county-wide efforts to end homelessness throughout the County. Recently, the City approved over \$12 million in funds to assist the development of Block 15, which will have a 25% set aside for developmentally disabled adults, expected to break ground in 2020.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The WorkFirst Sunnyvale programs include outreach to unsheltered homeless clients and assessment of their needs.

Addressing the emergency shelter and transitional housing needs of homeless persons

One of the public services sub-recipients, YWCA, provides emergency shelter, transitional housing, and services to homeless clients and victims of domestic violence. In addition, the County operates a year round Shelter Program facility in Sunnyvale with 125 beds that takes referrals and receives supportive services from a number of the City's CDBG sub-recipients.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

Several of the activities funded help homeless clients and families transition to permanent housing, including: WorkFirst Sunnyvale, and the human services grant to YWCA. The total CDBG funding planned for these activities is \$457,493 in 2019.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from

public or private agencies that address housing, health, social services, employment, education, or youth needs.

See discussion below.

Discussion

Several of the Human Services grants will support the provision of services intended to prevent homelessness of Sunnyvale residents including the grants to Sunnyvale Community Services, YWCA, and Bill Wilson Center. In addition, the fair housing services provided can also help tenants avoid eviction and/or homelessness by addressing discrimination practices. In addition to the activities funded with CDBG, the City also provides assistance to other programs that aim to prevent homelessness using local funds or previous year HOME funds. These include grants to Senior Adults Legal Services, funding for tenant-landlord mediation programs, and the Homelessness Prevention and Rapid Re-Housing Program funded with general funds and prior year HOME funds.

AP-75 Barriers to affordable housing – 91.220(j)

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment:

The City does not impose any public policies that unreasonably constrain housing development. There are no growth limitations or rent control policies, and property tax policies are largely set by the State. The City's land use designations and zoning are supportive of development of a wide variety of housing types, including single- and multi-family housing, ownership and rental, mobile homes, manufactured/modular housing, and so on. The City follows the State building code, and its fees and charges are reasonable and consistent with prevailing prices in the region. Between 2006 and 2015, a total of 4,933 new housing units were issued building permits. This equaled an average of 493 new units per year, slightly higher than the City's historic annual average which is closer to 300 annually. Fifty-five percent of the new units permitted during that ten-year period were in multi-family rental projects. Since 2018, 1,183 residential units have been constructed with 277 of those affordable to very low, low, or moderate income households.

In 2014, the City updated the Constraints Analysis of its Housing Element, as required under California Housing Element law (Government Code 65580), to analyze city policies and land use regulations to determine if they had any negative effects on development. The State determined, with its certification in January 2015 of the City's 2015-2023 Housing Element, that the City does not currently implement policies that create barriers to affordable housing. Additional detail is available in the City's Housing Element, available on the City's website and in the Sunnyvale Library. As noted above, thousands of new dwelling units of various types and price points have been developed and additional units renovated in recent years, in most cases without any direct assistance from the City. This demonstrates that the City's policies do not unduly constrain residential development. In addition, the City has successfully assisted a number of affordable housing developments in recent years, which demonstrates that City policies do not have negative effects on assisted housing production. Non-governmental barriers, primarily market factors such as high land costs, construction costs, and high prevailing market prices for housing, have been the primary challenges facing jurisdictions in the region, including Sunnyvale, in recent years, not city land use policies. These barriers are addressed, within the City's limited ability to address them, through the housing activities listed above and through the goals and policies listed in the Housing Element.

AP-85 Other Actions – 91.220(k)

Introduction:

This section discusses the City's efforts in addressing the underserved needs, expanding and preserving affordable housing, reducing lead-based paint hazards, and developing institutional structure for delivering housing and community development activities.

Actions planned to address obstacles to meeting underserved needs:

The City has addressed obstacles to meeting underserved needs by adopting programs to generate local funds for affordable housing through impact fees or new development of affordable units (inclusionary zoning). The primary obstacle to meeting unmet needs is the continued decline in federal appropriations for affordable housing and community development programs. The City has established local policies to address needs to the extent possible with local resources.

Actions planned to foster and maintain affordable housing:

Please see AP-35 (i.e., Affordable Housing Rehabilitation, Fair Housing Services, and Home Improvement Program).

Actions planned to reduce lead-based paint hazards:

The City provides financial assistance to income-eligible homeowners to abate lead-based paint hazards through its Home Improvement Program. Paint grants and loans, as well as housing rehabilitation loans, are provided, as well as free lead-based paint testing and education services. Lead-based paint hazards in rental housing can also be addressed through housing rehabilitation loans.

Actions planned to reduce the number of poverty-level families:

In 2014 the City adopted a new minimum wage ordinance increasing the local minimum wage, it is currently \$15.65/hour. It will be adjusted annually thereafter by the CPI. This action alone will help many local low-wage workers increase their household incomes to above the federal poverty levels.

The North Valley Workforce and Investment Board ("NOVA") helps prepare lower-income and unemployed residents of Sunnyvale for career growth and stable employment. NOVA provides employment and training services to low-income workers and dislocated professionals within Sunnyvale and the surrounding region under the federal Workforce Investment Act (WIA), with a goal of helping these clients obtain jobs and improve career prospects. In addition, many of the human services supported by the City help impoverished families meet their basic needs in the short term, or help them achieve living-wage employment. Although the economic situation has improved in the Silicon Valley since 2010, there is still an increased level of need and demand for assistance with employment services as well as basic needs. The City, which serves as the administrative agent for NOVA, will continue its

ongoing efforts to improve economic opportunities for all of its residents through NOVA and the City's Economic Development Division.

The WorkFirst Sunnyvale Program is also a major anti-poverty program that helps Sunnyvale residents who are currently homeless or at imminent risk of homelessness increase their incomes through job training and placement, and other employment-supportive services.

Actions planned to develop institutional structure:

The institutional structure for carrying out the City's housing and community development activities consists of the City's cooperative relationships within its departments and other government agencies, local residents, non-profit organizations, and other institutions involved in the activities described herein.

Actions planned to enhance coordination between public and private housing and social service agencies:

The City and other community development organizations in the County coordinate frequently on a variety of initiatives. The City Housing Division staff participates in a collaborative of HUD entitlement grantees within the County, which holds quarterly meetings to discuss activities, technical assistance issues, and identify future opportunities for coordination and cooperation between local governments, housing providers, social service agencies, and the Housing Authority.

The City also participates in the County's Continuum of Care (CoC), comprised of governmental agencies, homeless service and shelter providers, homeless persons, housing advocates, affordable housing developers, and various private parties, including businesses and foundations. The CoC prepares the Countywide Homelessness Continuum of Care Plan, which seeks to create a comprehensive and coordinated system of affordable housing and supportive services for the prevention, reduction, and eventual end of homelessness. The Plan provides a common guide for the County, cities, service providers, the faith community, the business sector, philanthropy, and the broader community to follow in addressing local housing and the goals and services needs for homeless people. The actions included in this Plan to address housing needs and homelessness are consistent with the CoC's plans and policies. The City's Housing Officer has served on the CoC's "Review and Rank" panel, evaluating and scoring applications for CoC funding, for the past three years.

Sunnyvale also coordinates with other regional agencies, such as the Housing Trust of Silicon Valley, Joint Venture Silicon Valley, NOVA, the County-wide Fair Housing Task Force, and the Valley Transportation Authority, and a number of other non-profit or public agencies, to achieve the goals described within this Action Plan.

AP-90 Program Specific Requirements – 91.220(l)(1,2,4)

Introduction:

Overall Benefit: FY 2018-2019

Community Development Block Grant Program (CDBG)

Reference 24 CFR 91.220(l)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total ESTIMATED amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	150,000
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	150,000

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income in FY 2019/20.	90.00%
Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	

HOME Investment Partnership Program (HOME)

Reference 24 CFR 91.220(l)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows: N/A
2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

Not applicable: The City has not allocated any HOME funds for homebuyer program activities since 2014. The City does not plan to fund any home buyer loans with HOME funds for the foreseeable

future, since market-rate home prices in the City are far too high for such a program to be feasible, and the City has enough local (non-federal) Housing funds to meet demand for down payment assistance loans related to its inclusionary housing program.

For several years prior to 2014, the City allocated HOME funds for a First-Time Home Buyer (FTHB) Program, but only a very small number of HOME loans were made, and those were used to buy price-restricted affordable homes through the City's inclusionary home buyer program. These homes are subject to a thirty-year resale restriction. Currently there are four outstanding FTHB loans made with HOME funds at that time. These four loans are subject to the "resale" restriction rather than the "recapture" provision, consistent with the City's First Time Home Buyer Program guidelines, and the inclusionary program's resale restrictions. These restrictions are also included in the HOME loan documents and affordability covenants recorded against the home. The FTHB Program Guidelines, available online, and the provisions of the City's HOME Promissory Note and Loan Agreement comply with the resale provisions and requirements set forth in 24 CFR 92.254, and were approved by HUD in 2011. For the four participants who purchased inclusionary homes, the "resale option" was used to meet HOME program requirements. Although the market-rate home purchase option was made available for the several years during which the HOME FTHB program operated, and during that time the City had loan documents and guidelines available to impose the "recapture" provisions, the City never made any HOME loans to assist with purchase of market-rate homes, as none ever progressed to an escrow closing. The City does not plan to allocate HOME funds to home buyer activities in the future because the unpredictability of such transactions occurring in any given year does not mesh well with the expenditure deadlines imposed by the HOME regulations, and because there is no inventory of market-rate homes affordable to lower-income buyers.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

Please see above. The City's FTHB program has not been funded with HOME funds since 2014 and the City does not intend to fund such activities in the future. The four outstanding HOME-funded FTHB loans made before 2014 used the resale method, which is a 30-year price restriction imposed through the City's inclusionary housing program (a.k.a. Below Market Rate Home Ownership Program, Sunnyvale Municipal Code 19.67) documents and is also included in the FTHB loan documents. For more information about the FTHB guidelines and the BMR Program, please see the information on the City's website: <https://sunnyvale.ca.gov/civicax/filebank/blobdload.aspx?BlobID=22887> [note that Guidelines still reference HOME funds as a possible funding source, although the City is no longer

allocating HOME funds to this program.]

Chapter 19.67 of Sunnyvale Municipal Code:

http://qcode.us/codes/sunnyvale/view.php?topic=19-5-19_67-19_67_070&frames=off

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

Not Applicable. The City has not and does not plan to use HOME funds to refinance existing debt on multi-family housing.

The City does not use any of the methods addressed in Questions 1-4 above in administering its HOME programs.

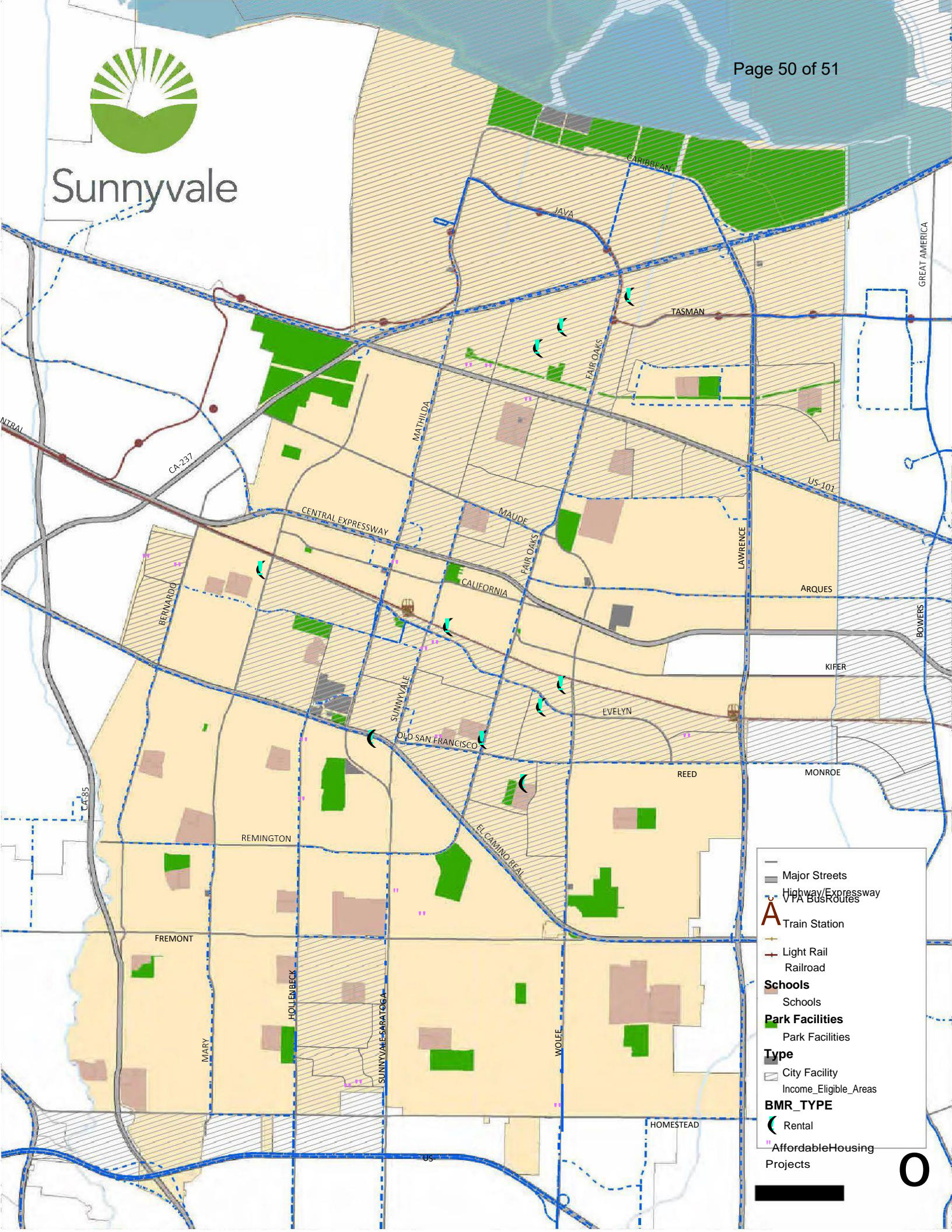
Attachments

Proof of Publication and Citizen Participation Comments

Map of CDBG Eligible Neighborhoods



Sunnyvale



Major Streets
 Highway/Expressway
 VTA Bus Routes

Train Station

Light Rail
 Railroad

Schools
 Schools

Park Facilities
 Park Facilities

Type
 City Facility
 Income_Eligible_Areas

BMR_TYPE
 Rental
 Affordable Housing Projects



City of Sunnyvale

Meeting Minutes - Draft (excerpt) Housing and Human Services Commission

Wednesday, February 24, 2021

7:00 PM

Telepresence Meeting: Web Stream

- 2** [21-0067](#) Consider Approval of Draft Third Substantial Amendment to the 2019 Housing and Urban Development (HUD) Action Plan

Leif Christiansen, Housing Programs Analyst, provided the staff report.

Chair Hiremath asked if there were any questions of staff by any of the commissioners. He then opened and closed the public hearing at 8:35 p.m. No members of the public asked to speak.

After a short discussion and clarifying questions of staff, Chair Hiremath asked for a motion.

MOTION: Commissioner Gilbert moved and Vice Chair Stetson seconded the motion to approve Alternative 1: Recommend that the City Council Approve the Third Substantial Amendment to the 2019 Action Plan as shown in Attachment 3 of the staff report.

The motion carried by the following vote:

Yes: 6 - Chair Hiremath
 Vice Chair Stetson
 Commissioner Gilbert
 Commissioner Kwok
 Commissioner Sell
 Commissioner White

No: 0



City of Sunnyvale

Agenda Item

21-0184

Agenda Date: 3/30/2021

REPORT TO COUNCIL

SUBJECT

Introduce an Ordinance Repealing Ordinance No. 2898-09 and Designating Certain Streets in the Area of the Caltrain Station as Preferential Parking Zones

BACKGROUND

Ordinance No. 2898-09 (Attachment 1) adopted on June 9, 2009, designated certain streets near the Caltrain Station as a preferential parking zone. The Ordinance allows residents within the zone the option to purchase a residential parking permit that allows permit holders to park on preferential parking zone streets for periods longer than the posted 7-hour time limit, but still subject to California Vehicle Code restrictions on parking vehicles for longer than 72 hours. The Caltrain Station Preferential Parking Zone includes streets north and south of the Caltrain Station. The streets included in the preferential parking zone include the following:

North of the Caltrain Station

- Angel Avenue between Beemer Avenue and N. Taaffe Street
- Beemer Avenue between Angel Avenue and N. Murphy Avenue
- N. Frances Street between W. Hendy Avenue and California Avenue
- W. Hendy Avenue between N. Taaffe Street and N. Murphy Avenue
- N. Murphy Avenue between W. Hendy Avenue and California Avenue
- N. Taaffe Street between W. Hendy Avenue and Beemer Avenue

South of the Caltrain Station

- Charles Street between W. Evelyn Avenue and W. McKinley Avenue
- Florence Street between W. Evelyn Avenue and W. McKinley Avenue
- Washington Avenue between Charles Street and Waverly Street
- Waverly Street between W. Evelyn Avenue and W. McKinley Avenue

Subsequent to the establishment of the preferential parking zone, the following changes were made to the on-street parking restrictions based on the preference of residents on each street:

- N. Frances Street between W. Hendy Avenue and Beemer Avenue - Changed 7-hour parking time limit to 2-hour parking time limit
- Waverly Street between W. Evelyn Avenue and Washington Avenue - Removed 7-hour parking limit to eliminate on-street parking time limits
- Florence Street between Washington Avenue and W. McKinley Avenue - Removed 7-hour parking limit to eliminate on-street parking time limits

A map of the existing preferential parking zone and current parking restrictions is included in

Attachment 2.

EXISTING POLICY

Sunnyvale Municipal Code (SMC) Chapter 10.26 Preferential Parking on Residential Street allows the City Council to designate by ordinance (Attachment 3) certain residential streets as a preferential parking zone.

ENVIRONMENTAL REVIEW

The adoption of this Ordinance is exempt from the requirements of the California Environmental Quality Act (CEQA) pursuant to Section 15061 (b)(3) of the CEQA Guidelines since it can be seen with certainty that there is no possibility the adoption and implementation of this Ordinance may have a significant effect on the environment.

DISCUSSION

On June 9, 2009, City Council adopted an ordinance that designated certain streets in the area of the Sunnyvale Caltrain Station as a preferential parking zone. Prior to this date, many of the residential streets near the Sunnyvale Caltrain Station had a 7-hour parking time limit for all vehicles that was enforced Monday through Friday from 8 a.m. to 6 p.m. with no permit parking program. The permit parking program established for this area as part of the 2009 Ordinance allows residents with parking permits to park longer than the posted time limits.

The posted parking time limit restrictions had been effective for several years in discouraging commuters from parking in the neighborhood and maintained adequate parking availability for neighborhood residents to park on the preferential parking zone streets. However, the on-street parking demand has increased recently which has hindered residents with preferential parking zone permits from finding on-street parking space near their homes. Based on staff observations and reports from residents, the increase in parking demand in this area can be attributed to several factors:

- As work schedules have become more flexible, daily Caltrain commuters have been observed parking in the neighborhood after 11 a.m. and would not violate the posted restrictions as the 7-hour time limit ends at 6 p.m.
- Nearby office buildings in Peery Park appear to have more workers per square feet above standard office rates, causing overflow to park in neighboring preferential parking zone streets. The workers have been observed re-parking their vehicles once per day or start work at 11 a.m. to avoid violating the 7-hour time limit, which ends at 6 p.m.
- The parking demand for Downtown has increased and will continue to increase as redevelopment occurs.

Because of these factors, several residents in the area have requested to reduce the on-street parking time limits to discourage commuters from continuing to park in the neighborhood.

On Nov. 25, 2019, the City received a petition from close to 90% of the residents on N. Taaffe Street and Angel Avenue requesting the City change the parking time limits from 7 hours to 2 hours. The petition submitted by residents was enough evidence to show support for the change on these streets. However, making the change to the parking time limit to two hours for only those two streets in the neighborhood could lead to shifting of parking problems to the other adjacent streets. In order

to avoid having streets with different time limits in the same neighborhood and parking concentrated in the streets with 7-hour parking, staff wanted to determine if there was support to change the on-street parking time limits to 2 hours on the other streets within the preferential parking zone. Although the Caltrain Station Preferential Parking Zone includes streets north and south of the Caltrain Railroad, the streets south of the railroad do not have the same level of parking demand and therefore were not included in the effort to change the on-street parking time limits.

On Feb. 26, 2020, staff from the Department of Public Works and the Department of Public Safety held a neighborhood meeting to inform residents within the northern Caltrain Preferential Parking Zone that the City received a petition to modify the on-street parking time limits, answer some of the questions about parking impacts in the neighborhood, and to let the residents know their options. Staff informed the meeting attendees that a survey would be mailed to each resident and property owner to determine the support for changing the on-street parking time limits from 7 hours to 2 hours. The City received survey responses from property owners or occupants representing 100 out of 214 households in the neighborhood. Out of the 100 responses, 85 or 85% were in favor of changing the on-street parking time limit from 7 hours to 2 hours.

Approximately 47% of the households in the project area responded to the survey, with a large majority (85%) favoring the on-street parking time limit change to 2 hours. Although the number of respondents was less than half of the total number of households surveyed, City staff feels that the results of the survey reflect the overall preference of the neighborhood. There may be several reasons why a more robust survey response did not occur. First, the survey was conducted via mail in spring and summer of 2020 during the early stages of the Covid-19 global pandemic and Santa Clara County's shelter-in-place order. During this time, the neighborhood on-street parking demand was significantly reduced as some businesses suspended on-site operations, employees worked from home, and Caltrain ridership declined. Since the neighborhood parking demand was low, residents may have felt less compelled at that time to respond to the survey.

In conducting the survey, City staff made several efforts to ensure that the survey results reflect the preference of the residents, which included the following:

- A pre-addressed survey card with pre-paid postage was mailed to each resident and property owner in March 2020 clearly indicating the two choices (2-hour or 7-hour) for the on-street parking time limits and included a deadline date to return the survey by April 2020. In addition, the survey card noted that a failure to return the survey indicated that the resident had no preference and the preferred on-street parking time limit will be determined by residents that returned the survey.
- The survey deadline was extended several weeks as a number of residents indicated that their mail delivery service was slow during March and April.
- A second survey was conducted in August/September targeting households that did not respond to the first survey to provide one last opportunity to respond to the survey.
- After the survey results were tabulated, City staff in November 2020 contacted the residents that submitted the initial petition to determine if they wished to continue with their efforts to change the on-street parking time limits since the parking demand in the neighborhood had changed. After the residents spoke to several of their neighbors, they responded that they

wanted to continue with the process as they felt that the neighborhood parking demand will eventually increase as the effects of Covid-19 diminish.

Per Sunnyvale Municipal Code Chapter 10.26 Preferential Parking on Residential Streets, not less than 50% of the properties in the proposed Preferential Parking area need to support the parking zone. Staff has interpreted the survey results of 85% support amongst the 47% response rate as being adequate to continue the public hearing process on this issue.

In addition, the City collected on-street parking data for the neighborhood in February 2020 during a typical weekday on Thursday during two consecutive weeks. Parking data was collected at 11 a.m., 3 p.m., and 8 p.m. The data showed that the peak of the on-street parking demand was at 11 a.m. with 70% of the on-street parking spaces occupied. Of the number of vehicles parked in the neighborhood at 11 a.m., 77% of the vehicles belonged to non-residents and 23% belonged to residents. Additionally, the data showed that the streets closer to the businesses in Peery Park and the Caltrain Station had higher on-street parking occupancy rates with Angel Avenue, Beemer Avenue, and N. Taaffe Street over 80% occupied during the 11 a.m. hour. The parking survey data is summarized in a table shown in Attachment 4.

In summary, 85% of the neighborhood residents and property owners who responded to the survey support changing the parking time limits from 7 hours to 2 hours in the neighborhood. In addition, the parking data shows that during the midday peak parking period over 75% of parked vehicles are from non-residents. By changing the on-street parking time limits to 2 hours, the non-resident parking in the neighborhood will be reduced and would most likely allow residents to find parking closer to their homes.

In addition to changing the on-street parking time limits for streets north of the Caltrain Station from 7 hours to 2 hours in the new Ordinance, the following changes are being made to reflect the current conditions where the streets below had the time limited residential parking permit signs taken removed per residents requests:

- Waverly Street between W. Evelyn Avenue and Washington Avenue will be removed from the preferential permit parking program
- Florence Street between Washington Avenue and W. McKinley Avenue will be removed from the preferential permit parking program

A draft Ordinance is shown in Attachment 5.

FISCAL IMPACT

Staff estimates that the cost to change the existing 7-hour parking signs to 2-hour parking signs for the streets in question will be \$12,726.07. This includes cost for manufacturing 78 signs, 7 sign posts, materials, and staff time. Staff will work to see if this cost can be reduced by modifying the existing signs instead of fabricating all new signs. Funding is available in Capital Improvement Project 834370 - Traffic Sign Installation and Maintenance.

PUBLIC CONTACT

Public contact was made by posting the Council agenda on the City's official-notice bulletin board outside City Hall, Sunnyvale Public Library and Department of Public Safety. In addition, the agenda

and report are available at the Office of the City Clerk and on the City's website.

In addition, residents who requested updates from staff on this issue were notified by email about the City Council meeting.

ALTERNATIVES

1. Introduce an Ordinance Repealing Ordinance No. 2898-09 and designating certain streets in the area of the Caltrain Station as Preferential Parking Zones.
2. Introduce an Ordinance Repealing Ordinance No. 2898-09 and designating certain streets in the area of the Caltrain Station as Preferential Parking Zones, with modifications.
3. Do not repeal Ordinance No. 2898-09 establishing the Caltrain Station Preferential Parking Zone.

STAFF RECOMMENDATION

Alternative 1: Introduce an Ordinance repealing Ordinance No. 2898-09 and designating certain streets in the area of the Caltrain Station as Preferential Parking Zones.

Prepared by: Ralph Garcia, Senior Transportation Engineer

Reviewed by: Dennis Ng, Transportation and Traffic Manager, Public Works

Reviewed by: Chip Taylor, Director, Public Works

Reviewed by: Teri Silva, Assistant City Manager

Approved by: Kent Steffens, City Manager

ATTACHMENTS

1. Ordinance No. 2898-09 An Ordinance of the City Council of the City of Sunnyvale Designating Certain Streets in the Area of the Caltrain Station as Preferential Parking Zones for the Benefit of Residents Adjacent Thereto
2. Caltrain Station Preferential Parking Zone Map with Current On-street Parking Restrictions
3. Sunnyvale Municipal Code (SMC) Chapter 10.26 Preferential Parking on Residential Street allows the City Council to designate by ordinance certain residential streets as a preferential parking zone
4. Caltrain Station Area Parking Count
5. Draft of New Preferential Parking Ordinance for the Caltrain Station Area

ORDINANCE NO. 2898-09

**AN ORDINANCE OF THE CITY COUNCIL OF THE CITY
OF SUNNYVALE DESIGNATING CERTAIN STREETS IN
THE AREA OF THE CALTRAIN STATION AS
PREFERENTIAL PARKING ZONES FOR THE BENEFIT
OF RESIDENTS ADJACENT THERETO**

WHEREAS, residents of Charles Street between McKinley Avenue and Evelyn Avenue, Hendy Avenue between Taaffe Street and Murphy Avenue; Frances Street between Hendy Avenue and California Avenue, Taaffe Street between Hendy Avenue and Beemer Avenue, Angel Avenue between Beemer Avenue and Murphy Avenue, Beemer Avenue between Angel Avenue and Murphy Avenue, Murphy Avenue between Hendy Avenue and California Avenue, Washington Avenue between Charles Street and Waverly Street, Waverly Street between Evelyn Avenue and McKinley Avenue, and Florence Street between Evelyn Avenue and McKinley Avenue have provided input and have requested modifications to the 7-hour parking restrictions in the area of the Sunnyvale Caltrain Station; and

WHEREAS, staff has recommended modification of the City's traffic control regulations document to allow for an exemption of the 7-hour limited parking regulations for residents of Charles Street between McKinley Avenue and Evelyn Avenue, Hendy Avenue between Taaffe Street and Murphy Avenue; Frances Street between Hendy Avenue and California Avenue, Taaffe Street between Hendy Avenue and Beemer Avenue, Angel Avenue between Beemer Avenue and Murphy Avenue, Beemer Avenue between Angel Avenue and Murphy Avenue, Murphy Avenue between Hendy Avenue and California Avenue, Washington Avenue between Charles Street and Waverly Street, Waverly Street between Evelyn Avenue and McKinley Avenue, and Florence Street between Evelyn Avenue and McKinley Avenue; and

WHEREAS, under Section 10.26.020, the City Council has been given the authority to adopt ordinances to designate certain residential streets or alleys or any portions thereof as a preferential parking zone for the benefit of residents adjacent thereto.

NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF SUNNYVALE DOES ORDAIN AS FOLLOWS:

SECTION 1. FINDINGS. In accordance with Sunnyvale Municipal Code 10.26.030 and based upon staff's Report to Council, the Council finds and determines that each preferential parking zone will enhance or protect the quality of life in the area of the proposed zone and that such zone is necessary to provide reasonably available and convenient parking for the benefit of the adjacent residents, based on the following criteria established to the satisfaction of the City Council:

(a) Commuter and non-residentially oriented vehicles do, or may, substantially and regularly interfere with the use of the majority of available public street or alley parking spaces by adjacent residents;

(b) The interference by vehicles referred to in subsection (a) of this section occurs at regular and significant intervals. For purposes of this chapter, such interference shall be presumed to be regular and significant if it occurs, under typical circumstances, not less frequently than three times per week, Monday through Friday;

(c) The establishment of the proposed zone would benefit a majority of the residents adjacent to the proposed zone. Written requests for such a zone by residents of not less than fifty percent of the residentially developed parcels adjacent to the proposed zone shall constitute rebuttable evidence of such benefit;

(d) No reasonable displacement of commuter or non-residentially oriented vehicles into surrounding residential areas will result. In making this determination, substantial weight shall be given to the availability of alternate public and private parking facilities, and in particular underutilized or underdeveloped facilities or areas;

(e) A shortage of reasonably available and convenient residentially related parking spaces exist in the area of the proposed zone; and

(f) No alternative solution is feasible or practical.

SECTION 2. PREFERENTIAL PARKING ZONES APPROVED. It is hereby found and determined that an exemption of the 7-hour limited parking regulations for the residents of Charles Street, Hendy Avenue, Frances Street, Taaffe Street, Angel Avenue, Beemer Avenue, Murphy Avenue, Washington Avenue, Waverly Street and Florence Street in the area of the Caltrain Station is necessary and desirable; and staff is directed to incorporate the exemptions into the City's traffic control regulations document as preferential parking zones.

SECTION 3. CEQA - EXEMPTION. The City Council finds, pursuant to Title 14 of the California Code of Regulations, Section 15061(b)(3), that this ordinance is exempt from the requirements of the California Environmental Quality Act (CEQA) in that it is not a Project which has the potential for causing a significant effect on the environment.

SECTION 4. CONSTITUTIONALITY; SEVERABILITY. If any section, subsection, sentence, clause or phrase of this ordinance is for any reason held to be invalid, such decision or decisions shall not affect the validity of the remaining portions of this ordinance. The City Council hereby declares that it would have passed this ordinance, and each section, subsection, sentence, clause and phrase thereof irrespective of the fact that any one or more sections, subsections, sentences, clauses or phrases be declared invalid.

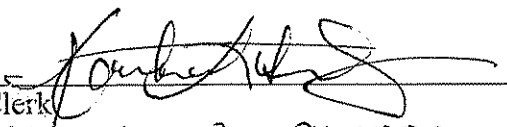
SECTION 5. EFFECTIVE DATE. This ordinance shall be in full force and effect thirty (30) days from and after the date of its adoption.

SECTION 6. POSTING AND PUBLICATION. The City Clerk is directed to cause copies of this ordinance to be posted in three (3) prominent places in the City of Sunnyvale and to cause publication once in The Sun, the official publication of legal notices of the City of Sunnyvale, of a notice setting forth the date of adoption, the title of this ordinance, and a list of places where copies of this ordinance are posted, within fifteen (15) days after adoption of this ordinance.

Introduced at a regular meeting of the City Council held on June 2, 2009, and adopted as an ordinance of the City of Sunnyvale at a regular meeting of the City Council held on July 9, 2009, by the following vote:

AYES:	WHITTUM, SWEGLES, MOYLAN, SPITALERI, CHU, HOWE, HAMILTON
NOES:	NONE
ABSTAIN:	NONE
ABSENT:	NONE

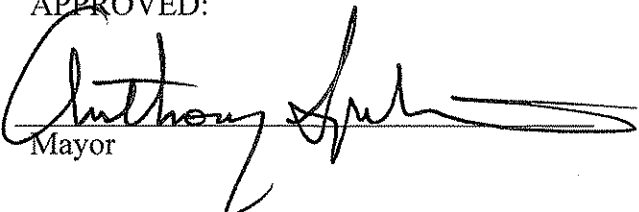
ATTEST:



City Clerk
Date of Attestation: June 24, 2009

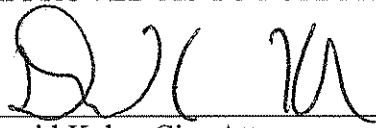
(SEAL)

APPROVED:



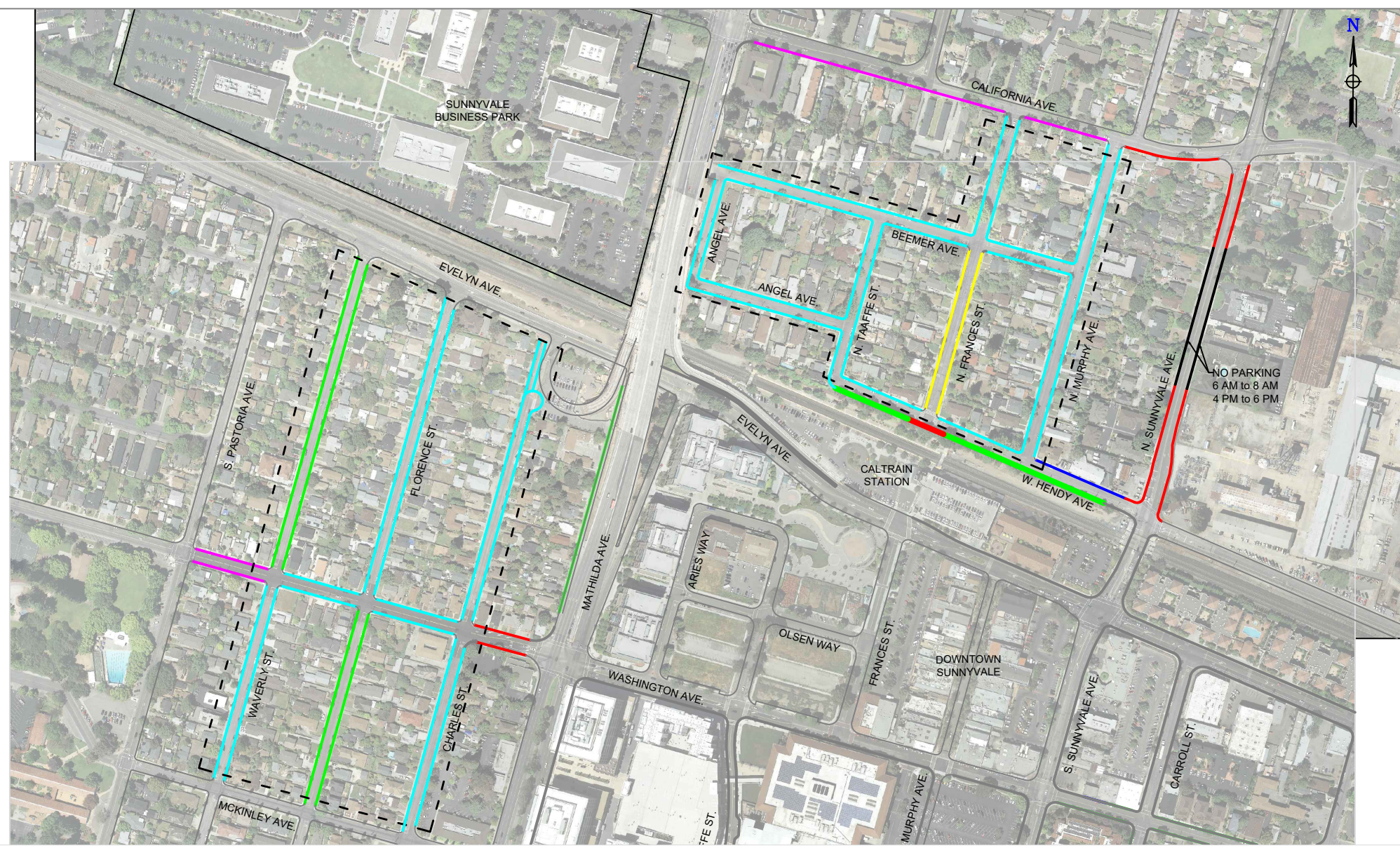
Mayor

APPROVED AS TO FORM AND LEGALITY:



David Kahn, City Attorney

Caltrain Station Preferential Parking Zone Map with Current On-street Parking Restrictions



- | | |
|---|--|
| — No Restrictions | — 4 Hour Time Limit Parking |
| — No Parking/Stopping | — 7 Hour Time Limit Parking |
| — 2 Hour Time Limit Parking | Caltrain Station Preferential Permit Parking Zone |
| — 3 Hour Time Limit Parking | |

Sunnyvale Municipal Code
Chapter 10.26, Preferential Parking on Residential Street

10.26.010. Definitions.

The following words and phrases and their derivations when used in this chapter shall, for the purposes of this chapter, have the meanings respectively ascribed to them.

(1) "Commuter and non-residentially oriented vehicles" means any motor vehicles operated by persons whose destinations are to non-residentially developed properties.

(2) "Frontage" means the property line, or length thereof, of any parcel adjoining a street or other public right-of-way, whether said property line is a front or side property line.

(3) "Residential street or alley" means any street or alley or portion thereof, at least six hundred feet in length or extending between two intersecting streets or alleys, if both of the following conditions are met:

(A) Not less than seventy-five percent of the total frontage along both sides of said street or alley or portion thereof is within a residential or public facilities zone designation; and

(B) Not less than fifty percent of all of the parcels having frontage on either side of said street or alley or portion thereof are residentially developed. For purposes of this subsection, parcels combined in a single integrated use shall be deemed to be a single parcel.

(4) "Residential zone designation" means any of the following zoning districts, as defined in Title 19, whether or not combined with any district set forth in Chapter 19.20:

(A) R-0, R-1, R-1.5, R-1.7/PD (Low density residential)

(B) R-2 (Low-medium density residential)

(C) R-3 (Medium density residential)

(D) R-4 (High density residential)

(E) R-5 (High density residential and office)

(F) R-MH (Residential-mobile home).

(5) "Residentially developed" means a parcel which has constructed on it one or more dwelling units, the use of which for residential occupancy purposes constitutes the primary use of the property. (Ord. 2524-95 § 1; Ord. 2053-83 § 2 (part)).

10.26.020. Establishment of preferential parking zones.

The city council may designate by ordinance certain residential streets or alleys or any portions thereof not less than one hundred fifty feet in length, or either or both sides thereof, as a preferential parking zone for the benefit of residents adjacent thereto, in which zone vehicles displaying a permit or other authorized indicia may be exempt from parking

prohibitions or restrictions established pursuant to Section 10.24.010, 10.24.020, or 10.24.030 and otherwise posted, marked or noticed. (Ord. 2053-83 § 2 (part)).

10.26.030. Findings.

Each preferential parking zone may be designated only upon findings that such zone is required to enhance or protect the quality of life in the area of the proposed zone and that such zone is necessary to provide reasonably available and convenient parking for the benefit of the adjacent residents. Such findings shall be based upon the following criteria established to the satisfaction of the city council:

(a) Commuter and non-residentially oriented vehicles do, or may, substantially and regularly interfere with the use of the majority of available public street or alley parking spaces by adjacent residents;

(b) The interference by vehicles referred to in subsection (a) of this section occurs at regular and significant intervals. For purposes of this chapter, such interference shall be presumed to be regular and significant if it occurs, under typical circumstances, not less frequently than three times per week, Monday through Friday;

(c) The establishment of the proposed zone would benefit a majority of the residents adjacent to the proposed zone. Written requests for such a zone by residents of not less than fifty percent of the residentially developed parcels adjacent to the proposed zone shall constitute rebuttable evidence of such benefit;

(d) No reasonable displacement of commuter or non-residentially oriented vehicles into surrounding residential areas will result. In making this determination, substantial weight shall be given to the availability of alternate public and private parking facilities, and in particular underutilized or underdeveloped facilities or areas;

(e) A shortage of reasonably available and convenient residentially related parking spaces exist in the area of the proposed zone; and

(f) No alternative solution is feasible or practical. (Ord. 2053-82 § 2 (part)).

10.26.040. Notice of preferential parking zone.

No preferential parking ordinance shall apply until signs or markings giving adequate notice thereof have been placed. (Ord. 2053-83 § 2 (part)).

10.26.050. Issuance of permits.

(a) The department of public works shall be responsible for the issuance of permits pursuant to this chapter. Applicants for such permits shall present such proof, as may be required by said department, of residence adjacent to the area designated as a preferential parking zone and of the number of vehicles registered at said residence regularly used by the applicant. Not more than one permit shall be issued for each such motor vehicle. Not more than three permits shall be issued for each qualified dwelling unit to any qualified applicant or applicants. The occupants

of an accessory dwelling unit shall be entitled to one permit in addition to any permits issued to the occupants of the primary residence. Applicants may be granted additional permits by the department of public works upon a showing that additional vehicles are registered at the address of such dwelling unit or regularly used by residents thereof and that insufficient off-street parking is available to the applicant during the effective hours of the preferential parking zone. The department of public works shall prescribe appropriate application forms and procedures with respect to such permits. The form of the permit shall be prescribed by the department of public works. The department of public works may also issue to qualified applicants one or more temporary guest permits upon a showing of need therefor and in such form as may be prescribed by the department of public works. Such temporary permits shall be valid only for the date shown on the face of such permits.

(b) Permits issued pursuant to this section shall remain effective for a period of one calendar year or fraction thereof, or so long as the applicant continues to reside in a qualified dwelling unit for such permit or until the preferential parking zone for which such permit was issued is eliminated, whichever period of time is less; provided, that any temporary guest permits issued hereunder shall be effective for a period not to exceed twenty-four hours.

(c) Each permit shall be subject to all conditions and restrictions set forth in this chapter and of the preferential parking zone for which it was issued, including conditions or restrictions which may be altered or amended from time to time. The issuance of such permit shall not be construed to be a permit for, or approval of, any violation of any provisions of this code or any other laws or regulations. (Ord. 3105-16 § 1; Ord. 2929-10 § 1; Ord. 2053-83 § 2 (part)).

10.26.060. Prohibitions.

(a) No vehicle shall be parked or stopped adjacent to any curb in a preferential parking zone in violation of any posted or noticed prohibition or restriction unless such vehicle shall have prominently displayed, in the matter set forth herein, a permit indicating an exemption from such restriction or prohibition.

(b) It is unlawful for any person to sell, rent or lease, or cause to be sold, rented or leased for any value or consideration any preferential parking permit. Upon conviction of a violation of this subsection, all preferential permits issued to, or for the benefit of, the dwelling unit for which the sold, rented or leased permit was authorized shall be void.

(c) It is unlawful for any person to buy or otherwise acquire for value or use any preferential parking permit except as provided for in this chapter. (Ord. 2053-83 § 2 (part)).

10.26.070. Locations and restrictions.

The director of public works or designee shall install, at such streets or alleys or portions thereof as have been declared to be preferential parking zones, appropriate signs or markings giving notice of such parking restriction. (Ord. 2053-83 § 2 (part)).

Caltrain Station Permit Parking Study - Parking Count
Date: February 2020

			Location:		1				2				3				4			
			Segment		Angel Avenue south of Beemer Avenue				Angel Avenue west of N Taaffe St.				N Taaffe Street between Beemer Avenue and Hendy Avenue				Beemer Avenue between Angel Avenue and Murphy Avenue			
			Direction		East Side - NB		West Side - SB		North Side - WB		South Side - EB		East Side - NB		West Side - SB		North Side - WB		South Side - EB	
			Total Number of Available Parking		11	%	17	%	18	%	16	%	18	%	14	%	33	%	37	%
AVERAGE OF TWO CONSECUTIVE THURSDAYS	11:00 AM	Total Vehicles Parked*	9	82%	17	100%	13	72%	12	75%	13	72%	14	100%	29	88%	32	86%		
		Total Parked (Resident)**	2	22%	1	6%	3	23%	2	17%	4	31%	3	21%	7	24%	11	34%		
		Total Parked (Non-Resident)***	7	78%	16	94%	10	77%	10	83%	9	69%	11	79%	22	76%	21	66%		
	3:00 PM	Total Vehicles Parked*	7	64%	12	71%	13	72%	9	56%	10	56%	11	79%	24	73%	26	70%		
		Total Parked (Resident)**	1	14%	1	8%	4	31%	2	22%	5	50%	3	27%	8	33%	8	31%		
		Total Parked (Non-Resident)***	6	86%	11	92%	9	69%	7	78%	5	50%	8	73%	16	67%	18	69%		
	8:00 PM	Total Vehicles Parked*	3	27%	2	12%	10	56%	6	38%	7	39%	5	36%	18	55%	20	54%		
		Total Parked (Resident)**	2	67%	1	50%	8	80%	4	67%	7	100%	3	60%	15	83%	15	75%		
		Total Parked (Non-Resident)***	1	33%	1	50%	2	20%	2	33%	0	0%	2	40%	3	17%	5	25%		

* Percentage = Total Number of Cars Parked/Total Number of Available Parking

** Percentage = Number of Residents Parked/ Total Vehicles Parked

*** Percentage = Number of Non-Residents Parked/ Total Vehicles Parked

		Location:		5				6				7				TOTAL	
		Segment		N Frances Street between California Avenue and Beemer Avenue				N Murphy Avenue between California Avenue and Hendy Avenue				Hendy Avenue between N Taaffe Street and N Murphy Avenue					
		Direction		East Side - NB		West Side - SB		West Side - SB		East Side - NB		North Side - WB		South Side - EB			
		Total Number of Available Parking		13	%	16	%	34	%	36	%	19	%	25	%		
AVERAGE OF TWO CONSECUTIVE THURSDAYS	11:00 AM	Total Vehicles Parked*		8	62%	11	69%	10	29%	12	33%	12	63%	22	88%	214	70%
		Total Parked (Resident)**		2	25%	2	18%	5	50%	4	33%	1	8%	3	14%	50	23%
		Total Parked (Non-Resident)***		6	75%	9	82%	5	50%	8	67%	11	92%	19	86%	164	77%
	3:00 PM	Total Vehicles Parked*		6	46%	6	38%	14	41%	14	39%	10	53%	17	68%	179	58%
		Total Parked (Resident)**		2	33%	1	17%	8	57%	7	50%	2	20%	3	18%	55	31%
		Total Parked (Non-Resident)***		4	67%	5	83%	6	43%	7	50%	8	80%	14	82%	124	69%
	8:00 PM	Total Vehicles Parked*		4	31%	2	13%	20	59%	17	47%	7	37%	6	24%	127	41%
		Total Parked (Resident)**		4	100%	2	100%	15	75%	15	88%	4	57%	4	67%	99	78%
		Total Parked (Non-Resident)***		0	0%	0	0%	5	25%	2	12%	3	43%	2	33%	28	22%

* Percentage = Total Number of Cars Parked/Total Number of Available Parking

** Percentage = Number of Residents Parked/ Total Vehicles Parked

*** Percentage = Number of Non-Residents Parked/ Total Vehicles Parked

ORDINANCE NO. _____

**AN UNCODIFIED ORDINANCE OF THE CITY COUNCIL
OF THE CITY OF SUNNYVALE REPEALING ORDINANCE
NO. 2898-09 AND DESIGNATING CERTAIN STREETS IN
THE AREA OF THE CALTRAIN STATION AS
PREFERENTIAL PARKING ZONES FOR THE BENEFIT
OF RESIDENTS ADJACENT THERETO**

WHEREAS, on June 9, 2009, Council adopted Ordinance No. 2898-09 that designated certain streets around the Sunnyvale Caltrain Station as preferential parking zones. On Nov. 25, 2019, the City received a petition from nearly 90% of the residents on N. Taaffe Street and Angel Avenue requesting a change in the parking time limits from 7 hours to 2 hours. Staff collected additional data and surveys and determined that the current increase in parking demand in this neighborhood warrants new parking restrictions to reduce the on-street parking time limits in certain areas north of the Sunnyvale Caltrain Station while eliminating the preferential parking zones on certain street segments south of the Sunnyvale Caltrain Station. Accordingly, Ordinance No 2898-09 shall be repealed and replaced with this current ordinance; and

WHEREAS, north of the Sunnyvale Caltrain Station, residents of W. Hendy Avenue between N. Taaffe Street and N. Murphy Avenue; N. Frances Street between W. Hendy Avenue and California Avenue; N. Taaffe Street between W. Hendy Avenue and Beemer Avenue; Angel Avenue between Beemer Avenue and N. Taaffe Street; Beemer Avenue between Angel Avenue and N. Murphy Avenue; and N. Murphy Avenue between W. Hendy Avenue and California Avenue have provided input and have requested modifications to the 2-hour parking restrictions in the area of the Sunnyvale Caltrain Station; and

WHEREAS, south of the Sunnyvale Caltrain Station, residents of Charles Street between W. McKinley Avenue and W. Evelyn Avenue; Florence Street between W. Evelyn Avenue and Washington Avenue; Washington Avenue between Waverly Street and Charles Street; and Waverly Street between Washington Avenue and W. McKinley Avenue have provided input and have requested modifications to the 7-hour parking restrictions in the area of the Sunnyvale Caltrain Station; and

WHEREAS, staff has recommended modifications of the City's traffic control regulations document to allow for an exemption of the limited parking regulations for residents of W. Hendy Avenue between N. Taaffe Street and N. Murphy Avenue; N. Frances Street between W. Hendy Avenue and California Avenue; N. Taaffe Street between W. Hendy Avenue and Beemer Avenue; Angel Avenue between Beemer Avenue and N. Taaffe Street; Beemer Avenue between Angel Avenue and N. Murphy Avenue; N. Murphy Avenue between W. Hendy Avenue and California Avenue; Charles Street between W. McKinley Avenue and W. Evelyn Avenue; Florence Street between W. Evelyn Avenue and Washington Avenue; Washington Avenue between Waverly Street and Charles Street; and Waverly Street between Washington Avenue and W. McKinley Avenue; and

WHEREAS, under Sunnyvale Municipal Code Section 10.26.020, the City Council may

designate by ordinance certain residential streets or alleys or any portions thereof as a preferential parking zone for the benefit of residents adjacent thereto.

NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF SUNNYVALE DOES ORDAIN AS FOLLOWS:

SECTION 1. REPEAL OF ORDINANCE NO. 2898-09. Ordinance No. 2898-09 is hereby repealed.

SECTION 2. FINDINGS. In accordance with Sunnyvale Municipal Code 10.26.030 and based upon staff's Report to Council, the Council finds and determines that each preferential parking zone will enhance or protect the quality of life in the area of the proposed zone and that such zone is necessary to provide reasonably available and convenient parking for the benefit of the adjacent residents, based on the following criteria established to the satisfaction of the City Council:

(a) Commuter and non-residentially oriented vehicles do, or may, substantially and regularly interfere with the use of the majority of available public street or alley parking spaces by adjacent residents;

(b) The interference by vehicles referred to in subsection (a) of this section occurs at regular and significant intervals. For purposes of this chapter, such interference shall be presumed to be regular and significant if it occurs, under typical circumstances, not less frequently than three times per week, Monday through Friday;

(c) The establishment of the proposed zone would benefit a majority of the residents adjacent to the proposed zone. Written requests for such a zone by residents of not less than fifty percent of the residentially developed parcels adjacent to the proposed zone shall constitute rebuttable evidence of such benefit;

(d) No reasonable displacement of commuter or non-residentially oriented vehicles into surrounding residential areas will result. In making this determination, substantial weight shall be given to the availability of alternate public and private parking facilities, and in particular underutilized or underdeveloped facilities or areas;

(e) A shortage of reasonably available and convenient residentially related parking spaces exist in the area of the proposed zone; and

(f) No alternative solution is feasible or practical.

SECTION 3. PREFERENTIAL PARKING ZONES APPROVED. It is hereby found and determined that an exemption of the 2-hour limited parking regulations for the residents of W. Hendy Avenue, N. Frances Street, N. Taaffe Street, Angel Avenue, Beemer Avenue, and N. Murphy Avenue; and an exemption of the 7-hour limited parking regulations for the residents of Charles Street, Washington Avenue, Waverly Street, and Florence Street in the area of the Caltrain Station is necessary and desirable; and staff is directed to incorporate the exemptions into the City's

traffic control regulations document as preferential parking zones.

SECTION 4. CEQA - EXEMPTION. The City Council finds, pursuant to Title 14 of the California Code of Regulations, Section 15061(b)(3), that this ordinance is exempt from the requirements of the California Environmental Quality Act (CEQA) in that it is not a Project which has the potential for causing a significant effect on the environment.

SECTION 5. CONSTITUTIONALITY; SEVERABILITY. If any section, subsection, sentence, clause or phrase of this ordinance is for any reason held to be invalid, such decision or decisions shall not affect the validity of the remaining portions of this ordinance. The City Council hereby declares that it would have passed this ordinance, and each section, subsection, sentence, clause and phrase thereof irrespective of the fact that any one or more sections, subsections, sentences, clauses or phrases be declared invalid.

SECTION 6. EFFECTIVE DATE. This ordinance shall be in full force and effect thirty (30) days from and after the date of its adoption.

SECTION 7. POSTING AND PUBLICATION. The City Clerk is directed to cause copies of this ordinance to be posted in three (3) prominent places in the City of Sunnyvale and to cause publication once in The Sun, the official publication of legal notices of the City of Sunnyvale, of a notice setting forth the date of adoption, the title of this ordinance, and a list of places where copies of this ordinance are posted, within fifteen (15) days after adoption of this ordinance.

Introduced at a regular meeting of the City Council held on _____, and adopted as an ordinance of the City of Sunnyvale at a regular meeting of the City Council held on _____, by the following vote:

AYES:

NOES:

ABSTAIN:

ABSENT:

RECUSAL:

ATTEST:

APPROVED:

City Clerk
Date of Attestation: _____

Mayor

(SEAL)

APPROVED AS TO FORM:

City Attorney



City of Sunnyvale

Agenda Item

21-0002

Agenda Date: 3/30/2021

REPORT TO COUNCIL

SUBJECT

Appoint Applicants to the Board of Library Trustees and the Heritage Preservation Commission

APPOINTMENTS

The City has ten Council-appointed boards and commissions to recommend and advise City Council on specific policy-related issues for possible Council study and action, and to provide a forum and opportunity for broad community participation in the identification and prioritization of those issues. The term length for boards and commissions is four years, with staggered terms expiring June 30 of each year. Council makes appointments annually in May or June to fill seats with expiring terms to serve with an effective date of July 1. In addition, the Council fills vacancies as necessary quarterly throughout the year.

Per Council Policy 7.2.19 Boards and Commissions, appointments of board and commission members are placed on the City Council meeting agenda. When one or more Councilmembers participate via teleconference, the appointment process is conducted by Individual Candidate Votes: The Mayor will announce by board or commission each vacancy including its term, and then will read each applicant's name. Council will vote on each applicant. The candidate receiving the most affirmative votes and at least four affirmative votes, will be appointed. The process is repeated for each board or commission.

Resolving ties: Should a tie between the candidates receiving the most affirmative votes occur, the affected applicants will be voted on again. If a tie still remains, and the affected applicants each have received at least four affirmative votes, the Mayor would ask the City Attorney to draw the name of the person to be appointed.

Should no candidate receive at least four affirmative votes, the vacancy will remain.

Below is the list of current applicants, the applicants' preferences as indicated on their application (when the applicant has applied for more than one board or commission), and terms of appointments. Applicant information is available upon request from the Office of the City Clerk at cityclerk@sunnyvale.ca.gov or 408-730-7483:

Board of Building Code Appeals (one term to 6/30/2024)

No applications received

Board of Library Trustees (one term to 6/30/2024)

Jenny Bratton (4th preference)

Andrew Ma (only preference)

Heritage Preservation Commission (one term to 6/30/2023)

Jenny Bratton (1st preference)

Housing and Human Services Commission (one term to 6/30/2024)

No applications received

Terms will be effective March 30, 2021. Following appointments, the staff liaison for each board or commission will provide a board/commission-specific orientation and each new member is required to take the Oath of Office, sign the Model of Excellence and attend the board and commission orientation hosted by the Office of the City Clerk. A ceremonial oath will be offered to all incoming members. Continuing vacancies will be scheduled for a future recruitment.

EXISTING POLICY

Council Policy 7.2.19 Boards and Commissions

Administrative Policy, Chapter 1, General Management, Article 15 Boards and Commissions

ENVIRONMENTAL REVIEW

The action being considered does not constitute a “project” within the meaning of the California Environmental Quality Act (“CEQA”) pursuant to CEQA Guidelines section 15378(b)(5) in that it is a governmental, organizational or administrative activity that will not result in direct or indirect changes in the environment.

FISCAL IMPACT

None.

PUBLIC CONTACT

Public contact was made by posting the Council agenda on the City's official-notice bulletin board outside City Hall, Sunnyvale Public Library and Department of Public Safety. In addition, the agenda and report are available at the Office of the City Clerk and on the City's website.

ALTERNATIVES

1. Appoint commissioners from the applicants listed in this report.
2. Provide other direction to staff on how to proceed.

STAFF RECOMMENDATION

Staff makes no recommendation.

Prepared by: David Carnahan, City Clerk



City of Sunnyvale

Agenda Item

21-0366

Agenda Date: 3/30/2021

Tentative Council Meeting Agenda Calendar



City of Sunnyvale

Tentative Council Meeting Agenda Calendar

Tuesday, April 6, 2021 - City Council

Closed Session

- 21-0447** 5 P.M. SPECIAL COUNCIL MEETING (Closed Session)
Closed Session held pursuant to California Government Code Section 54956.8: CONFERENCE WITH REAL PROPERTY NEGOTIATORS
Property: Potential Acquisition of land on 1100 block of Manzano way, Sunnyvale, CA
Agency negotiator: Kent Steffens, City Manager; Chip Taylor, Director of Public Works; and Sherine Nafie, City Property Administrator
Negotiating parties: City and County of San Francisco, Owner
Under negotiation: Price and terms

Study Session

- 21-0384** 5:30 P.M. SPECIAL COUNCIL MEETING (Study Session)
Impact on the Unhoused in Sunnyvale During the COVID-19 Pandemic

Special Order of the Day

- 21-0015** SPECIAL ORDER OF THE DAY - Ceremonial Oath of Office for Board and Commission Members (As Needed)
- 21-0346** SPECIAL ORDER OF THE DAY - Earth Month
- 21-0348** SPECIAL ORDER OF THE DAY - Recognition of Green Businesses
- 21-0344** SPECIAL ORDER OF THE DAY - Arbor Day Celebration and Proclamation

Public Hearings/General Business

- 21-0083** Direct Staff to Develop an Ordinance to Reduce Use of Single-Use Plastic Foodware and Condiment Containers and Return to City Council for Approval after Completion of CEQA Review.
- 21-0281** Receive and File the FY 2020/21 Third Quarter Budget Update
- 21-0450** REQUEST FOR CONTINUANCE TO MAY 4, 2021
Introduce an Ordinance Making Minor Amendments to Chapters 19.18 (Residential Zoning Districts), 19.20 (Commercial Zoning Districts), and 19.79 (Accessory Dwelling Units) of the Sunnyvale Municipal Code and Amending Chapter 19.98 (General Procedures) of the Sunnyvale Municipal

Code to Create a Procedure for Appealing Incompleteness Determinations, Adopt a Resolution to Amend the 2020/21 Citywide Fee Schedule Related to Appeals to Planning Commission, and Find that these Actions are Exempt from CEQA

- 21-0003** Discussion and Direction Regarding Amendments to Sunnyvale Municipal Code Section 2.28.030 Related to In-Lieu Petitions for Publication Costs of City Council Candidate Statements

Tuesday, April 20, 2021 - City Council

Study Session

- 21-0321** 6 P.M. SPECIAL COUNCIL MEETING (Study Session)
Tasman Drive Complete Street Corridor Study Update by Valley Transportation Authority

Special Order of the Day

- 21-0349** SPECIAL ORDER OF THE DAY - Asian Pacific American Heritage Month
- 21-0390** SPECIAL ORDER OF THE DAY - Affordable Housing Month

Public Hearings/General Business

- 21-0377** Proposed Project:
GENERAL PLAN AMENDMENT INITIATION (GPI): Request to initiate amendment of the Lakeside Specific Plan to modify timing requirement for hotel
Location: 1250 Lakeside (APNs: 216-43-014, -016, -017, -037, -038, -039 and -040)
File #: 2021-7118
Zoning: Lakeside Specific Plan
Applicant / Owner: Steve Curtin (applicant) / Sunnyvale Partners Ltd Co. (owner)
Environmental Review:
Project Planner: George Schroeder, (408) 730-7443, gschroeder@sunnyvale.ca.gov
- 21-0378** Designation of a Project Alternative for the Purposes of Environmental Impact Report (EIR) of Mary Avenue Overcrossing under the California Environmental Quality Act (CEQA); Approve Budget Modification No. XX in the Amount of \$295,092 from the Transportation Impact Fee funds; and Increase the Kimley-Horn and Associates contract from \$734,465 to \$982,730.
- 21-0066** Housing Strategy: Update and Discussion on Sunnyvale Mobile Home Park Memorandum of Understanding

Tuesday, May 4, 2021 - City Council

Study Session

21-0024 6 P.M. SPECIAL COUNCIL MEETING (Study Session)
Cultural Inclusion Study and Plan

Special Order of the Day

21-0350 SPECIAL ORDER OF THE DAY - Public Works Week

21-0388 SPECIAL ORDER OF THE DAY - Municipal Clerks Week

21-0389 SPECIAL ORDER OF THE DAY - Nurses Week

Public Hearings/General Business

21-0082 SMaRT MOU with Mountain View

21-0070 Consider 2021 HUD Annual Action Plan

21-0234 Public Hearing to Adopt a Resolution Confirming the Annual Report to Levy and Collect an Annual Assessment for the Downtown Sunnyvale Business Improvement District (BID) for Fiscal Year 2021/22

21-0343 CONTINUED FROM APRIL 6, 2021
Introduce an Ordinance to Amend Chapter 19.18 (Residential Zoning Districts), Chapter 19.20 (Commercial Zoning Districts), Chapter 19.26 (Combining Districts) and Chapter 19.79 (Accessory Dwelling Units) of Title 19 (Zoning) of the Sunnyvale Municipal Code

Monday, May 10, 2021 - City Council

Study Session

21-0115 6 P.M. SPECIAL COUNCIL MEETING (Study Session)
Board and Commission Interviews

Tuesday, May 11, 2021 - City Council

Study Session

21-0114 6 P.M. SPECIAL COUNCIL MEETING (Study Session)
Board and Commission Interviews

Thursday, May 20, 2021 - City Council

Public Hearings/General Business

21-0427 Funding for Study Issues for the FY 2021/22 Recommended Budget

Workshop

21-0116 8:30 A.M. SPECIAL COUNCIL MEETING (Workshop)
Budget Workshop

Tuesday, May 25, 2021 - City Council

Closed Session

21-0442 Closed Session Held Pursuant to California Government Code Section 54957:
PUBLIC EMPLOYEE PERFORMANCE EVALUATION
Title: City Manager

Public Hearings/General Business

21-0117 Board and Commission Appointments

21-0363 Moffett Park Specific Plan Land Use Alternatives

Tuesday, June 8, 2021 - City Council

Special Order of the Day

21-0118 SPECIAL ORDER OF THE DAY - Ceremonial Oath of Office for Board and Commission Members

21-0351 SPECIAL ORDER OF THE DAY - Lesbian, Gay, Bisexual, Transgender and Queer (LGBTQ) Pride Month

Public Hearings/General Business

21-0212 Annual City Council Public Hearing on FY 2021/22 Budget and Resource Allocation Plan and Establishment of Appropriations Limit and Sunnyvale Financing Authority Public Hearing on FY 2021/22 Budget

21-0037 Approval of the 2020 Urban Water Management Plan

21-0086 Ordinance Updates for Mandatory Organics Collection Regulation (SB 1383).

Tuesday, June 15, 2021 - City Council

Public Hearings/General Business

21-0090 Proposed Utility Rate Increases for FY 2021/22 Rates for Water, Wastewater, and Solid Waste Utilities for Services Provided to Customers Within and Outside City Boundaries; Finding of CEQA Exemption Pursuant to Public Resource Code Section 21080(b)(8) and CEQA Guidelines Section 15273

-
- 21-0091** Adopt a Resolution to Cause Charges for Non-Payment of Delinquent Utility Charges to be placed on the FY 2021/22 County of Santa Clara Property Tax Roll
- 21-0213** City Council Adoption of the FY 2021/22 Budget, Fee Schedule and Appropriations Limit, and Sunnyvale Financing Authority Adoption of the FY 2021/22 Budget

Tuesday, June 29, 2021 - City Council

Special Order of the Day

- 21-0352** SPECIAL ORDER OF THE DAY - Parks and Recreation Month

Public Hearings/General Business

- 21-0074** Housing Strategy Implementation: Consider Draft Mobile Home Park Memorandum of Understanding
- 21-0420** Adopt a Resolution to Approve the Final Engineer's Report, Confirm the Assessment, and Levy and Collect an Annual Assessment for The Downtown Parking Maintenance District for Fiscal Year 2021/22

Tuesday, July 13, 2021 - City Council

Public Hearings/General Business

- 21-0072** Introduce an Ordinance to amend Chapter 19.77 "Inclusionary Below Market Rate Rental Housing" and 19.69 "Below Market Rate Ownership Housing" in Title 19 ("Zoning") of the Sunnyvale Municipal Code to modify the City's Inclusionary Housing Programs
- 21-0443** Adopt a Resolution Confirming the Report and Assessment List for Unpaid Administrative Citations to be Placed on the FY 2021/22 County of Santa Clara Property Tax Roll, and Find that this Action is Exempt from CEQA

Tuesday, July 27, 2021 - City Council

Public Hearings/General Business

- 21-0120** Agenda Items Pending - to be scheduled

Tuesday, August 10, 2021 - City Council

Special Order of the Day

- 21-0438** SPECIAL ORDER OF THE DAY - Department of Public Safety Special Awards

Public Hearings/General Business

21-0201 Second Quarter General Plan Initiation Requests

Tuesday, August 17, 2021 - City Council

Study Session

21-0161 6 P.M. SPECIAL COUNCIL MEETING (Study Session)
Board and Commission Interviews (as needed)

Tuesday, August 31, 2021 - City Council

Study Session

21-0023 6 P.M. SPECIAL COUNCIL MEETING (Study Session)
Administrative Fee and Revenue Policy

Special Order of the Day

21-0353 SPECIAL ORDER OF THE DAY - Library Card Sign-Up Month

Public Hearings/General Business

21-0162 Board and Commission Appointments (as needed)

Tuesday, September 14, 2021 - City Council

Study Session

21-0048 6 P.M. SPECIAL COUNCIL MEETING (Study Session)
Consider the Creation of a Formal Process for City Council Colleague
Memorandums (Study Issue)

Special Order of the Day

21-0164 SPECIAL ORDER OF THE DAY - Ceremonial Oath of Office for Board and
Commission Members (as needed)

21-0354 SPECIAL ORDER OF THE DAY - Hispanic Heritage Month

21-0355 SPECIAL ORDER OF THE DAY - POW/MIA Recognition Day

Public Hearings/General Business

21-0163 Agenda Items Pending - to be scheduled

Tuesday, September 28, 2021 - City Council

Special Order of the Day

21-0356 SPECIAL ORDER OF THE DAY - Breast Cancer Awareness Month

21-0357 SPECIAL ORDER OF THE DAY - Active Aging Week and Arts and Humanities Month

Public Hearings/General Business

21-0166 Agenda Items Pending - to be scheduled

Tuesday, October 12, 2021 - City Council

Study Session

21-0204 6 P.M. SPECIAL COUNCIL MEETING (Study Session)
Creation of a Human Relations Commission (Study Issue)

Special Order of the Day

21-0358 SPECIAL ORDER OF THE DAY - Freedom from Workplace Bullying Week

Public Hearings/General Business

21-0167 Agenda Items Pending - to be scheduled

Tuesday, October 26, 2021 - City Council

Public Hearings/General Business

21-0168 Agenda Items Pending - to be scheduled

Tuesday, November 9, 2021 - City Council

Public Hearings/General Business

21-0202 Third Quarter General Plan Initiation Requests

Tuesday, November 16, 2021 - City Council

Study Session

21-0170 6 P.M. SPECIAL COUNCIL MEETING (Study Session)
Board and Commission Interviews (as needed)

Special Order of the Day

21-0359 SPECIAL ORDER OF THE DAY - Picture Book Month

21-0360 SPECIAL ORDER OF THE DAY - Small Business Saturday

Tuesday, November 30, 2021 - City Council

Public Hearings/General Business

21-0172 Board and Commission Appointments (as needed)

Tuesday, December 7, 2021 - City Council

Special Order of the Day

21-0174 SPECIAL ORDER OF THE DAY - Ceremonial Oath of Office for Board and Commission Members (as needed)

Public Hearings/General Business

21-0175 Agenda Items Pending - to be scheduled

Tuesday, December 14, 2021 - City Council

Study Session

21-0186 6 P.M. SPECIAL COUNCIL MEETING (Study Session)
Discussion of Upcoming Selection of Vice Mayor

Public Hearings/General Business

21-0176 Agenda Items Pending - to be scheduled

Tuesday, January 4, 2022 - City Council

Special Order of the Day

21-0187 SPECIAL ORDER OF THE DAY - Recognition of Outgoing Vice Mayor

Public Hearings/General Business

21-0189 Selection of Vice Mayor for a One-Year Term Effective January 4, 2022

21-0190 Determine the 2022 Seating Arrangements for City Council

21-0276 Appoint Councilmembers to Intergovernmental Assignments; Ratify Appointments of Councilmembers made by Outside Agencies; Take Action to Modify, Create, or Terminate Council Subcommittees

Tuesday, January 25, 2022 - City Council

Special Order of the Day

21-0188 SPECIAL ORDER OF THE DAY - Ceremonial Oath of Office for Vice Mayor

Public Hearings/General Business

21-0278 Annual Public Hearing-Discussion of Potential Council Study Issues and Budget Issues for Calendar Year 2022

21-0279 Approve the Proposed 2022 Priority Advocacy Issues and Review Long-term Legislative Advocacy Positions (LAPs)

Thursday, January 27, 2022 - City Council

Workshop

21-0179 8:30 A.M. SPECIAL COUNCIL MEETING (Workshop)
Strategic Planning Workshop

Tuesday, February 1, 2022 - City Council

Public Hearings/General Business

21-0203 Fourth Quarter General Plan Initiation Requests

Tuesday, February 8, 2022 - City Council

Study Session

21-0181 6 P.M. SPECIAL COUNCIL MEETING (Study Session)
Board and Commission Interviews (as needed)

Tuesday, February 15, 2022 - City Council

Public Hearings/General Business

21-0182 Board and Commission Appointments (as needed)

Thursday, February 17, 2022 - City Council

Workshop

21-0183 8:30 A.M. SPECIAL COUNCIL MEETING (Workshop)
Budget Issues and Study Issues Workshop

Date to be Determined - City Council

Public Hearings/General Business

21-0208 Award Two Contracts to Zanker Road Resource Management, Ltd and Sustainable Organic Solutions, LLC for Organic Material Processing at the SMaRT Station (F21-024)

21-0030 El Camino Real Specific Plan

21-0050	Adopt Updated Lawrence Station Area Plan and Related Actions
21-0053	Moffett Park Specific Plan: Selection of a Preferred Land Use for Study
21-0065	Approval of Assessment of Fair Housing Plan



City of Sunnyvale

Agenda Item

21-0367

Agenda Date: 3/30/2021

Board/Commission Meeting Minutes



City of Sunnyvale

Meeting Minutes - Draft

Sustainability Commission

Tuesday, February 16, 2021

7:00 PM

Telepresence Meeting: City Web Stream

CALL TO ORDER

Pursuant to Section 3 of Executive Order N-29-20, issued by Governor Newsom on March 17, 2020, the meeting was conducted telephonically.

Vice Chair Kunz called the meeting to order at 7:01 p.m. via teleconference.

ROLL CALL

Present: 7 - Chair Kristel Wickham
Vice Chair Douglas Kunz
Commissioner Stephen Joesten
Commissioner Shana Padgett
Commissioner Bruce Paton
Commissioner Murali Srinivasan
Commissioner Tonya Veitch

Council Liaison - Mason Fong (absent)

Chair Wickham joined at 7:07 p.m. and resumed Chair duties for the meeting.

Chair Wickham, Vice Chair Kunz, and all Commissioners attended via teleconference.

PRESENTATION

[21-0316](#) PRESENTATION - Urban Forest Management Plan Update

Jim Stark, Superintendent of Parks and Golf, and Leonard Dunn, Urban Landscape Manager, presented on Sunnyvale's Urban Forest Management Plan (UFMP). They provided an overview of the goals and strategies of the UFMP as well as the current progress. Commissioners asked clarifying questions, and staff provided responses.

[21-0160](#) PRESENTATION - Equitable and Sustainable COVID-19

Recovery

Commissioner Veitch presented information on frameworks and strategies for ensuring that recovery from the impacts of the COVID-19 pandemic is both sustainable and equitable. Commissioners asked clarifying questions, and Commissioner Veitch provided answers.

ORAL COMMUNICATIONS

None.

CONSENT CALENDAR

[21-0318](#) Approve the Sustainability Commission Meeting Minutes of January 19, 2021

Vice Chair Kunz moved, and Commissioner Joesten seconded, a motion to approve the consent calendar. The motion carried by the following vote:

Yes: 7 - Chair Wickham
Vice Chair Kunz
Commissioner Joesten
Commissioner Padgett
Commissioner Paton
Commissioner Srinivasan
Commissioner Veitch

No: 0

STANDING ITEM: CONSIDERATION OF POTENTIAL STUDY ISSUES

No new Study Issues were submitted.

NON-AGENDA ITEMS & COMMENTS

-Commissioner Comments

Commissioner Paton shared that he attended the meeting of the Council Subcommittee on Boards and Commissions on February 11, 2021, at which the Subcommittee discussed how to improve the Study Issue process. He shared that the staff presentations appropriately reflected the feedback gathered at the Joint Meeting of the City Council with Board and Commission Chairs and Vice Chairs to Review and Improve Overall Effectiveness of Commission Meetings Study Session on November 17, 2020.

Chair Wickham recommended that Commissioners listen to the recording of the

Moffett Park Specific Plan workshop held on February 1, 2021, regarding transportation and infrastructure. She shared that the final workshop in this series, entitled Market Condition, Land Use, Housing, and Open Space, will be held March 2, 2021. She noted that she will be presenting the Commission's rationale for its ranking of the Study Issues at the Council Study Issue and Budget Workshop on February 25, 2021. She summarized the latest Sustainability Speaker Series (SSS) event held on January 30, 2021, and provided reminders of the two upcoming events on Decarbonizing Transportation (March 17, 2021) and Sunnyvale's Recycling and Solid Waste Management (May 19, 2021).

-Staff Comments

Madeline Willett, Environmental Engineering Coordinator, provided a summary of the feedback for the SSS event held on January 30, 2021. She announced that the next the electric vehicle education workshop, entitled Finding the EV for Your Lifestyle, would be held on February 20, 2021, and that the City is planning virtual Earth Week events from April 18-24, 2021. She noted that the Proposal for the Sustainability Speaker Series for FY 2021/22 will be presented to City Council on February 23, 2021.

Nupur Hiremath, Environmental Programs Manager, shared that the current Climate Action Playbook Game Plan will be extended through FY 2022/23. She noted that at the Climate Action Playbook Quarterly Update in March, staff will present the process for developing the next Game Plan.

INFORMATION ONLY REPORTS/ITEMS

[21-0317](#) Updated Annual Work Plan February 2021

ADJOURNMENT

The meeting was adjourned at 8:54 p.m.



City of Sunnyvale

Meeting Minutes - Draft

Parks and Recreation Commission

Wednesday, March 10, 2021

7:00 PM

Telepresence Meeting: City Web Stream

TELECONFERENCE NOTICE

Pursuant to Section 3 of Executive Order N-29-20, issued by Governor Newsom on March 10, 2020, the meeting was conducted telephonically.

CALL TO ORDER

Chair Kenton called the meeting to order at 7:00 p.m. via teleconference.

ROLL CALL

Present: 5 - Chair Ralph Kenton
Vice Chair Prakash Giri
Commissioner Daniel Bremond
Commissioner Gregory Dibb
Commissioner Mike Michitaka

Council Liaison Hendricks (Present)

PRESENTATION

A [21-0374](#) Murphy Park Playground and Washington Community Swim Center Update

Assistant City Engineer, Nathan Scribner and Matt Brunnings, Senior Engineer provided a presentation on the Murphy Park Playground and Washington Community Swim Center. Highlights included: work completed to date, installation progress, remaining work to be fulfilled, expected completion date and current photos of projects.

Commissioners inquired and staff responded:

Have budget cuts had any effect on the scheduled completion date of these projects? No, capital projects with contracts have a set budget and will not be affected.

B [21-0337](#) Recreation Update

Recreation Services Managers, Tracey Gott and Angela Chan provided a presentation on Recreation Services Updates. Highlights included: updates on Sunnyvale Serves, senior services, sports & fields, Baylands Park, aquatics, pottery, Hands on the Arts (Virtual), Summer camp programming, distance learning, preschool and Spring break camp offerings.

Commissioners inquired and staff responded:

How will the Washington Community Swim Center fee structure be priced? The aquatic programs at Washington will be similarly priced as our Fremont Pool location.

Are fields now reserveable for sports? Yes, leagues can reserve fields, but are not authorized for competition yet. Leagues must also submit a competed plan-to-return document demonstrating compliance with COVID-19 health & safety mandates.

Council Liaison inquired and staff responded:

Are any other parks under construction and would there be a list of their completion status? Currently Murphy Park, De Anza Park, Washington Park and Serra Park have ongoing construction projects. Staff will provide the list of projects and completion statuses at the next Parks and Recreation Commission Meeting on April 14, 2021. Jim Stark will provide a Capital Projects update.

C [21-0338](#) Study Issues Update (Citywide)

Administrative Aide, Ricky Le provided a presentation on Study Issues Update. Highlights included: results of study issues workshop, timeline for feedback by the City Manager, and 2021 budget workshop date.

Commissioners inquired and Council Liaison responded:

Will the City Manager prioritize the City Council's ranked study issues? Yes, the City Manager will review and make suggestions based on the budget and feasibility.

ORAL COMMUNICATIONS

Chair Kenton opened oral communications and there was no public testimony, closed oral communications

CONSENT CALENDAR

Commissioner Bremond moved and Vice Chair Giri seconded the motion to approve the consent calendar as presented.

The motion carried by the following vote:

Yes: 5 - Chair Kenton
Vice Chair Giri
Commissioner Bremond
Commissioner Dibb
Commissioner Michitaka

No: 0

- 1 [21-0339](#) Approve the Parks and Recreation Commission Meeting Minutes of February 10, 2021

Approve the Parks and Recreation Commission Minutes of February 10, 2021 as submitted.

STANDING ITEM: CONSIDERATION OF POTENTIAL STUDY ISSUES

- 2 [21-0340](#) Parks and Recreation Commission Proposed Study Issues, Calendar Year: 2022

Standing item. No study issues approved in previous meeting were proposed.

NON-AGENDA ITEMS & COMMENTS

-Commissioner Comments

None.

-Staff Comments

None.

ADJOURNMENT

Chair Kenton adjourned the meeting at 8:15 p.m.



City of Sunnyvale

Agenda Item

21-0368

Agenda Date: 3/30/2021

Information/Action Items

Information/Action Items - Council Directions to Staff

Date Requested	Directive/Action Required	Dept	Due Date	Completed
2/7/19	Produce quarterly report on staff vacancies and include, actual staff total numbers for each department, including part-time positions.	HRD	Apr 2021	
5/21/20	Provide a quarterly status report of vacant positions that were frozen	OCM	Apr 2021	
12/8/20	Provide clarity on any conflict of interest when voting on the MOU/Accord if a Councilmember lives in a mobile home park.	OCA	Mar 2021	
2/23/21	Evaluate the bay trail access from the parking lot and ensure adequate access and signage is available so residents are not confused on how to access.	DPS	Mar 2021	3/16/21
2/23/21	Include in the budget workshop an update on CIP projects costs and include how we are using the money that we have and potential impacts on increased costs for the solid waste fund.	FIN	May 2021	
2/23/21	Provide a separate project for the new cleanwater project reserve fund.	FIN	May 2021	

New Study/Budget Issues Sponsored by Council

Date Requested	Study/Budget Issue Topic	Requested By	Dept	Approved by City Manager
	N/A			

Initial Sponsor in **Bold**.

Following approval by the City Manager, study issues papers are posted to:

<https://sunnyvale.ca.gov/government/council/study/studyissues.htm>

Printed on 3/25/2021



City of Sunnyvale

Agenda Item

21-0379

Agenda Date: 3/30/2021

REPORT TO COUNCIL

SUBJECT

Notice of Public Works Director's Decision on Final Maps (Information Only)

BACKGROUND

In accordance with Sunnyvale Municipal Code Section 18.20.270, this is notice of the Public Works Director's pending approval on the following Final Map(s):

Tract	10527
Location	925 South Wolfe Road
Developer	Arroyo Cap 11-3, LLC, a Delaware Limited Liability Company
Lots/Units	21 lots (13 developable, 8 common lots)/ 128 condominium-townhouse units

ENVIRONMENTAL REVIEW

Approval of final subdivision map is a ministerial action exempt from the California Environmental Quality Act (CEQA) pursuant to CEQA Guidelines Section 15268(b)(3).

PUBLIC CONTACT

Public contact was made by posting the Council agenda on the City's official-notice bulletin board outside City Hall, Sunnyvale Public Library and Department of Public Safety. In addition, the agenda and report are available at the Office of the City Clerk and on the City's website.

Prepared by: Arnold Chu, Senior Engineer

Reviewed by: Jennifer Ng, Assistant Director of Public Works

Reviewed by: Chip Taylor, Director of Public Works